



Space Densities

Current space densities of various AGN (Peterson, 1997; Marzke et al., 1994):

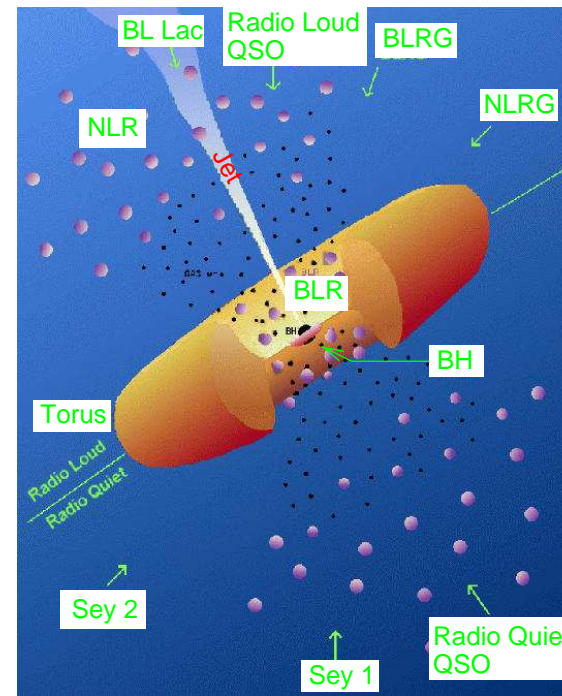
Type	Density (Gpc ⁻³)
Total Galaxy Density	
Spirals	$1.5 \times 10^7 h_0^3$
Ellipticals	$1.0 \times 10^7 h_0^3$
Radio Quiet AGN	
Sy 2	$8 \times 10^5 h_0^3$
Sy 1	$3 \times 10^5 h_0^3$
QSO	$800 h_0^3$
Radio Loud AGN	
FR 1	$2 \times 10^4 h_0^3$
BL Lac	$600 h_0^3$
FR 2	$80 h_0^3$
Radio loud QSOs	$20 h_0^3$

⇒ ~10% of all galaxies are AGN.

⇒ ~2% of all AGN are radio loud.

Summary

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Physical properties of components:

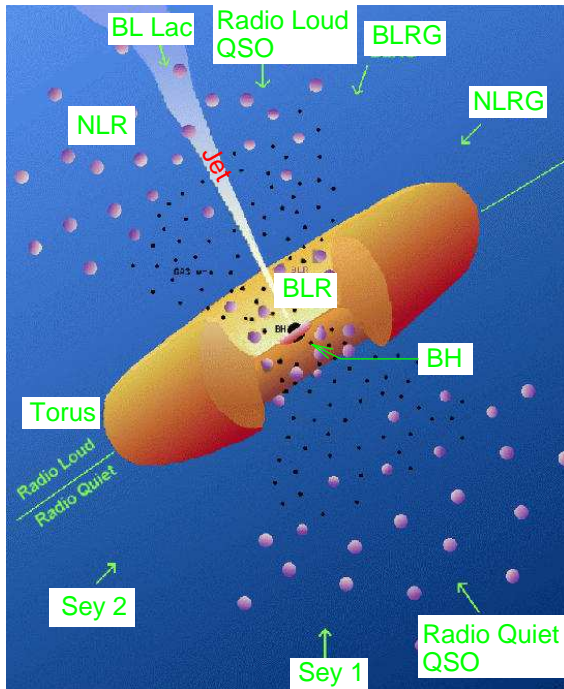
Accretion disk: $r \sim 10^{-3}$ pc,
 $n \sim 10^{15}$ cm⁻³,
 $kT \sim 50$ eV $\cdot r^{-3/4}$,
 $v \sim 0.3c$ at inner edge.

Broad Line Region (BLR):
 $r \sim 0.01$ – 0.1 pc (=light days),
 $n \sim 10^{10}$ cm⁻³,
 $v \sim 1000$ – 5000 km s⁻¹,
 $T \sim 10^4$ K

Torus: $r \sim 1$ – few 10 pc,
 $n \sim 10^3$ – 10^6 cm⁻³,
 T : cold

Narrow Line Region (NLR):
 $r \sim 100$ – 1000 pc,
 $n \sim 10^3$ – 10^6 cm⁻³,
 $v \sim$ few $\cdot 100$ km s⁻¹,
 $T \sim 10^4$ K

See, e.g., Antonucci (1993) for a review.



Unified Model: All AGN types are due to the same physics, different phenomenology just due to different viewing angle.

(Urry & Padovani, 1995, NOTE: logarithmic length scale!)



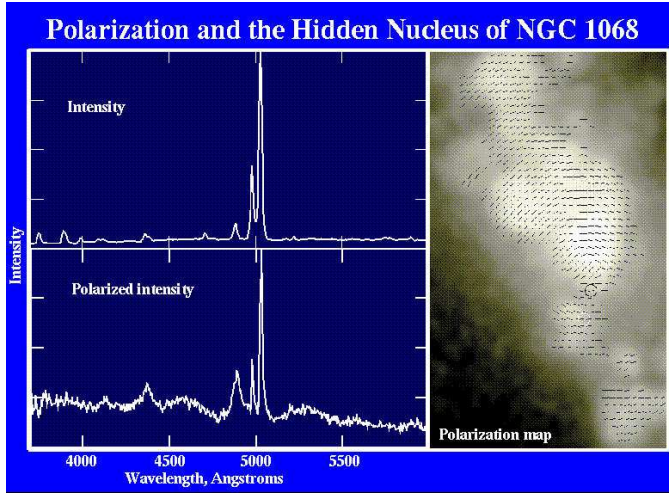
Unification, III

Simplified Unification (Peterson, 1997)

Radio Properties	Orientation	
	Face-on	Edge-on
Radio Quiet	Seyfert 1	Seyfert 2
	QSO	Far IR Galaxy?
Radio Loud	BL Lac	FR I
	BLRG	NLRG
	Quasar/OVV	FR II



Observational Evidence: NGC 1068

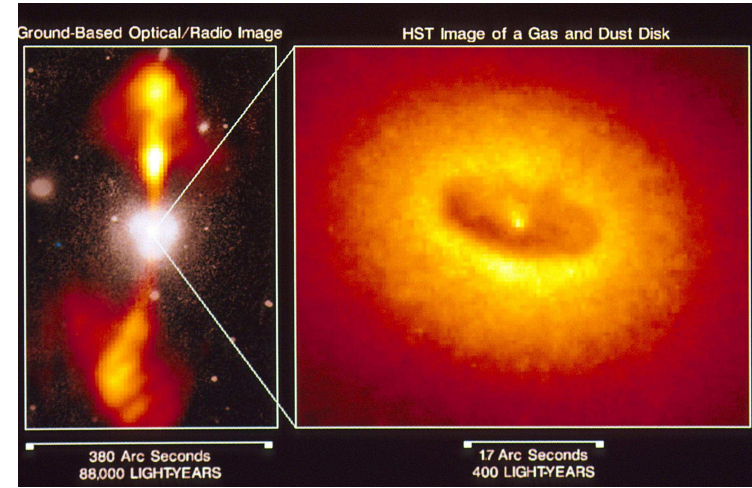


W. Keel

Antonucci & Miller (1985): In polarized light, the Seyfert 2 NGC 1068 shows broad lines and a spectrum similar to Seyfert 1 galaxies.
 ⇒ Scattered radiation from the BLR!
 16% polarization ⇒ single scattering (multiple scatterings would depolarize!); note that lines from NLR are *not* polarized ⇒ no scattering!



Observational Evidence: Imaging of the Torus?

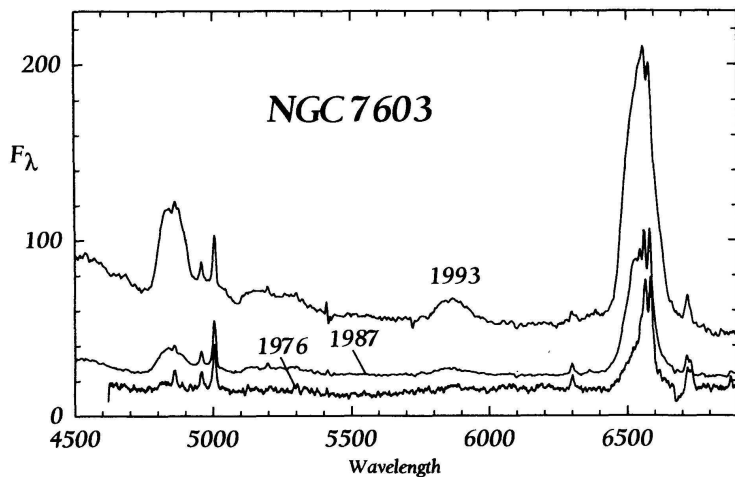


NGC 4261 (HST/WFPC)

Some nearby Seyferts show torus-like structures in their centers.

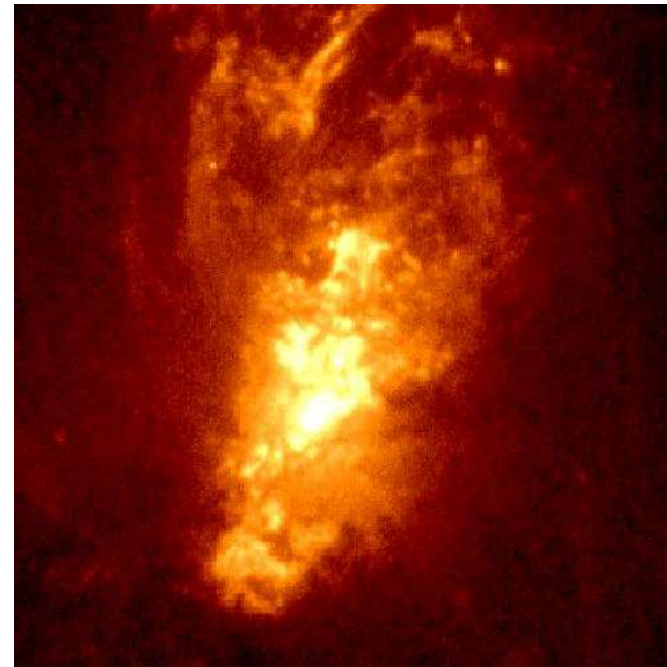


Observational Evidence: Spectral Variations

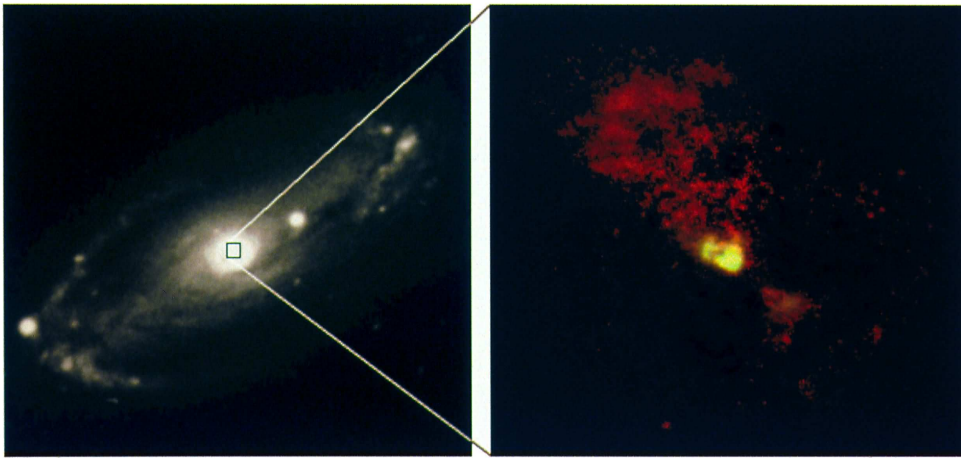


(Goodrich, 1995, Fig. 7)

Some Seyferts change type, e.g., from Sy 2 to Sy 1 within a few years.



Ionization in the center of NGC 1068 (Sy 2; HST)



Ground View

HST View

NGC 5728 (Sy 2, HST; green: [O III] $\lambda\lambda$ 4959, 5007 Å, red: H α and [N II], $\lambda\lambda$ 6548, 6583 Å, plus continua)
Wilson et al. 1993

Ionization cone of NGC 5728: line emission of ionized species aligned with radio structure (to within 2°), *not* aligned with galaxy. Extent of structure: ~ 1.8 kpc



Observational Evidence: Ionization Cones, VIII

The number of ionizing photons was

$$Q(H) = \frac{L(H\beta)}{h\nu_{H\beta}} \frac{\alpha_B}{\alpha_{H\beta}^{eff}} \sim 2.1 \times 10^{53} L_{41}(H\beta) \text{ photons s}^{-1} \quad (3.18)$$

while the observed ionizing production rate is:

$$Q_{obs}(H) = 4\pi d^2 \int_{\nu_1}^{\infty} \frac{F_\nu d\nu}{h\nu} \quad (3.19)$$

Observations show: $Q(H)/Q_{obs}(H) > 1$, i.e., cone sees more luminous continuum
 \Rightarrow blockage due to torus?

But note: calculation ignores that cone probably consists of clouds

\Rightarrow need to modify $Q(H)$ to take geometry into account. Gives factor $r_{cloud}/(\epsilon r_{cone})$, where ϵ is unknown filling factor

\Rightarrow large uncertainty!

Unification

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Observational Evidence: Ionization Cones, VII

Interpretation of ionization cones: gas ionized by hard continuum of nucleus.

Shape of cone due to blockage by the torus.

We can try to quantify things, assuming a pure hydrogen gas for simplicity.

The luminosity of H β line is given by

$$L(H\beta) = \iint j_{H\beta} d\Omega dV = \alpha_{H\beta}^{eff} h\nu_{H\beta} \int n_e^2 dV \quad (3.16)$$

where $j_{H\beta}$: emissivity, $\alpha_{H\beta}^{eff}$ coefficient of recombination (from atomic physics, see later; n_e : electron number density; $n_e^2 \alpha$: rate of recombinations).

Assuming photoionization equilibrium, the rate of ionizations of hydrogen equals the rate of photons which can ionize H emitted by the source, $Q(H)$, and the photoionization rate equals the recombination rate:

$$Q(H) = \int_{\nu_1}^{\infty} \frac{L_\nu d\nu}{h\nu} = \alpha_B \int n_e^2 dV \quad (3.17)$$

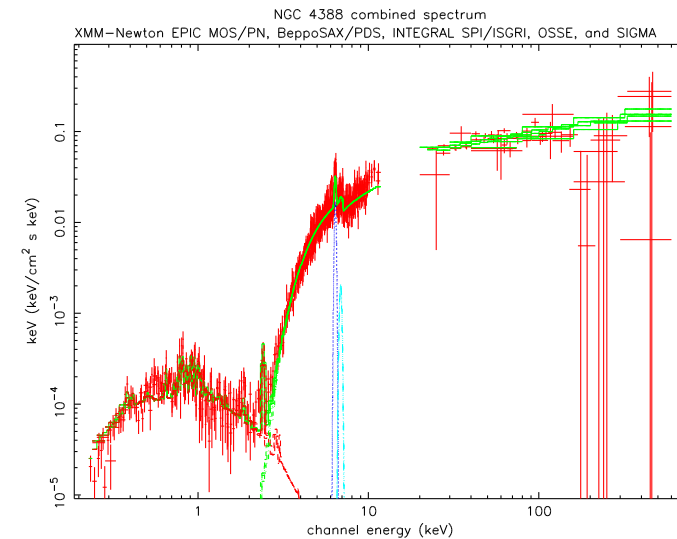
where $\alpha_B n_e^2$: total recombination rate ($\alpha_B = 2.6 \times 10^{13} \text{ cm}^3 \text{ s}^{-1}$ for $T = 10^4 \text{ K}$).

Therefore:

$$Q(H) = \frac{L(H\beta)}{h\nu_{H\beta}} \frac{\alpha_B}{\alpha_{H\beta}^{eff}} \sim 2.1 \times 10^{53} L_{41}(H\beta) \text{ photons s}^{-1} \quad (3.18)$$



Observational Evidence: Absorption

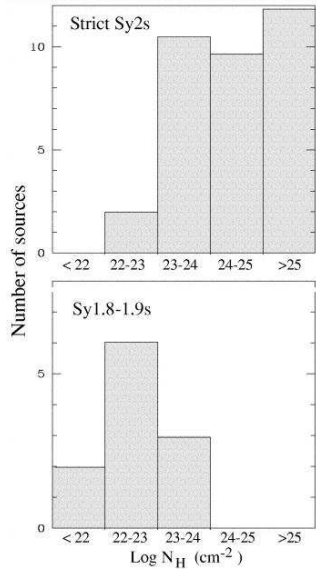


X-ray spectroscopy allows to penetrate even high columns of absorbing gas.

Reason: photo-absorption cross section is $\propto E^{-3}$, crosses Thomson cross section at $\sim 10 \text{ keV}$.



Observational Evidence: Absorption



Risaliti, Maiolino & Salvati (1999): From X-ray studies:

- 75% of Seyfert 2's are heavily obscured, i.e., have $N_H \geq 10^{23} \text{ cm}^{-2}$.
- 50% of Seyfert 2's are Compton thick, i.e., have $N_H \geq 10^{24} \text{ cm}^{-2}$.
- N_H for Sy 2 is higher than that for Sy 1.8, 1.9.

where N_H is the column density of Hydrogen,

$$N_H = \int_0^r n_H dr \quad (3.20)$$

determined from X-ray absorption.

(Risaliti, Maiolino & Salvati, 1999, Fig. 5)

Unification

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Summary

- Puzzling zoo of AGN can be described by simple geometric model: black hole surrounded by obscuring torus
- Radio loud vs. radio quiet: presence of jet
- Observations mainly support unified model

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Accretion and Accretion Disks



Literature

- J. Frank, A. King, D. Raine, 2002, *Accretion Power in Astrophysics*, 3rd edition, Cambridge Univ. Press
The standard textbook on accretion, covering all relevant areas of the field.
- T. Padmanabhan, 2001, *Theoretical Astrophysics, II. Stars and Stellar Systems*, Cambridge Univ. Press
See introduction to this lecture.
- N.I. Shakura & R. Sunyaev, 1973, *Black Holes in Binary Systems. Observational Appearance*. *Astron. Astrophys.* **24**, 337
The fundamental paper, which *really* started the field.
- J.E. Pringle, 1981, *Accretion Disks in Astrophysics*, *Ann. Rev. Astron. Astrophys.* **19**, 137
Concise review of classical accretion disk theory.

Introduction

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Introduction

AGN are powered by accretion \implies need to look at accretion as a physical mechanism.

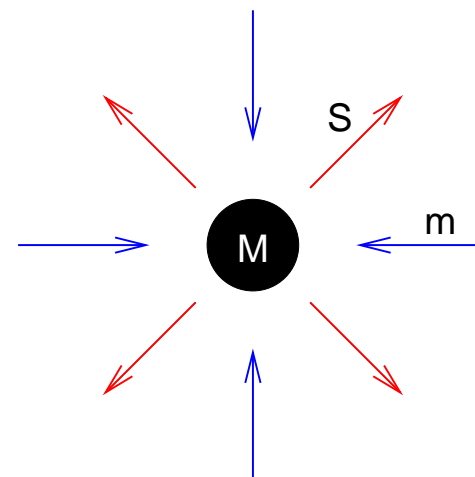
Unfortunately, this will have to be somewhat theoretical, but this cannot be avoided...

Structure of this chapter:

1. Accretion Luminosity: Eddington luminosity
2. Accretion Disks: Theory
3. Accretion Disks: Confrontation with observations



Eddington luminosity, IV



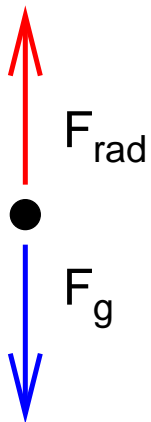
Assume mass M spherically symmetrically accreting ionized hydrogen gas.

At radius r , accretion produces energy flux S .

Important: Interaction between accreted material and radiation!



Eddington luminosity, VIII



Force balance on accreted electrons and protons:

Inward force: gravitation:

$$F_g = \frac{GMm_p}{r^2}$$

Outward force: radiation force:

$$F_{\text{rad}} = \frac{\sigma_T S}{c}$$

where energy flux S is given by

$$S = \frac{L}{4\pi r^2}$$

where L : luminosity.

Note: $\sigma_T \propto (m_e/m_p)^2$, so negligible for protons.

But: strong Coulomb coupling between electrons and protons
 $\Rightarrow F_{\text{rad}}$ also has effect on protons!



Eddington luminosity, X

Characterize accretion process through the accretion efficiency, η :

$$L = \eta \cdot \dot{M} c^2$$

where \dot{M} : mass accretion rate (e.g., g s^{-1} or $M_\odot \text{yr}^{-1}$).

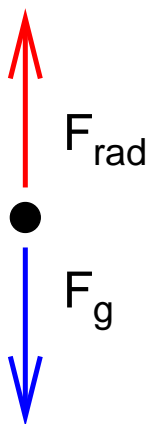
Therefore maximum accretion rate ("Eddington rate"):

$$\dot{m} = \frac{L_{\text{Edd}}}{\eta c^2} \sim 2 \cdot \left(\frac{M}{10^8 M_\odot} \right) M_\odot \text{yr}^{-1}$$

(for $\eta = 0.1$)



Eddington luminosity, IX



Accretion only possible if gravitation dominates:

$$\frac{GMm_p}{r^2} > \frac{\sigma_T S}{c} = \frac{\sigma_T}{c} \cdot \frac{L}{4\pi r^2}$$

and therefore

$$L < L_{\text{Edd}} = \frac{4\pi GMm_p c}{\sigma_T}$$

or, in astronomically meaningful units

$$L < 1.3 \times 10^{38} \text{ erg s}^{-1} \cdot \frac{M}{M_\odot}$$

where L_{Edd} is called the Eddington luminosity.

But remember the assumptions entering the derivation: spherically symmetric accretion of fully ionized pure hydrogen gas.



Emitted spectrum

Characterize photon by its radiation temperature, T_{rad} :

$$h\nu \sim kT_{\text{rad}} \Rightarrow T_{\text{rad}} = h\nu/k$$

Optically thick medium: blackbody radiation

$$T_b = \left(\frac{L}{4\pi R^2 \sigma_{\text{SB}}} \right)^{1/4}$$

Optically thin medium: L directly converted into radiation without further interactions \Rightarrow mean particle energy

$$T_{\text{th}} = \frac{GMm_p}{3kR}$$

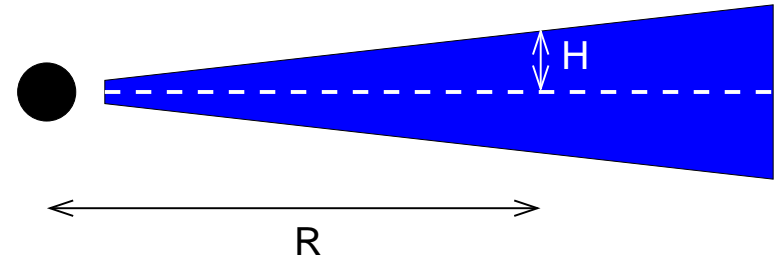
Plugging in numbers for a typical solar mass compact object (NS/BH):

$$T_{\text{rad}} \sim 1 \text{ keV} \quad \text{and} \quad T_{\text{th}} \sim 50 \text{ MeV}$$

Accreting objects are broadband emitters in the X-rays and gamma-rays.



Thin Disks, II



Thin assumption: no radiation pressure

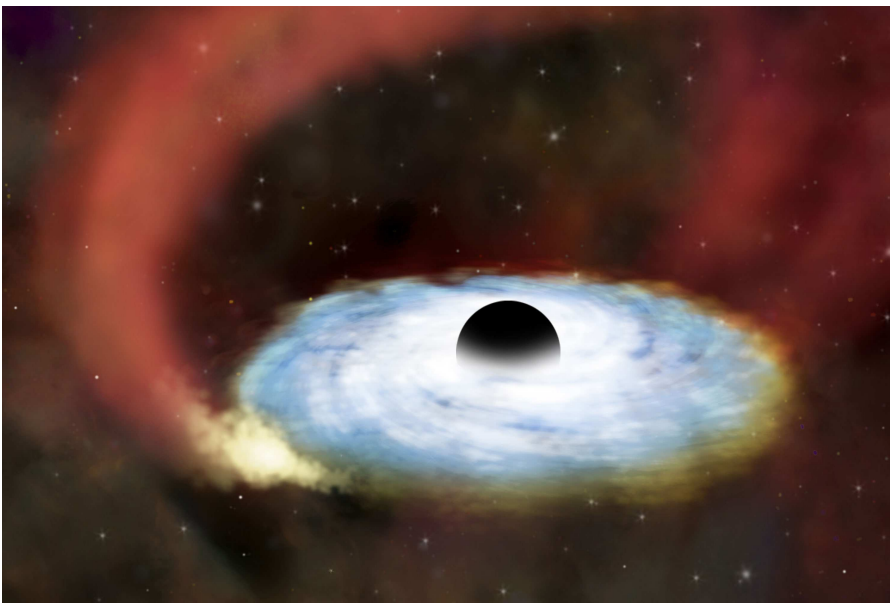
⇒ gas pressure must support disk vertically against gravitation:

$$\frac{GMH}{R^2 R} = \frac{1}{\rho} \left| \frac{\partial P}{\partial z} \right| \sim \frac{P_c}{\rho_c H}$$

where P_c characteristic pressure, ρ_c characteristic density.

Accretion Disks

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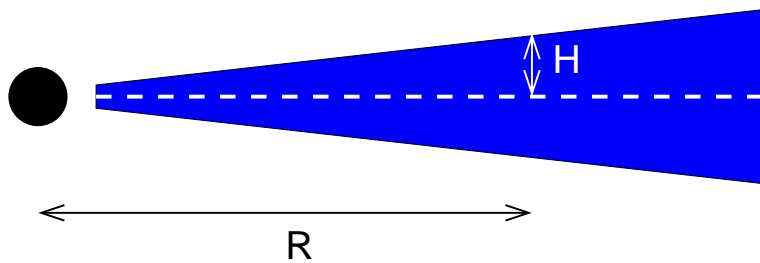


NASA/CXC/SAO

Source of matter: probably disrupted stars
 ⇒ accreted matter has angular momentum
 ⇒ accretion disk forms.



Thin Disks, I



Most important case: thin accretion disks, i.e., vertical thickness, H , much smaller than radius R :

$$H \ll R$$

⇒ Requires that radiation pressure is negligible

⇒ $L \ll L_{\text{Edd}}$



Thin Disks, III

Because the speed of sound is

$$c_s^2 = \frac{P}{\rho}$$

the condition for vertical support can be written as

$$\frac{GMH}{R^2 R} \sim \frac{P_c}{\rho_c H} = \frac{c_s^2}{H}$$

Therefore

$$c_s^2 = \frac{GMH^2}{R R^2} = v_\phi^2 \cdot \frac{H^2}{R^2}$$

where $v_\phi = \sqrt{GM/R}$: Kepler speed.

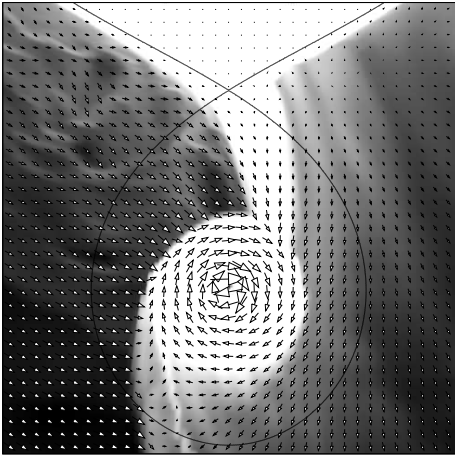
Since $H/R \ll 1$:

$$c_s \ll v_\phi$$

Thin accretion disks are highly supersonic.



Thin Disks: Radial Structure



Radial acceleration due to pressure:

$$\frac{1}{\rho} \frac{\partial P}{\partial R} \sim \frac{P_c}{\rho_c R} \sim \frac{c_s^2}{R} \sim \frac{GM}{R^2} \frac{H^2}{R^2} \ll \frac{GM}{R^2}$$

⇒ radial acceleration due to pressure negligible compared to gravitational acceleration

Thin disk: fluid motion is Keplerian to very high degree of precision.

J. Blondin (priv. comm.; calculations for stellar accretion)

⇒ for the radial velocity, v_R : $v_R \ll v_\phi$



Thin Disks: Angular Momentum Transport, I

Most important question: angular momentum transport

Angular velocity in Keplerian disk:

$$\Omega(R) = \left(\frac{GM}{R^3} \right)^{1/2}$$

("differential rotation")

⇒ angular momentum per mass ("specific angular momentum"):

$$\mathcal{L} = R \cdot v = R \cdot R\Omega(R) = R^2 \Omega(R) \propto R^{1/2}$$

⇒ decreases with decreasing R !

Total angular momentum lost when mass moves in unit time from $R + dR$ to R :

$$\frac{dL}{dR} = \dot{M} \cdot \frac{d(R^2 \Omega(R))}{dR}$$



Thin Disks: Vertical Structure and Mass Conservation

Amount of mass crossing radius R :

$$\dot{M} = -2\pi R \cdot \Sigma \cdot v_R$$

where Σ : surface density of disk,

$$\Sigma(R) = \int n(r) dz$$

and where \dot{M} : mass accretion rate

Since acceleration $\perp z$

$$F_z \propto \frac{GM}{R^2} \frac{z}{R} \propto z$$

vertical density profile

$$n(z) \propto \exp\left(-\frac{z}{H}\right)$$

where H : scale height (depends on details of accretion disk theory).



Thin Disks: Angular Momentum Transport, II

Since L changes: accreting matter needs to lose angular momentum. This is done by viscous forces exerting torques:

Force due to viscosity per unit length:

$$\mathcal{F} = \nu \Sigma \cdot \Delta v = \nu \Sigma \cdot R \frac{d\Omega}{dR}$$

where ν : coefficient of kinematic viscosity

Therefore total torque

$$G(R) = 2\pi R \mathcal{F} \cdot R = \nu \Sigma 2\pi R^3 \left(\frac{d\Omega}{dR} \right)$$

and the net torque acting on a ring is

$$\frac{dG}{dR} dR$$

⇒ This net torque needs to balance change in specific angular momentum in disk.



Thin Disks: Angular Momentum Transport, III

4-18

Balancing net torque and angular momentum loss gives:

$$\dot{M} \frac{d(R^2 \Omega)}{dR} = -\frac{d}{dR} \left(\nu \Sigma 2\pi R^3 \frac{d\Omega}{dR} \right)$$

Insert $\Omega(R) = (GM/R^3)^{1/2}$ and integrate:

$$\nu \Sigma R^{1/2} = \frac{\dot{M}}{3\pi} R^{1/2} + \text{const.}$$

const. obtained from no torque boundary condition at inner edge of disk at $R = R_*$: $dG/dR(R_*) = 0$, such that

$$\nu \Sigma = \frac{\dot{M}}{3\pi} \left[1 - \left(\frac{R_*}{R} \right)^{1/2} \right]$$

Therefore the viscous dissipation rate per unit area is

$$D(R) = \nu \Sigma \left(R \frac{d\Omega}{dR} \right)^2 = \frac{3GM\dot{M}}{4\pi R^3} \left[1 - \left(\frac{R_*}{R} \right)^{1/2} \right]$$

Accretion Disks

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Thin Disks: Temperature Profile, IV

4-20

Inserting astrophysically meaningful numbers:

$$T(R) = \left\{ \frac{3GM\dot{M}}{8\pi R^3 \sigma_{\text{SB}}} \left[1 - \left(\frac{R_*}{R} \right)^{1/2} \right] \right\}^{1/4} \\ = 6.8 \times 10^5 \text{ K} \cdot \eta^{-1/4} \left(\frac{L}{L_{\text{Edd}}} \right)^{1/2} L_{46}^{-1/4} \mathcal{R}^{1/4} x^{-3/4}$$

where $\eta = L_{\text{Edd}}/\dot{M}_{\text{Edd}}c^2$, $x = c^2 R/2GM$, $\mathcal{R} = (1 - (R_*/R)^{1/2})$.

Radial dependence of T :

$$T(R) \propto R^{-3/4}$$

Dependence on mass (note: for NS/BH inner radius $R_* \propto M$):

$$T_{\text{in}} \propto (\dot{M}/M^2)^{1/4}$$

⇒ AGN disks are colder than disks around galactic BH

Accretion Disks

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Thin Disks: Temperature Profile, I

4-19

The viscous dissipation rate was

$$D(R) = \nu \Sigma \left(R \frac{d\Omega}{dR} \right)^2 = \frac{3GM\dot{M}}{4\pi R^3} \left[1 - \left(\frac{R_*}{R} \right)^{1/2} \right]$$

If disk is optically thick: Thermalization of dissipated energy

⇒ Temperature from Stefan-Boltzmann-Law:

$$2\sigma_{\text{SB}}T^4 = D(R)$$

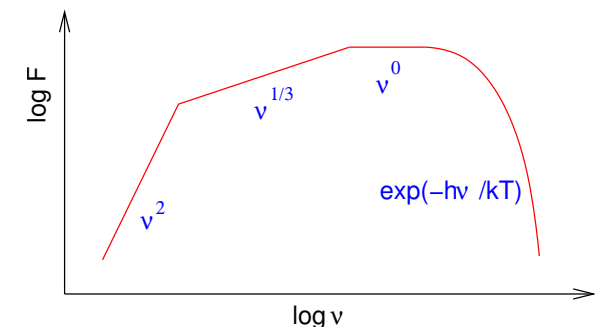
(disk has two sides!) and therefore

$$T(R) = \left\{ \frac{3GM\dot{M}}{8\pi R^3 \sigma_{\text{SB}}} \left[1 - \left(\frac{R_*}{R} \right)^{1/2} \right] \right\}^{1/4}$$



Thin Disks: Emitted Spectrum, I

4-21



If disk is optically thick, then locally emitted spectrum is black body.

Total emitted spectrum obtained by integrating over disk

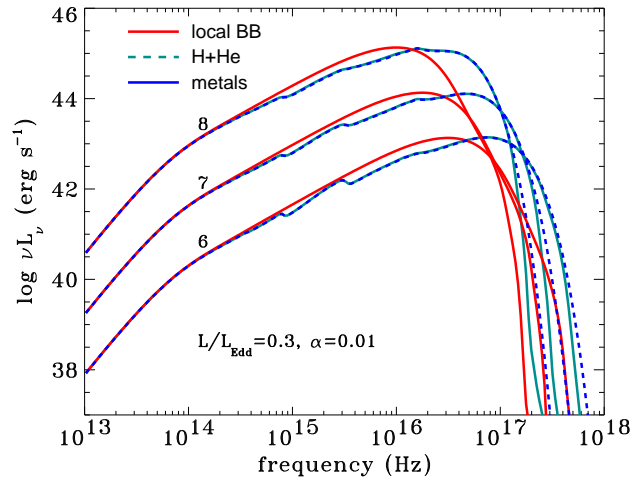
$$F_\nu = \int_{R_*}^{R_{\text{out}}} B(T(R)) 2\pi R dR$$

Resulting spectrum looks essentially like a stretched black body.



Thin Disks: Emitted Spectrum, II

4-22



In reality: accretion disk spectrum depends on

- elemental composition (“metallicity”)
- viscosity (“ α -parameter”)
- ionization state and luminosity of disk (\dot{M})
- properties of compact object and many further parameters

Until today: no really satisfactory disk model available.

Hubeny et al., 2001, Fig. 13

Accretion Disks

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Viscosity

4-24

Viscosity important when Reynolds number small (“laminar flow”), where

$$Re = \frac{\text{inertial force}}{\text{viscous force}} \sim \frac{\rho R v}{\rho \nu} = \frac{R v}{\nu}$$

Follows from Navier-Stokes Equations

Using typical accretion disk parameters:

$$Re_{\text{mol}} \sim 2 \times 10^{14} \left(\frac{M}{M_{\odot}}\right)^{1/2} \left(\frac{R}{10^{10} \text{ cm}}\right)^{1/2} \left(\frac{n}{10^{15} \text{ cm}^{-3}}\right) \left(\frac{T}{10^4 \text{ K}}\right)^{-5/2}$$

⇒ Molecular viscosity is irrelevant for astrophysical disks!

since $Re \gtrsim 10^3$: turbulence ⇒ Shakura & Sunyaev posit turbulent viscosity

$$\nu_{\text{turb}} \sim v_{\text{turb}} \ell_{\text{turb}} \sim \alpha c_s \cdot H$$

where $\alpha \lesssim 1$ and $\ell_{\text{turb}} \lesssim H$ typical size for turbulent eddies.

Accretion Disks

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Viscosity

4-23

Most important unknown in accretion disk theory: viscosity

even though it dropped out of $T(R)$!

Earth: viscosity of fluids typically due to molecular interactions (molecular viscosity).

Kinematic viscosity:

$$\nu_{\text{mol}} \sim \lambda_{\text{mfp}} c_s$$

where the mean free path

$$\lambda_{\text{mfp}} \sim \frac{1}{n\sigma} \sim 6.4 \times 10^4 \left(\frac{T^2}{n}\right) \text{ cm}$$

and the speed of sound

$$c_s \sim 10^4 T^{1/2} \text{ cm s}^{-1}$$

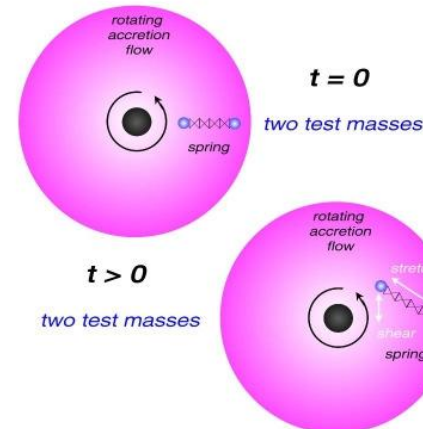
such that

$$\nu_{\text{mol}} \sim 6.4 \times 10^8 T^{5/2} n^{-1} \text{ cm}^2 \text{ s}^{-1}$$



Viscosity

4-25



Physics of turbulent viscosity is unknown, however, α prescription yields good agreement between theory and observations.

Possible origin: Magnetorotational instability (MRI): MHD instability amplifying B -field inhomogeneities caused by small initial radial displacements in accretion disk
⇒ angular momentum transport

R. Müller

Mechanical analogy of MRI: spring in differentially rotating medium.

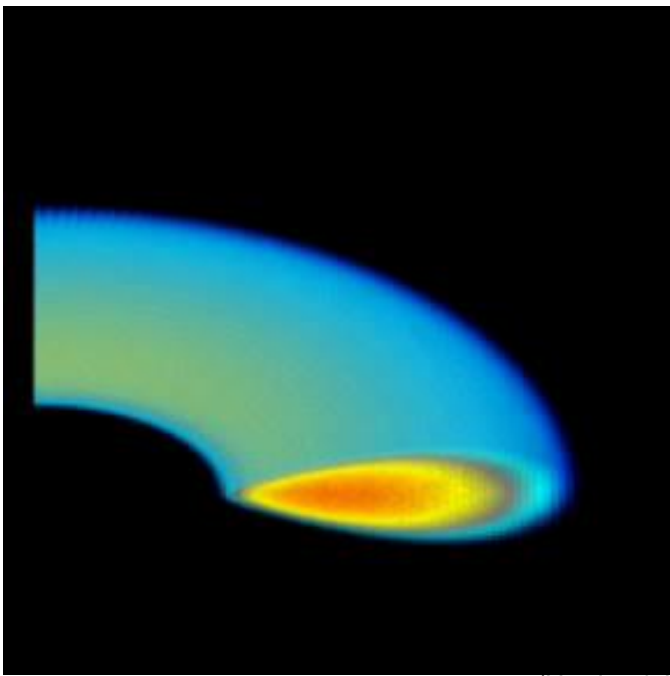
(Balbus & Hawley 1991, going back to Velikhov 1959 and Chandrasekhar (1961).

Accretion Disks

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Accretion Disks

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(Hawley & Krolik, 2002)