



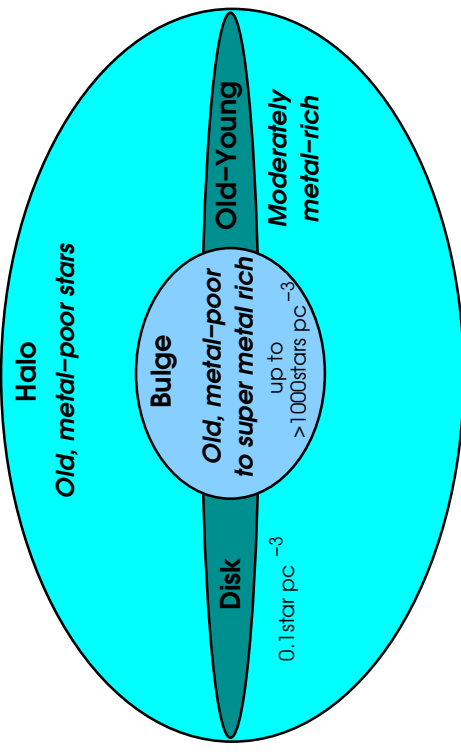
4-1

## Spiral Galaxies

4-3

### Spiral Galaxies

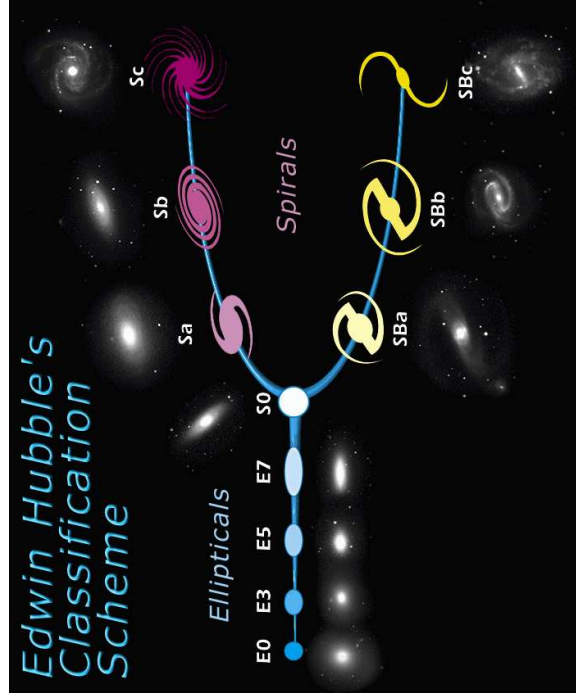
#### Dark Halo



(after Prantzos, 2008; Pagel, 2009)

### Spiral Galaxies

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SDSS

Galaxy classification via the Hubble "tuning fork diagram":  
 "early types": elliptical galaxies; "late types": spiral galaxies.

### Spiral Galaxies

4-4

Despite many problems, it is often possible to classify stars roughly into five different populations:

Population	Typical stars	Velocity Disp. (km s <sup>-1</sup> )	Shape	Abundance wrt. H
Halo pop. II	globular clusters red giants	130	spherical	0.003
Intermediate pop. II	high- <i>v</i> stars	50	intermediate	0.01
Disk pop.		30	intermediate	0.02
Intermediate pop. I	stars with strong lines	20	intermediate	0.03
Extreme pop. I	blue supergiants	10	Flat	0.04

after Combes et al.

### Spiral Galaxies

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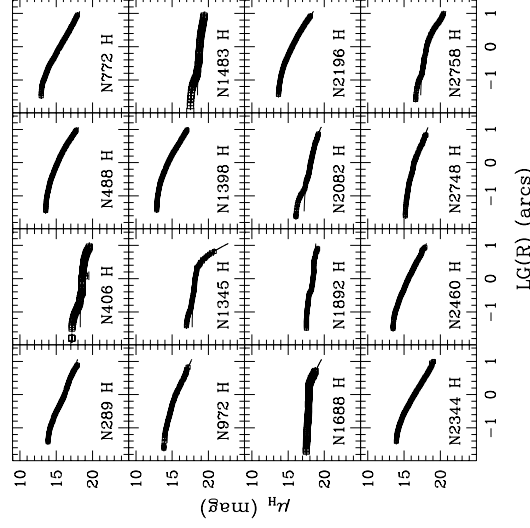


Optical light: emission from  
Galaxies is dominated by  
emission from

- stars
- emission nebulae

M90 (SDSS)

**Disk**



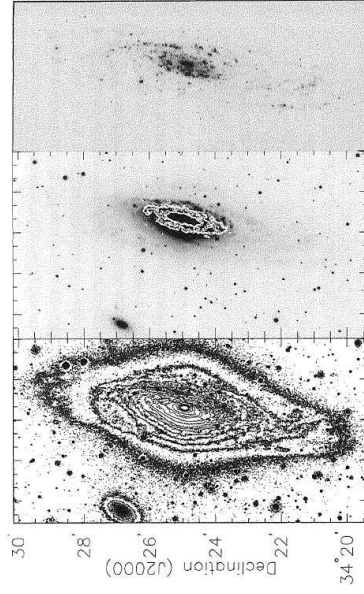
To get rid of structure: average over disk  $\implies$  surface brightness profile

(Seigar et al., 2002, Fig. 1a)

Distribution of Starlight



**Starlight**

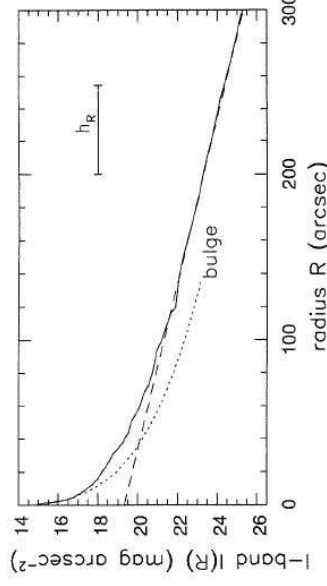


Left: R-band isophotes of NGC 7331 (inclination  $\sim 75^\circ$ , Middle: R-band image & CO emission, Right:  $H\alpha$  (SG Fig. 5.3).

There is lots of structure on galaxy images:  
Bulge: circular isophotes  $\implies$  spherical distribution  
Disk: isophotes elliptical  $\implies$  projection effect

Distribution of Starlight

**Disk**



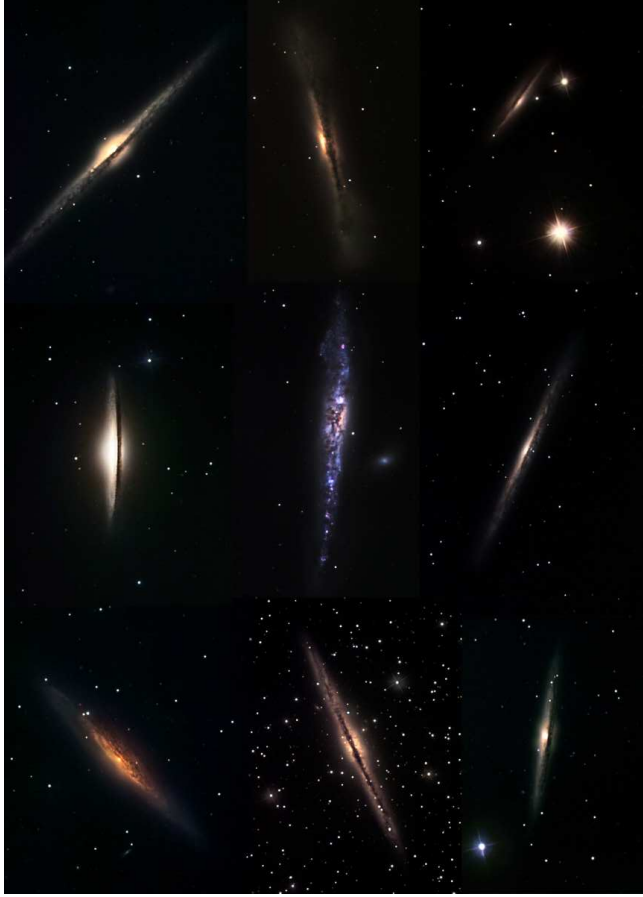
NGC7331: Surface brightness in i-band ( $\sim 8000\text{\AA}$ ) (SG, Fig. 5.4); Note: magnitudes are logarithmic: 5 mag = factor 100!

For many spirals the disk component is well modeled by

$$I(R) = I_0 \exp(-R/h_R) \tag{4.1}$$

where scale length  $1 \text{ kpc} \lesssim h_R \lesssim 10 \text{ kpc}$  and where typically  $I_{0B} \sim 22 \text{ mag arcsec}^{-2}$ .  
Note that surface brightness is higher by  $1/\cos i$  when disk is tilted.

Distribution of Starlight



R. Gendler

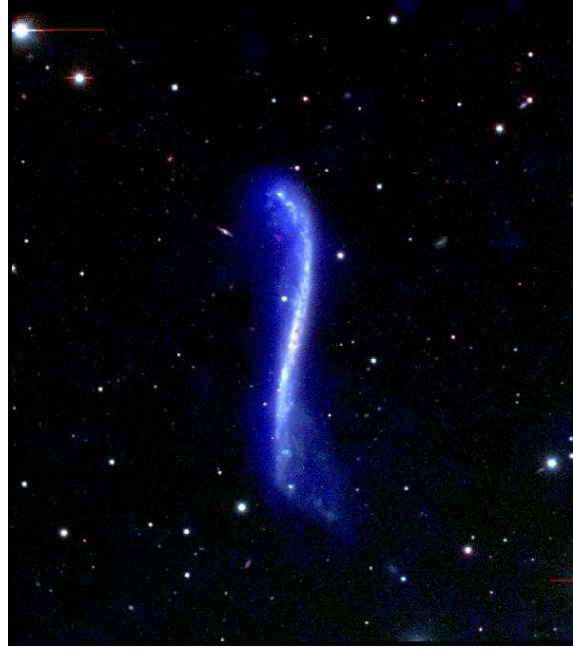
Sombrero Galaxy • M104



Bulge: well described by de Vaucouleurs' law:

$$\log \left( \frac{I(R)}{I_c} \right) = -3.3307 \left[ \left( \frac{R}{R_c} \right)^{1/4} - 1 \right] \quad (4.3)$$

where  $R_c$ : effective radius, i.e., radius containing in half of the total luminosity.



UGC 3697 ("integral sign galaxy", a superthin galaxy; NRAO/AUI)

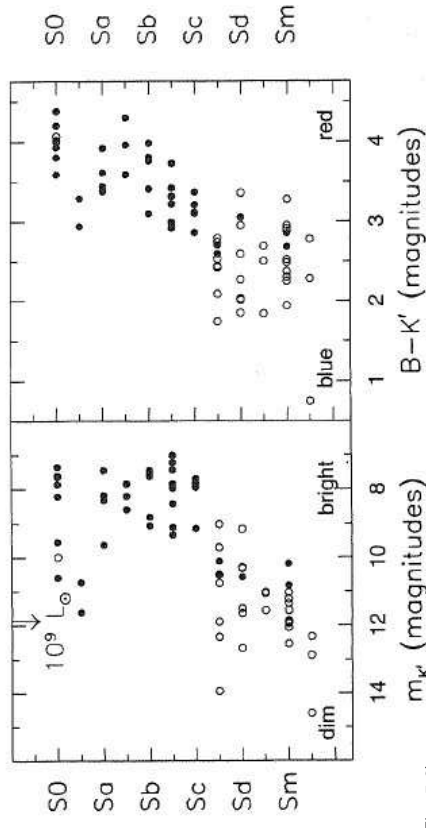
Vertical structure ( $z$ -direction): surface brightness well described by

$$I(R, z) = I(R) \exp \left\{ -\frac{|z|}{h_z} \right\} \quad \text{where} \quad h_z \sim 0.1 h_R \quad (4.2)$$



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### Sequence of Disk Galaxies



SG (Fig. 5.6)

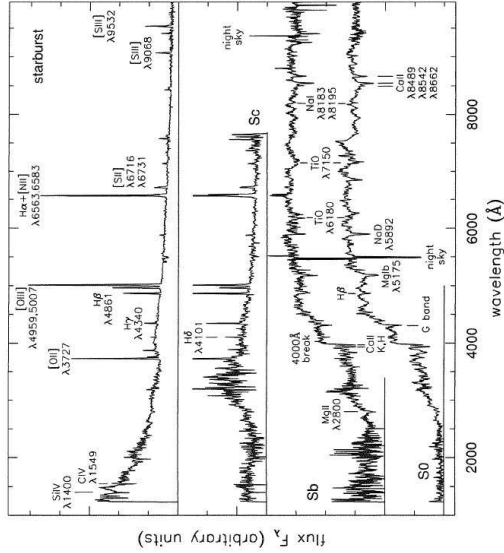
**S0 galaxies are luminous and red, while Sc and later are fainter and bluer**

Sd: no bulge visible, Sm: Magellanic systems

Distribution of Starlight



### Sequence of Disk Galaxies

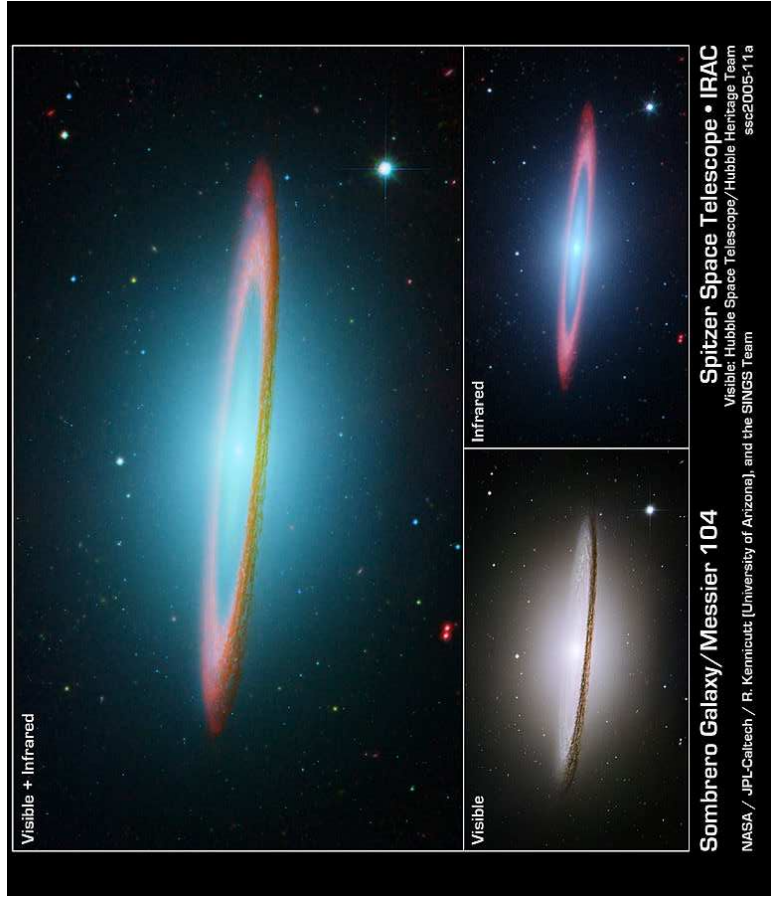


SG (Fig. 5.24)

galaxy spectra =  $\sum$  constituent spectra

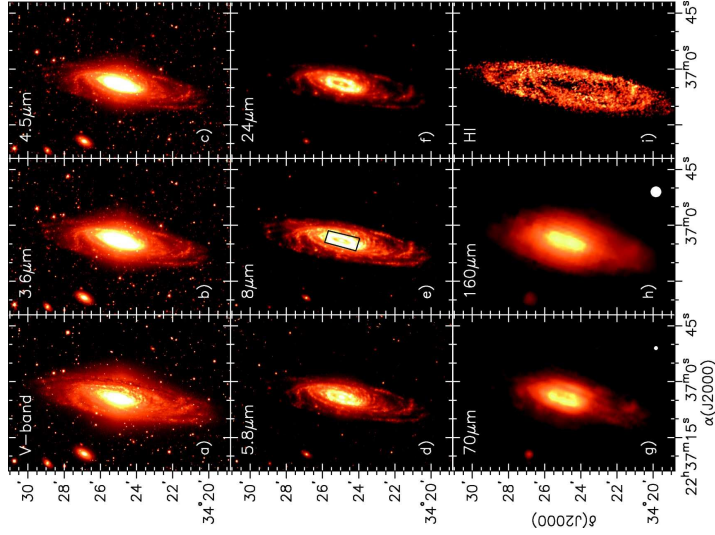
- S0: mainly absorption lines from cool K stars, Ca H, K from G-type stars
- Sc: mainly blue and UV emission, i.e., hot and young stars; emission lines from photoionized emission nebulae
- Starburst: significant photoionization present (see later)

### Distribution of Starlight



Sombrero Galaxy / Messier 104

Spitzer Space Telescope • IRAC  
 Visible: Hubble Space Telescope / Hubble Heritage Team  
 NASA / JPL-Caltech / R. Kennicutt (University of Arizona), and the SINGS Team  
 ssc2005-11a



Multi-Color Image of NGC 7331, ISM mass is  $5 \times 10^8 M_{\odot}$ .

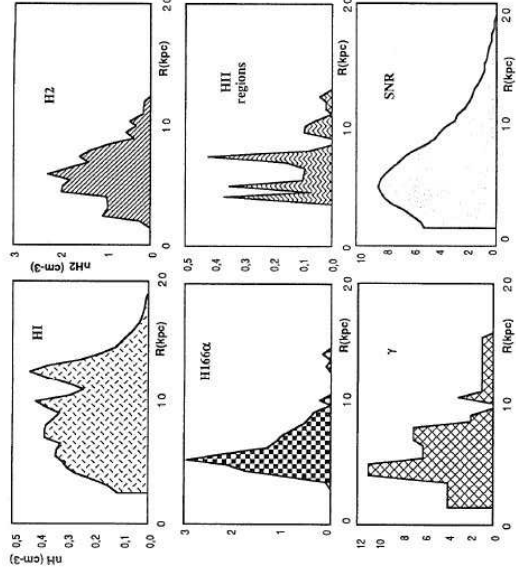
Distribution of gas in disk mainly done using 21 cm imaging, dust using IR observations

We can generally trace H I to  $10^{19}$  H atoms  $\text{cm}^{-2}$ , or  $\sim 0.1 M_{\odot} \text{pc}^{-2}$ .

(Regan et al., 2004)



### Distribution of Gas and Dust



The distribution of different ISM components in Milky Way is typical for galaxies.

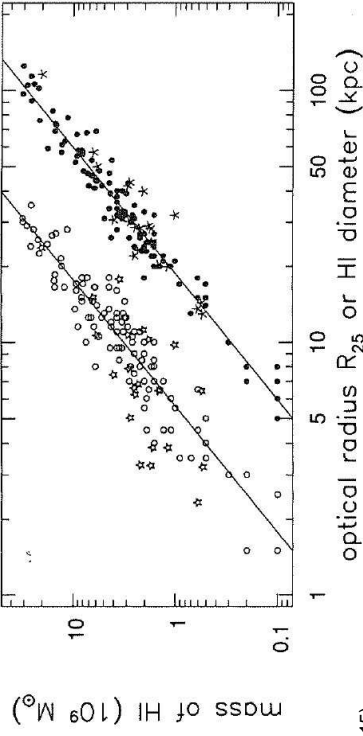
- H2: remember that molecular hydrogen is traced by CO
- H1666: recombination line, traces H II regions
- $\gamma$ : brightness in  $\gamma$ -rays (from cosmic-ray interactions with matter in all its forms)

Combes et al. (Fig. 2.6)

### Distribution of Gas and Dust



### Distribution of Gas and Dust



SG (Fig. 5.15)

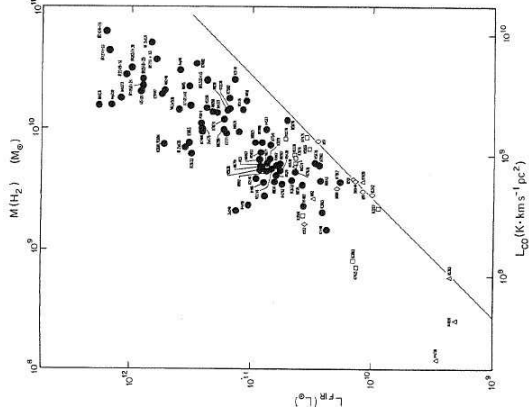
Mass of H I gas as function of

- the optical radius  $R_{25}$  (open circles; note:  $\propto R_{25}^2$ )
  - the diameter  $R_{HI}$  where surface density drops to  $1 M_{\odot} \text{pc}^{-2}$  (filled circles;  $\propto R_{HI}^2$ )
- ⇒ H I disk extends generally to  $\sim 2R_{25}$

Distribution of Gas and Dust



### Distribution of Gas and Dust



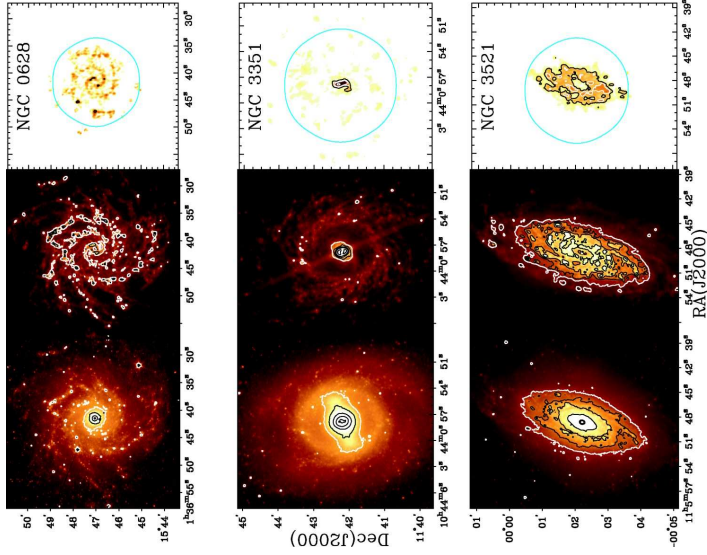
The IR luminosity and the CO luminosity (=H<sub>2</sub>-content) of spirals are generally correlated.

Line: milky way

$L_{IR}/M_{H_2}$ : good indicator for star formation, for normal spirals ratio is 1...3, for starbursts 20-30, for "IRAS-galaxies" can reach 200.

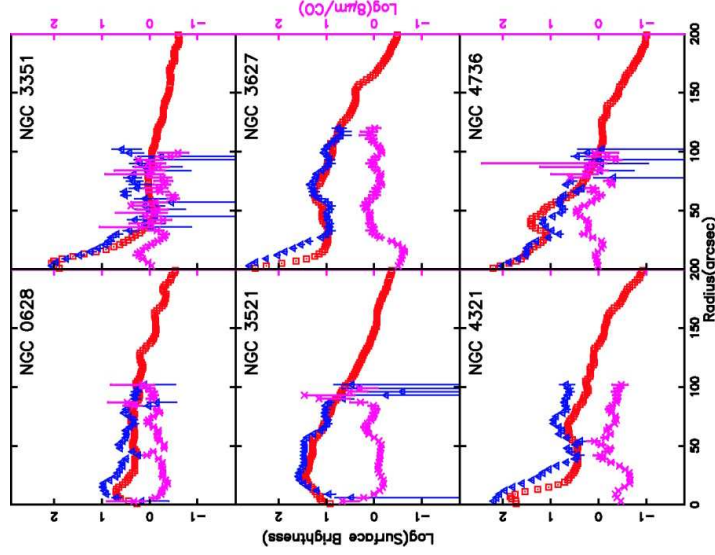
Combes et al. (Fig. 2.11)

Distribution of Gas and Dust



- Left: 3.6  $\mu\text{m}$ : red giant stars
- Middle: 0.8  $\mu\text{m}$ , stellar continuum-subtracted: PAHs (polycyclic aromatic hydrocarbons; see Local-Group chapter)
- Right: CO(1-0) mosaic (circle: outer range of detection)

(Regan et al., 2006, Fig. 1)

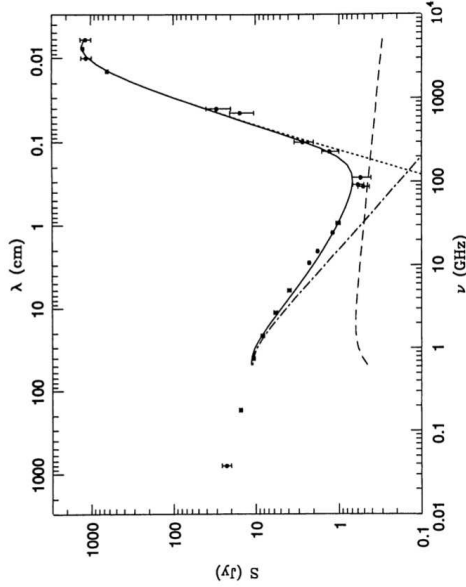


Radial intensity profiles:

- red: PAH
- blue: CO
- magenta: ratio

(Regan et al., 2006, Fig. 3)

### Radio Emission



Condon (1992)

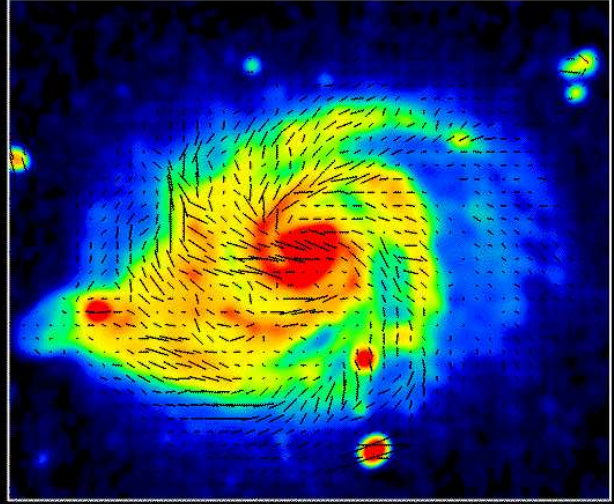
- thermal emission (dust; high frequencies)
- free-free Bremsstrahlung (ionized medium; intermediate frequencies)
- a power-law component at low frequencies.

Next: What does the power-law component look like in radio images?

Radio Emission

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M51 20cm Total Intensity+Magnetic Field (VLA)



Copyright: MPE (B. Beck, C. Heeslein & N. Netatjagen)

Non-thermal radio emission traces spiral arms and is strongly polarized

Next: What process can produce this kind of emission?

### Synchrotron Emission

Magnetic fields: trace through synchrotron radiation from electrons moving around  $B$ -field lines. Lorentz-force (Gaussian units):

$$\frac{d\mathbf{p}}{dt} = \frac{e}{c} \mathbf{v} \times \mathbf{B} \quad \text{where} \quad \mathbf{p} = \frac{m_e \mathbf{v}}{\sqrt{1-\beta^2}} = \gamma m_e \mathbf{v} \quad \text{with} \quad \gamma = \frac{1}{\sqrt{1-\beta^2}} \quad \text{and} \quad \beta = \frac{v}{c} \quad (4.4)$$

Therefore the acceleration is

$$\frac{d\mathbf{v}}{dt} = \frac{e}{c\gamma m_e} \mathbf{v} \times \mathbf{B} \quad (4.5)$$

Since  $\mathbf{v} \times \mathbf{B}$  is always perpendicular to  $\mathbf{v}$  and  $\mathbf{B}$ , the component of  $\mathbf{v}$  along the  $B$ -field does not change. This constant perpendicular force results to a helical motion around the  $B$ -field line with the frequency

$$\omega_B = \frac{eB}{\gamma m_e c} = \frac{\omega_L}{\gamma} \quad \text{with the Larmor frequency} \quad \omega_L = 2\pi\nu_L = \frac{eB}{m_e c} \quad (4.6)$$

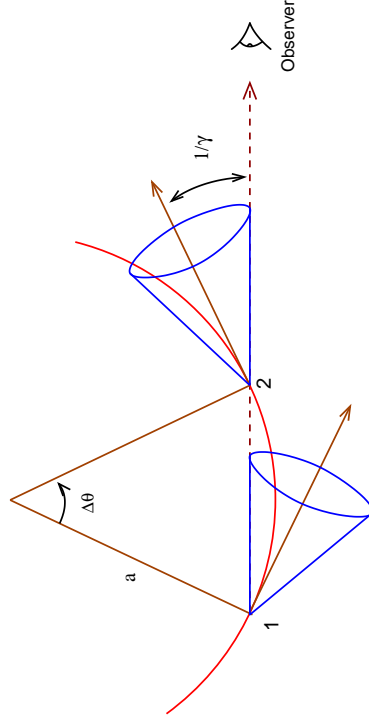
For typical ISM values, the Larmor frequency and Larmor radius are

$$\nu_L = 2.8 \times 10^6 B_{10^{-6}\text{G}} \text{ MHz} \quad \text{and} \quad R_L = \frac{\gamma v_{\perp}}{\omega_L} \sim 2 \text{ AU} \cdot \frac{E}{1 \text{ GeV}} \cdot \left( \frac{B}{10^{-6} \text{ G}} \right)^{-1} \quad (4.7)$$

Radio Emission

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### Synchrotron Emission



(after Fig. 6.2 of Rybicki & Lightman, 1979)

Observer frame: Doppler effect! (electron is closer to us at end of time interval)  
 $\Rightarrow$  observed pulse duration:

$$\tau = \left(1 - \frac{v}{c}\right) \Delta t = (1 - \beta) \Delta t \quad (4.8)$$

Radio Emission

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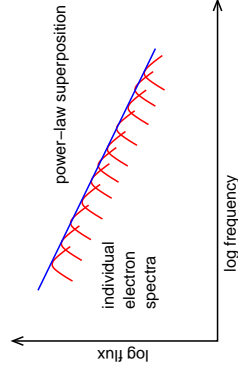


### Synchrotron Emission

We have introduced the emission principle and relativistic effects.

Now (details, e.g., in AGN lecture):

- Calculate the characteristic frequency of one emitting electron and note that short pulses result in broad frequency coverage (Heisenberg)
- Calculate the emitted spectrum for an ensemble of electrons. Most realistic case: electron energy distribution described by a power-law.
- The resulting spectrum is a power-law with spectral index  $\alpha$  typically around  $-0.5$  to  $-1$ .

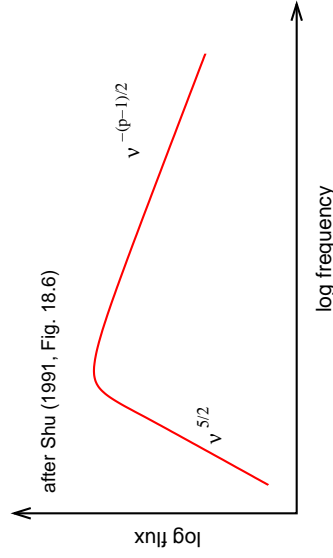


after (Shu, 1991, Fig. 18.4)

Radio Emission



### Synchrotron Emission



after Shu (1991, Fig. 18.6)

At low  $\nu$ : synchrotron emitting electrons can absorb synchrotron photons:  
synchrotron self-absorption.

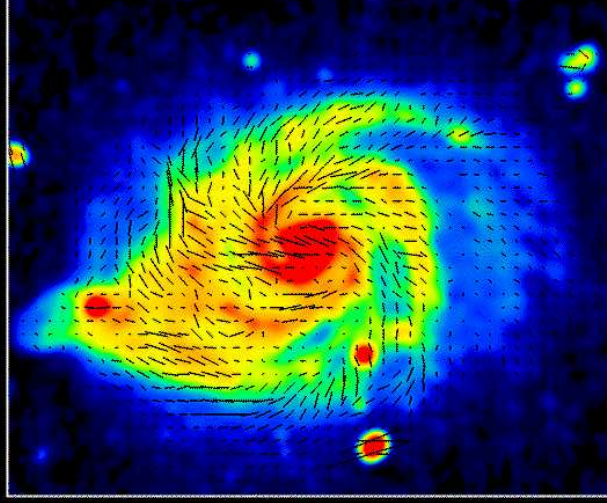
For a power law electron distribution  $\propto E^{-p}$ , total spectral shape can be shown to be:

For low frequencies:  $P_\nu \propto B^{-1/2} \nu^{5/2}$  (independent of  $p$ )

For large frequencies:  $P_\nu \propto \nu^{-(p-1)/2}$

One often uses the terms optically thick/thin to describe the absorbed/unabsorbed part of a synchrotron spectrum. The turnover describes the  $\tau = 1$  surface, e.g., of a jet. In general:  $\tau \propto R$  ( $R$ : size of the emitting region). More compact regions are optically thick, more extended regions are optically thin.

Radio Emission



Copyright: MPR03 Bonn (R.Beek, O.Bereillon & N.Neinger)

In addition to spectral shape, synchrotron radiation is strongly polarized.

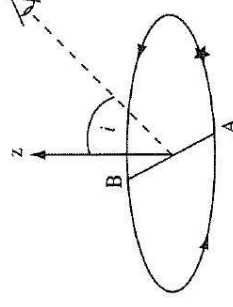
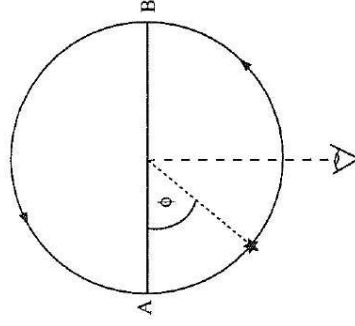
Polarization can be used to find  $B$ -field vectors!

$B$ -field vectors inferred from the degree of polarization in spiral galaxy M51 by rotation of the observed  $E$ -field-vectors by  $90^\circ$

(Neinger 1992, A&A 263, 30)



### Spider Diagrams

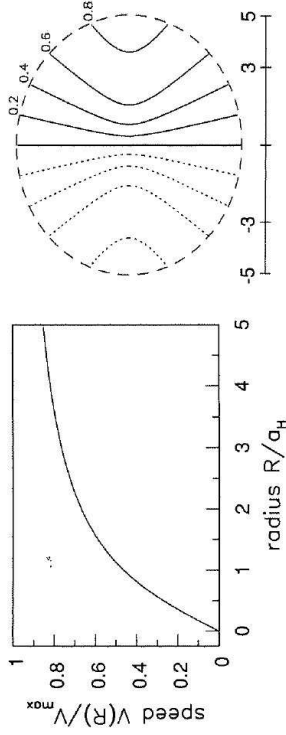


Now consider motion of gas in spiral galaxies.

Good assumption for now: gas is moving on approximately circular orbits

Gas Motion

Spider Diagrams

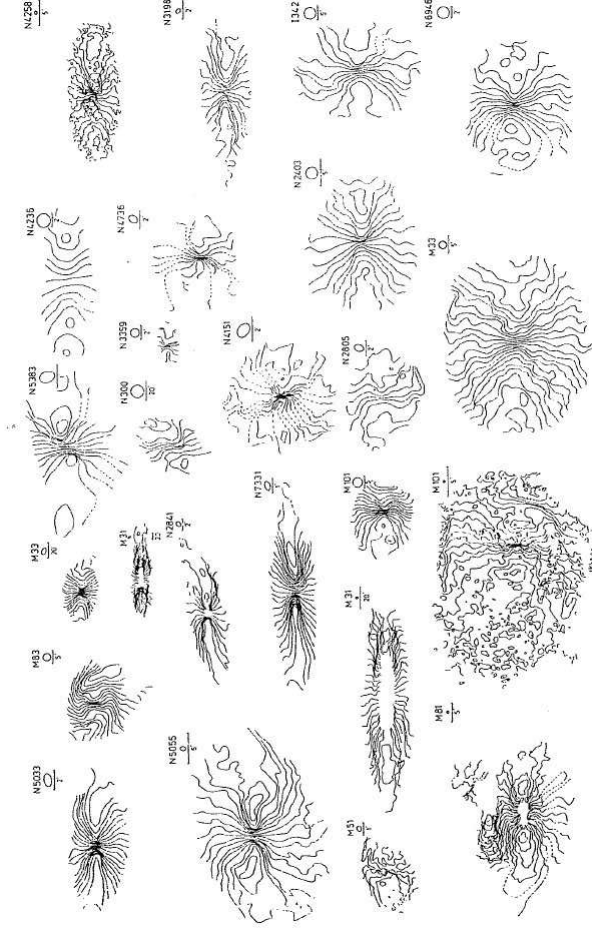


Because we look at inclined galaxy, observed radial velocity is given by

$$v_r(R, i) = v_{\text{sys}} + v(R) \sin i \cos \phi \tag{4.9}$$

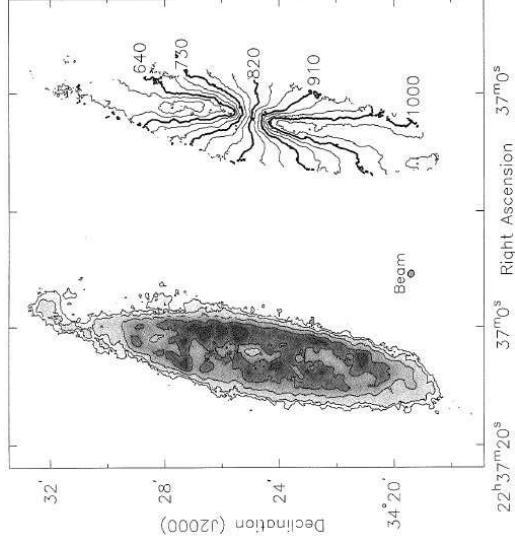
where  $v_{\text{sys}}$  is the systemic velocity (e.g., peculiar velocity plus Hubble flow), and where  $v(R)$  is the rotational velocity.

Observations: plot curves of constant  $v_r$ : Spider diagram



Combes et al. (Fig. 3.5)

Spider Diagrams

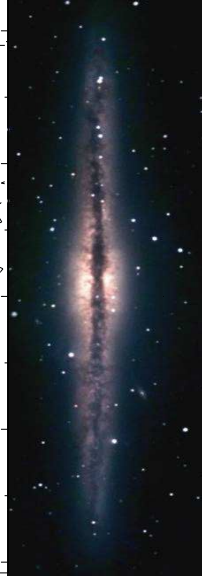
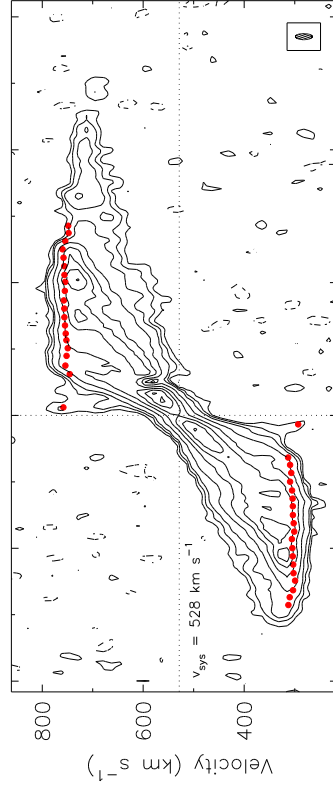


Major properties of spider diagrams:

- central regions: contours parallel to minor axis  $\Rightarrow V(R) \propto R$
- outer regions: contours radial  $\Rightarrow V(R) \sim \text{const.}$

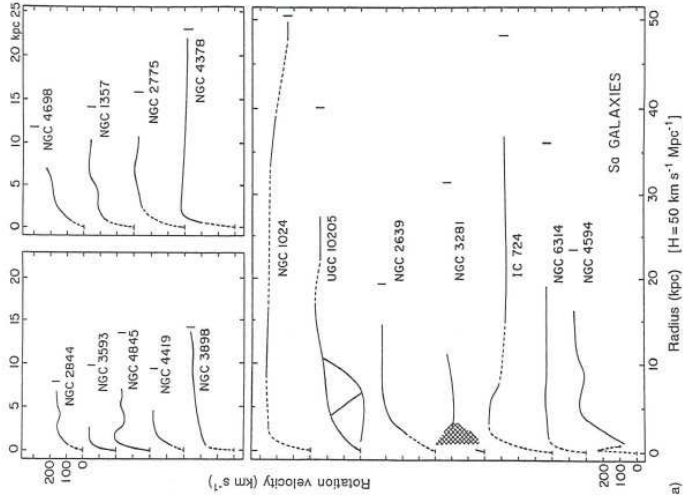
H I gas surface density and gas velocity in NGC 7331 (SG, Fig. 5.13)

Rotation Curves

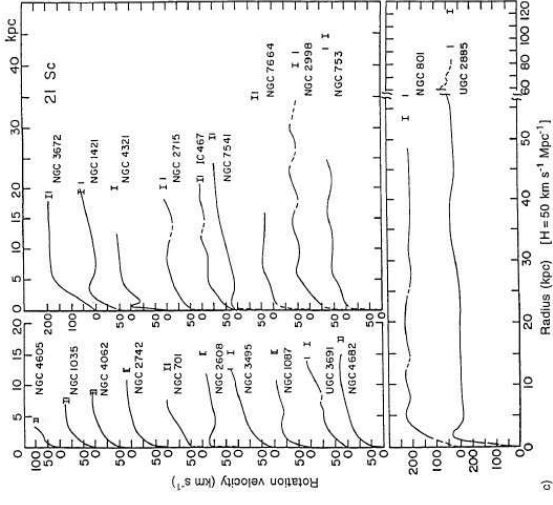


NGC 891 (Swaters et al., 1997, ApJ 491, 140 / Paul LeFevre, S&T Nov. 2002)

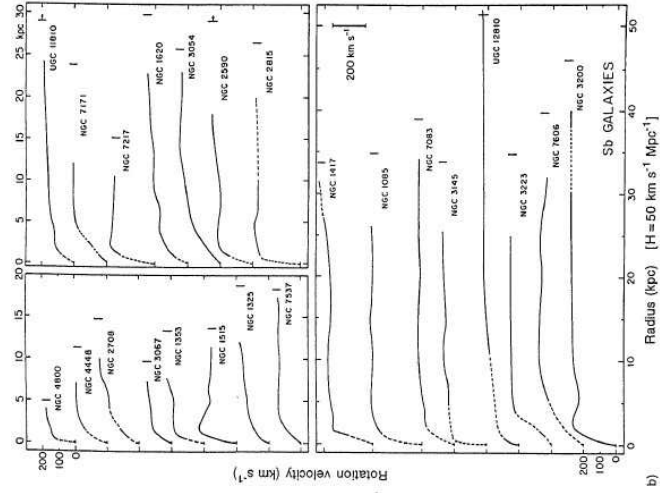




Rotation curves of Sa galaxies (Combes et al., Fig. 3.1)



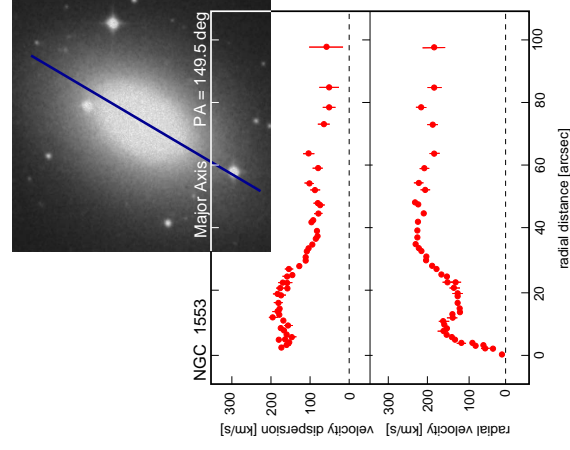
Rotation curves of Sc galaxies (Combes et al., Fig. 3.1)



Rotation curves of Sb galaxies (Combes et al., Fig. 3.1)



### Rotation Curves



Spiral galaxy rotation curves simple and roughly flat!

"Galaxy rotation problem", first discovered by Vera Rubin (1970)



©Astron. Soc. Pacific

← NGC 1553 (S0) (after Kormendy, 1984, ApJ 286, 116)

**Rotation Curves**

To derive gas motion: assume that gas is in dynamic equilibrium and that the motion is solely determined by gravity.

Equation of motion

$$\ddot{\mathbf{r}} = -\nabla\Phi \quad (4.10)$$

The potential is obtained from solving Poisson's equation

$$\Delta\Phi(R) = 4\pi G\rho(R) \quad (4.11)$$

The potential will generally be axisymmetric, i.e.,  $\Phi = \Phi(R, z)$  (no dependence on angular coordinate  $\phi$ ).

In this case, use cylindrical coordinates and the components of Eq. (4.10):

$$\frac{d}{dt}(R^2\dot{\phi}) = 0 \quad (4.12)$$

$$\ddot{R} - R\dot{\phi}^2 = -\frac{\partial\Phi}{\partial R} \quad (4.13)$$

$$\ddot{z} = -\frac{\partial\Phi}{\partial z} \quad (4.14)$$

Gas Motion

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**Rotation Curves**

Because  $\frac{d}{dt}(R^2\dot{\phi}) = 0$ :

The  $z$ -component of the angular momentum (per unit mass) is conserved:

$$L_z = R^2\dot{\phi} = \text{const.} \quad (4.15)$$

Introduce the effective potential

$$\Phi_{\text{eff}} = \Phi(R, z) + \frac{L_z^2}{2R^2} \quad (4.16)$$

to simplify Eq. (4.12)ff. further to obtain

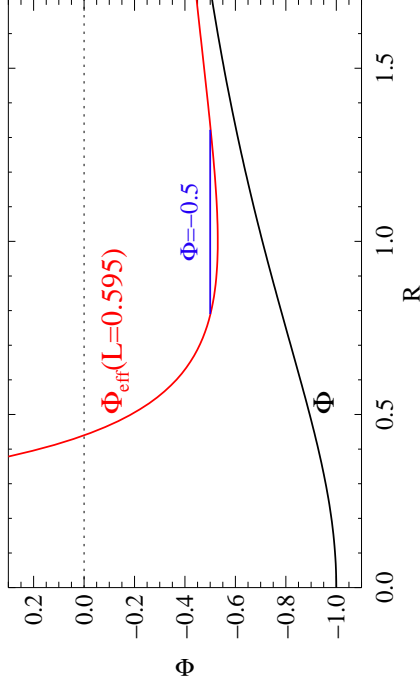
$$\ddot{R} = -\frac{\partial\Phi_{\text{eff}}}{\partial R} \quad \text{and} \quad \ddot{z} = -\frac{\partial\Phi_{\text{eff}}}{\partial z} \quad (4.17)$$

Multiply by  $\dot{R}$  and integrate to obtain the energy equation

$$\frac{1}{2}\dot{R}^2 + \Phi_{\text{eff}}(R, z) = \text{const.} \quad (4.18)$$

Gas Motion

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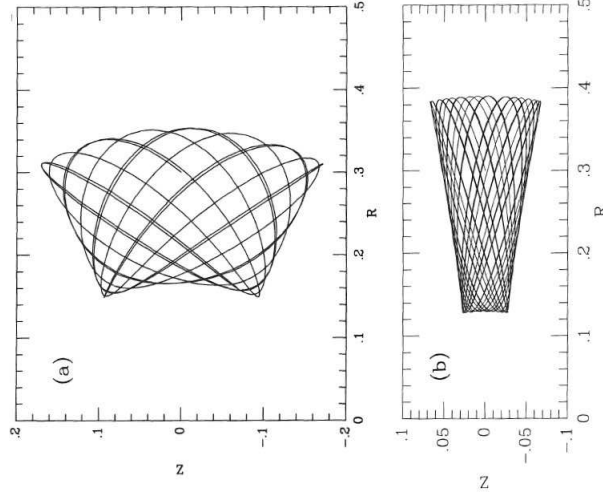
**Rotation Curves**

Energy equation defines the orbits of stars, yields (in general complicated) orbits between minimum and maximum radius.

Note "angular momentum barrier" for small  $R$ .

Gas Motion

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**Figure 3-3.** Two orbits in the potential of equation (3-50) with  $q = 0.9$ . Both orbits are at energy  $E = -0.8$  and angular momentum  $L_z = 0.2$ , and we assume  $v_0 = 1$ .

Binney & Tremaine (Fig. 3.3)



### Rotation Curves

To derive mass of galaxy from observed motion, a possible Ansatz is to assume circular orbits and to write

$$v^2(R) = R \frac{\partial \Phi}{\partial R} \quad (4.19)$$

where  $\Phi$  is some suitable potential. An ansatz originally due to Alar Toomre is to notice that

$$\Phi_{\pm}(R, z) = \exp(-k|z|) J_0(kR) \quad (4.20)$$

is a solution of Laplace equation ( $J_0$ : Bessel function).

The surface density distribution causing this potential is (use Gauss' theorem; assume  $z = 0$ )

$$\Sigma_k(R) = -\frac{k}{2\pi G} J_0(kR) \quad (4.21)$$

Real surface densities can be generated by superposition:

$$\Sigma(R) = \int_0^{\infty} S(k) \Sigma_k(R) dk = -\frac{1}{2\pi G} \int_0^{\infty} S(k) J_0(kR) k dk \quad (4.22)$$

and the corresponding potential is

$$\Phi(R, z) = \int_0^{\infty} S(k) \Phi_k(R, z) dk = \int_0^{\infty} S(k) J_0(kR) e^{-k|z|} dk \quad (4.23)$$

Gas Motion

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### Exponential Disks

It is possible to show that

$$S(k) = -2\pi G \int_0^{\infty} J_0(kR) \Sigma(R) R dR \quad (4.24)$$

Remember similar quantities for Fourier series!

$\Rightarrow$  Obtain  $\Sigma(R)$  from observations, then get  $S(k)$

The predicted velocity profile is then:

$$v^2(R) = R \left. \frac{\partial \Phi}{\partial R} \right|_{z=0} = -R \int_0^{\infty} S(k) J_1(kR) k dk \quad (4.25)$$

For exponential disks,  $\Sigma(R) = \Sigma_0 \exp(-R/R_d)$ , one finds

$$S(k) = -\frac{2\pi G \Sigma_0 R_d^2}{[1 + (kR_d)^2]^{3/2}} \quad (4.26)$$

and after a little bit tedious calculation

$$v^2(R) = 4\pi G \Sigma_0 R_d g^2 [I_0(y) K_0(y) - I_1(y) K_1(y)] \quad (4.27)$$

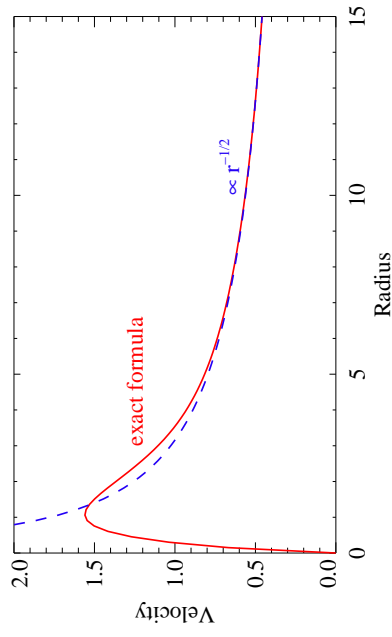
where  $I_j, K_j$ : modified Bessel functions and  $y = R/(2R_d)$ .

Gas Motion

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### Exponential Disks



For a disk, the velocity is predicted to fall at sufficiently large radii,  $v \propto r^{-1/2}$

As expected:

$$\frac{GM(< r)}{r^2} = \frac{v_{\text{rot}}^2(r)}{r} \Rightarrow v = \sqrt{\frac{GM}{r}} \quad (4.28)$$

Gas Motion

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### Exponential Disks

What mass distribution do we expect?

Intensity profile of disk in spiral galaxies can be well described by

$$I(r) = I_0 \exp(-r/h) \quad (4.1)$$

Therefore the luminosity emitted within the radial distance  $r_0$  is:

$$L(r < r_0) = I_0 \int_0^{r_0} \exp(-r/h) 2\pi r dr = 2\pi I_0 (h^2 - \exp(-r_0/h) h (h + r_0)) \quad (4.29)$$

i.e., for  $r_0 \rightarrow \infty$ :  $L(r < r_0) \rightarrow \text{const.}$

$\Rightarrow$  If all light comes from stars, i.e., light traces mass, and the population of stars does not change with position then  $M/L \sim \text{const.}$

This implies that outside of a certain radius  $M(< r) \sim \text{const.}$  and thus  $v \propto r^{-1/2}$

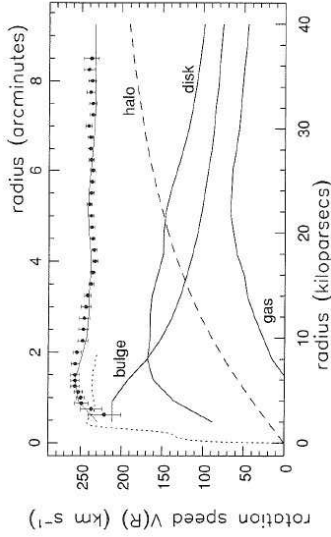
This is not what is observed!

Gas Motion

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### Dark Matter Halos



Radial Velocity Curve of NGC 7331 (SG, Fig. 5.20)

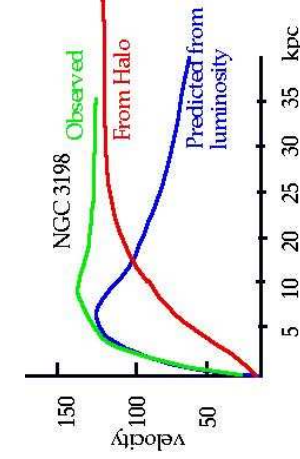
To obtain the flat rotation curves, disk profiles are not sufficient.

One therefore postulates a massive halo, e.g., with a density distribution of the form

$$\rho_{\text{halo}}(R) = \frac{\rho_0}{1 + (R/a)^{\gamma}} \implies v_{\text{halo}}^2 = \frac{4\pi G}{r} \int_0^r x^2 \rho_{\text{halo}}(x) dx \quad (4.30)$$



### Dark Matter Halos



Distribution of dark matter:

- luminosity to mass ratio:  $M/L = 4$  (solar neighbourhood)
- convert luminosity to mass
- compute expected rotation curve from the mass distribution  $v_{\text{lum}}(R)$
- distribution of dark matter:

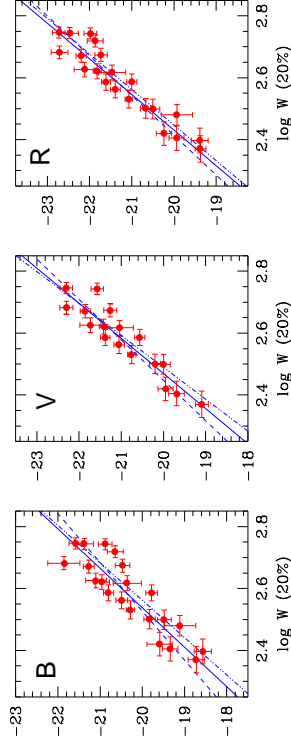
$$M_{\text{dark}}(R) = \frac{R}{G} [v^2(R) - v_{\text{lum}}^2(R)] \quad (4.31)$$

Canonical interpretation: a large fraction of gravitating material does not emit light  $\implies$  spiral galaxies have large and massive halos made of dark matter

In general, the mass to light ratio of spiral galaxies is  $5 \lesssim M/L \lesssim 25$ .



### Tully-Fisher



(after Sakai et al., 2000, Fig. 1)

The constant  $M/L$  for spirals leads to the Tully-Fisher relation for spiral galaxies: The width of 21 cm line of H is correlated with the galaxy luminosity, can be used as a distance indicator:

$$M = -a \log \left( \frac{W_{20}}{\sin i} \right) - b \quad (4.32)$$

where  $W_{20}$ : 20% line width ( $\text{km s}^{-1}$ ); typically  $W_{20} \sim 300 \text{ km s}^{-1}$ ,  $i$  inclination angle.

For the B- and I-Bands (Sakai et al., 2000):

	B	I
a	$7.97 \pm 0.72$	$9.24 \pm 0.75$
b	$19.80 \pm 0.11$	$21.12 \pm 0.12$



### Tully-Fisher

Qualitative Physics: Line width related to mass of galaxy:  $W/2 \sim V_{\text{max}}$ , where  $V_{\text{max}}$  max. velocity of rotation curve

- $\implies$  Assume  $M/L = \text{const.}$  (good assumption)
- $\implies$  width related to luminosity.

The detailed physical basis is still unknown. Might be related to galaxy formation ("hierarchical clustering", see later).

I-band is better (less internal extinction).

Caveats:

1. Determination of inclination  $i$ .
2. Influence of turbulent motion within galaxy.
3. Constants dependent on galaxy type (Sa and Sb similar, Sc more luminous by factor of  $\sim 2$ ).
4. Optical extinction.
5. Intrinsic dispersion  $\sim 0.2 \text{ mag}$ .
6. Barred Galaxies problematic.



M81, a "grand design spiral galaxy" (NASA, ESA and The Hubble Heritage Team, STScI/AURA)



NGC 3949, a "flocculent spiral pattern" (NASA, ESA and The Hubble Heritage Team, STScI/AURA)



### Spiral Patterns

Spiral arms can be generally described via

$$\cos(m(f(R, t) + \phi)) = 1 \quad (4.33)$$

where  $R, \phi$ : galactocentric coordinates,  $m$ : number of arms

$f(R, t)$  describes winding:

$|\partial f / \partial R|$  large  $\rightarrow$  tightly wound arms.

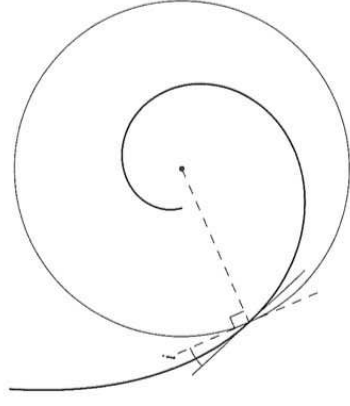
Opening angle of spiral ("pitch angle"):

$$\cot i = \frac{1}{\tan i} = \left| R \frac{\partial \phi}{\partial R} \right| = \left| R \frac{\partial f}{\partial R} \right| \quad (4.34)$$

for Sa-galaxies:  $i \sim 5^\circ$ , for Sc  $10^\circ < i < 30^\circ$ .

Constant pitch angles lead to logarithmic spirals,

$$f(R, t) = \ln R + \text{const.} \quad (\text{SG, Fig. 5.28})$$



### Spiral Arms



### Winding Problem

Differential rotation can wind up any initial radial structures (e.g., from local star bursts) and produce a temporal spiral appearance of the disk  $\Rightarrow$  Stochastic Spirals

But all structures (=spiral arms) will be smeared out in a short time:

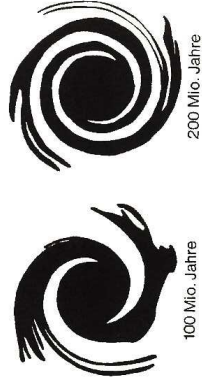
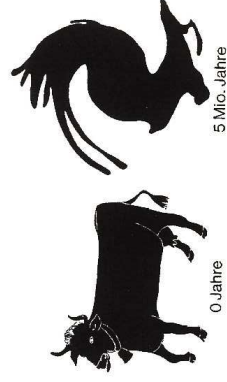
- Assume stars on straight line, i.e.,  $\phi = \phi_0$
- Stars move on circular orbits with  $\Omega(R)$  =  $V(R)/R$ , i.e. at time  $t$ :  $\phi(t) = \phi_0 + \Omega(R)t$ , i.e.,  $f(R, t) = -\phi_0 - \Omega(R)t$ .

- Since  $\Omega(R)$  drops: trailing spiral develops
- Example for solar vicinity ( $R = 8 \text{ kpc}$ ,  $V(R) \sim 200 \text{ km s}^{-1}$  and roughly constant):

$$\cot i = \frac{200 \left( \frac{t}{1 \text{ Gyr}} \right)}{8} \iff i \sim 2^\circ \left( \frac{t}{1 \text{ Gyr}} \right) \quad (4.35)$$

$\Rightarrow$  very fast winding up, flocculent patterns

Kippenhahn



30.000 Lichtjahre

### Spiral Arms



## Epicyclic Orbits

To explain grand-design spiral pattern, we need to look at motions of stars in greater detail.

Of special interest: orbits around the minimum of the effective potential,

$$\nabla \Phi_{\text{eff}} = 0 \quad (4.36)$$

This means:

$z$ -direction: gradient is 0 at  $z = 0$

$$R\text{-direction: } \frac{\partial \Phi_{\text{eff}}}{\partial R} = 0 \implies \left. \frac{\partial \Phi}{\partial R} \right|_{R_g, z=0} = \frac{L_z^2}{R_g^3} = R_g \dot{\phi}^2 \quad (4.37)$$

$\implies$  centrifugal force!

$\implies$  circular orbit with angular speed  $\dot{\phi}$ !

The effective potential has a minimum at the radius of the circular orbit which has the angular momentum corresponding to  $L_z$ .

Spiral Arms

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## Epicyclic Orbits

Now look at small deviations in motion around  $R = R_g$ . Introduce

$$x = R - R_g \quad (4.38)$$

(which is a small quantity). In this case (Taylor!):

$$\Phi_{\text{eff}} \sim \frac{1}{2} (\kappa^2 x^2 + \nu^2 z^2) + \mathcal{O}(xz) + \dots \quad (4.39)$$

where

$$\kappa^2 = \left. \frac{\partial^2 \Phi_{\text{eff}}}{\partial R^2} \right|_{R_g, 0} = \left. \frac{\partial^2 \Phi}{\partial R^2} \right|_{(R_g, 0)} + \frac{3L_z^2}{R_g^4} \quad \text{and} \quad \nu^2 = \left. \frac{\partial^2 \Phi}{\partial z^2} \right|_{(R_g, 0)} \quad (4.40)$$

But for circular motion

$$\left. \frac{\partial \Phi}{\partial R} \right|_{(R_g, 0)} = \frac{L_z^2}{R_g^3} = R_g \Omega^2(R_g) \quad (4.41)$$

where  $\Omega$  is angular speed of circular orbit at  $R = R_g$ , such that

$$\kappa^2 = \left( R \frac{d(\Omega^2)}{dR} + 4\Omega^2 \right)_{R=R_g} \quad (4.42)$$

Spiral Arms

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## Epicyclic Orbits

Now insert  $\Phi_{\text{eff}}$  into equations of motion and solve them:

- $z$ -direction:  $\ddot{z} = -\frac{\partial \Phi_{\text{eff}}}{\partial z} = -\nu^2 z \implies z(t) = Z \cos(\nu t + \zeta) \quad (4.43)$

- $x$ -direction:  $\ddot{x} = -\kappa^2 x \implies x(t) = X \cos(\kappa t + \psi) \quad (4.44)$

- $\phi$ -direction:

$$\dot{\phi} = \frac{L_z}{R^2} = \frac{L_z}{R_g^2} \left( 1 + \frac{x}{R_g} \right)^{-1} \sim \Omega_g \left( 1 - \frac{2x}{R_g} \right) \implies \phi(t) = \Omega_g t + \phi_0 - \frac{2\Omega_g X}{\kappa R_g} \sin(\kappa t + \psi) \quad (4.45)$$

The motion of stars close to the circular orbit can be described as a circular motion plus an elliptical motion around this guiding center.

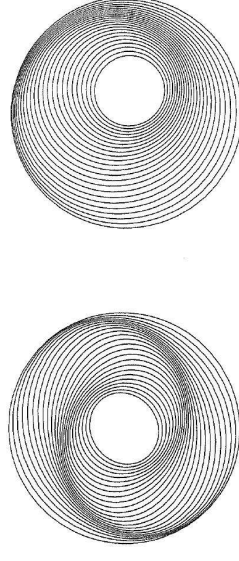
Similar to pre-Keplerian theories of planetary motion  $\implies$  "epicyclic approximation"

Spiral Arms

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## Epicyclic Orbits



$$R = R_g (1 + 0.075 \cos(2(5 - 5R_g + \phi)))^{-1} \quad \text{and} \quad R = R_g (1 + 0.15 \cos((5 - 5R_g + \phi)))^{-1} \quad (\text{SG, Fig. 5.29})$$

In radial direction:

$$x(t) = X \cos(\kappa t + \psi) \quad (4.46)$$

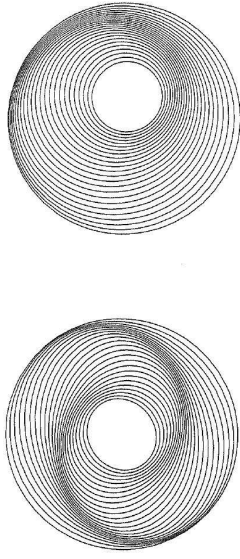
$\kappa$ : epicyclic frequency,  $\psi$ : prescribes initial radius

Start placing stars with their guiding centers  $\phi_{gc}$  around the circle at  $R_g$ , and set  $\psi = 2\phi_{gc}(0)$ .

$\implies$  Stars lie on an oval with long axis pointing along  $\phi = 0$ .

Spiral Arms

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**Epicyclic Orbits**

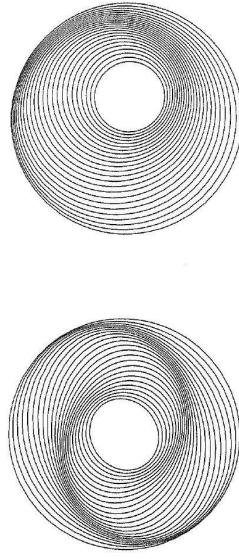
$$R = R_g(1 + 0.075 \cos(2(5 - 5R_g + \phi)))^{-1} \text{ and } R = R_g(1 + 0.15 \cos((5 - 5R_g + \phi)))^{-1} \text{ (SG, Fig. 5.29)}$$

At  $t > 0$ , the guiding centers move:  $\phi_{gc}(t) = \phi_{gc}(0) + \Omega t$ . Stars advance on their epicycles to lie at  $R = R_g + x$ , where:

$$x = X \cos \{ \kappa t + 2[\phi_{gc}(t) - \Omega t] \} = X \cos \{ (2\Omega - \kappa)t - 2\phi_{gc}(t) \} \quad (4.47)$$

The long axis of the oval now points in the direction  $\phi = (\Omega - \kappa/2)t \equiv \Omega_p t$ .

$\Omega_p$ : pattern speed

**Spiral Arms****Epicyclic Orbits**

$$R = R_g(1 + 0.075 \cos(2(5 - 5R_g + \phi)))^{-1} \text{ and } R = R_g(1 + 0.15 \cos((5 - 5R_g + \phi)))^{-1} \text{ (SG, Fig. 5.29)}$$

Spiral pattern speed can be much slower than angular speed of individual stars.

Set  $\psi = m\phi_{gc}(0)$  to prescribe an  $m$ -armed spiral, which turns with pattern speed  $\Omega_p = \Omega - \kappa/m$ .

The density wave theory of spiral structure assumes that gravitational attraction of stars and gas clouds at different radii and with different velocities can cause the growth of such spiral patterns, which then rotates rigidly with a single pattern speed.

Details of density wave evolution and stability for a given potential more complicated (see, e.g., Binney & Tremaine, 1987, *Galactic Dynamics*, Princeton Univ. Press, Princeton, New Jersey).

**Spiral Arms**

Hubble Heritage Team, ESA, NASA



SSRO-South (R.Gilbert,D.Goldman,J.Harvey,D.Verschätze) - PROMPT (D.Reichart)

**Observations**

About half of all disk galaxies show a central linear bar.  
 Shape can be box-like or as extreme as 1 : 5 in ratio of short to long axis.  
 Edge-on view of disk galaxies tells us that bars are as thin as the disks themselves.  
 In contrast to spiral arms, bars occur in gas-rich and gas-poor systems.

**Bars are not density waves!**

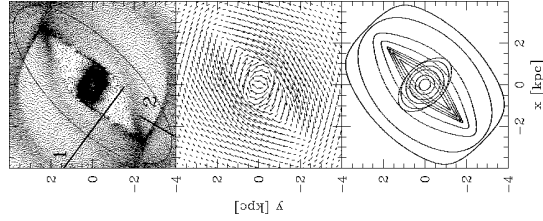
It is not well understood why some galaxies are barred, while others are not.

Spiral arms usually start from the ends of bars

⇒ Bars are rotating with the same pattern speed as spiral arms.

Barred Disks

**Numerical Calculation**



Numerical calculation of particle distribution and velocity field of test particles in bars possible.

**Particle orbits close on themselves in corotating frame.**

Velocity gradients along the orbits cause shocks

⇒ Gas and dust are compressed

⇒ Dust lanes along the bar major axis

⇒ Energy dissipation leads to angular momentum transport

⇒ Gas inflow toward galaxy center

Englmaier & Gerhard (1997)

Barred Disks

**Bulges**

Bulges are among the densest stellar systems.



Surface brightness approximated by Sérsic's formula (empirical!):

$$I(R) = I(0) \exp \left[ -\left( R/R_0 \right)^{1/n} \right] \quad (4.48)$$

For  $n = 1$ , exponential decrease; for  $n = 4$ , de Vaucouleurs formula (developed for elliptical galaxies).

$$R \rightarrow 0: I \rightarrow \infty.$$

Observed values reach thousands of stars per cubic parsec.

Bulges of Disk Galaxies

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