



## Introduction

Cosmology: science of the universe as a whole

How did the universe evolve to what it is today?

Based on **four basic facts**:

- The universe **expands**,
- **is isotropic**,
- and **is homogeneous**.

Isotropy and homogeneity of the universe: “**cosmological principle**”.

Perhaps (for us) the most important fact is:

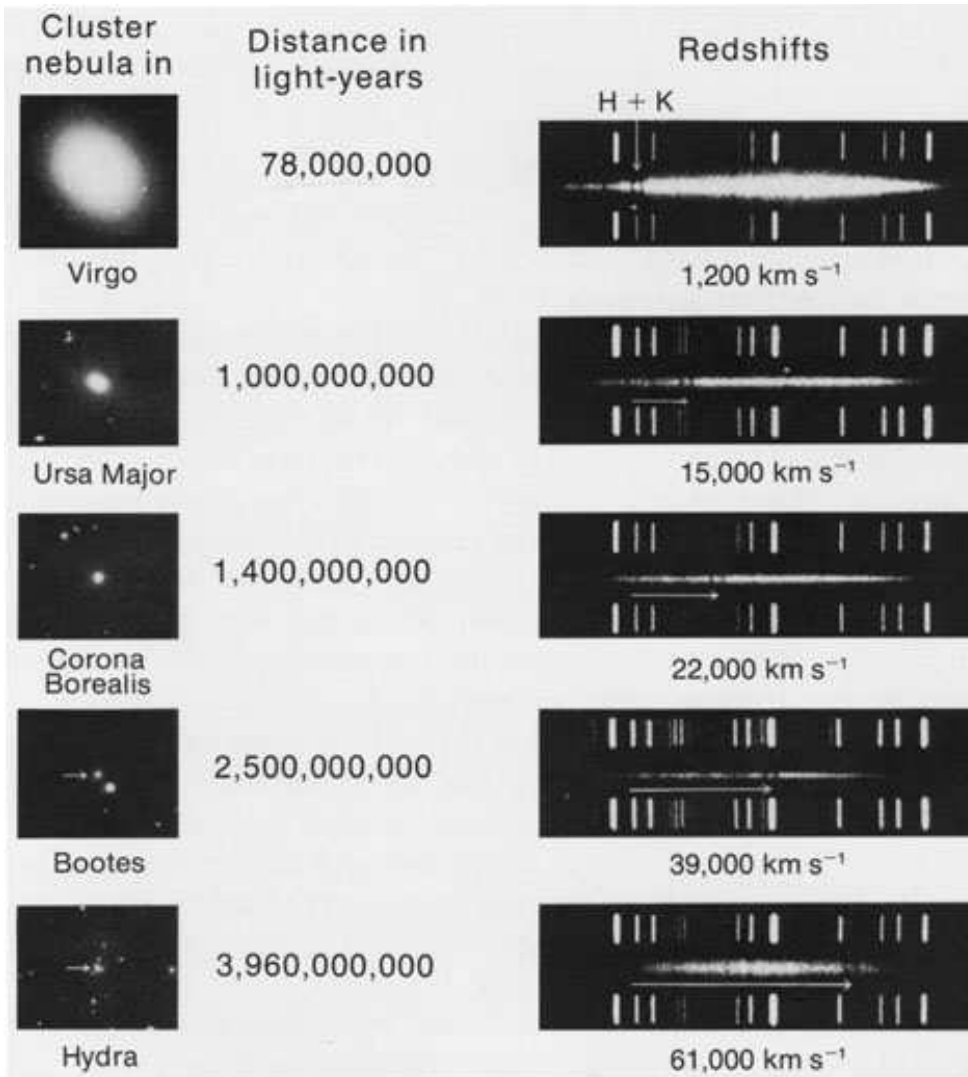
- The universe **is habitable for humans**.

(“**anthropic principle**”)

The one question cosmology **does not** attempt to answer is: **How came the universe into being?**



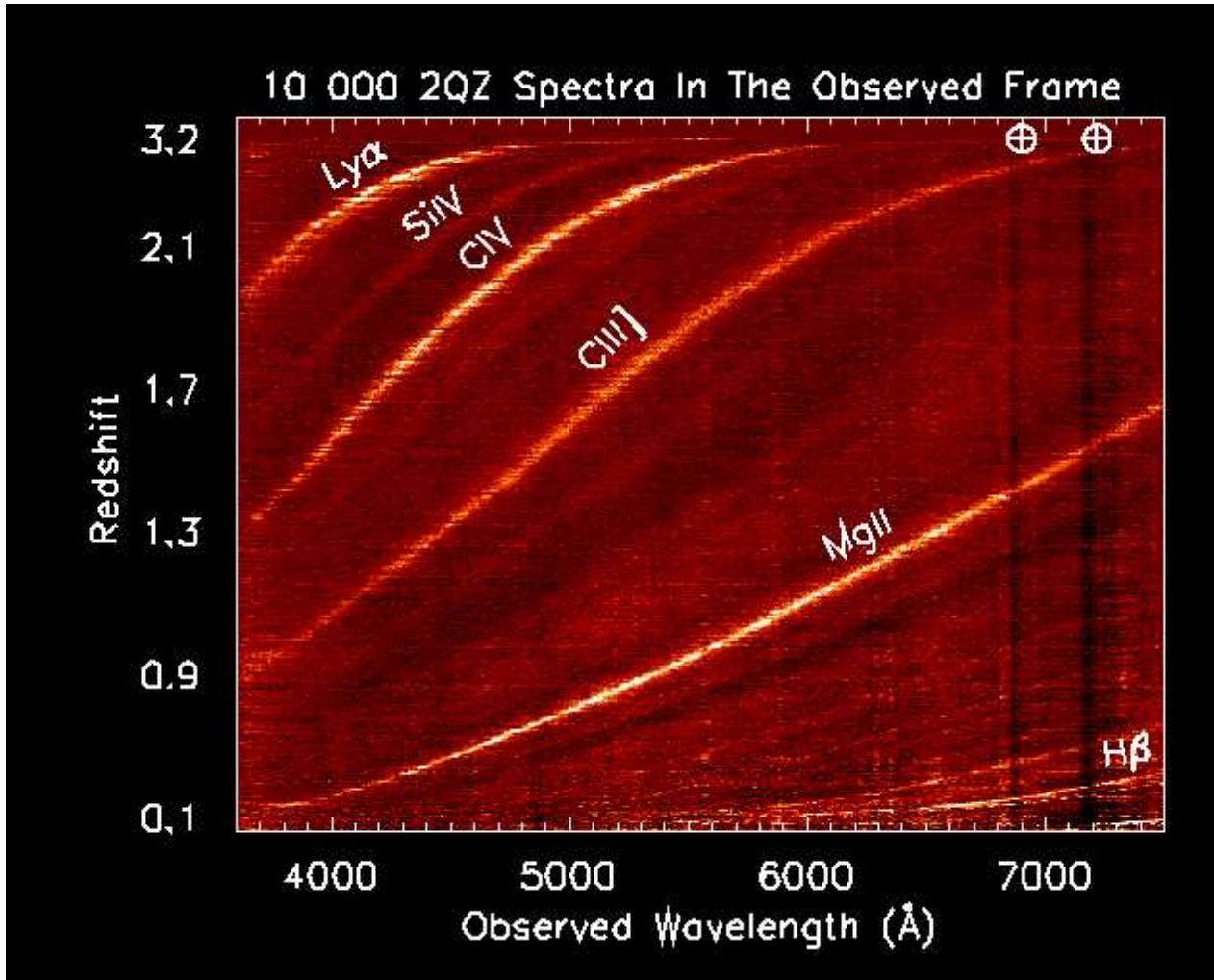
## Redshifts, I



Hubble: spectral lines in galaxies are **more and more redshifted** with increasing distance.



## Redshifts, II



2dF QSO Redshift survey

Redshift:

$$z = \frac{\lambda_{\text{observed}} - \lambda_{\text{emitted}}}{\lambda_{\text{emitted}}}$$

interpreted as velocity:

$$v = cz$$

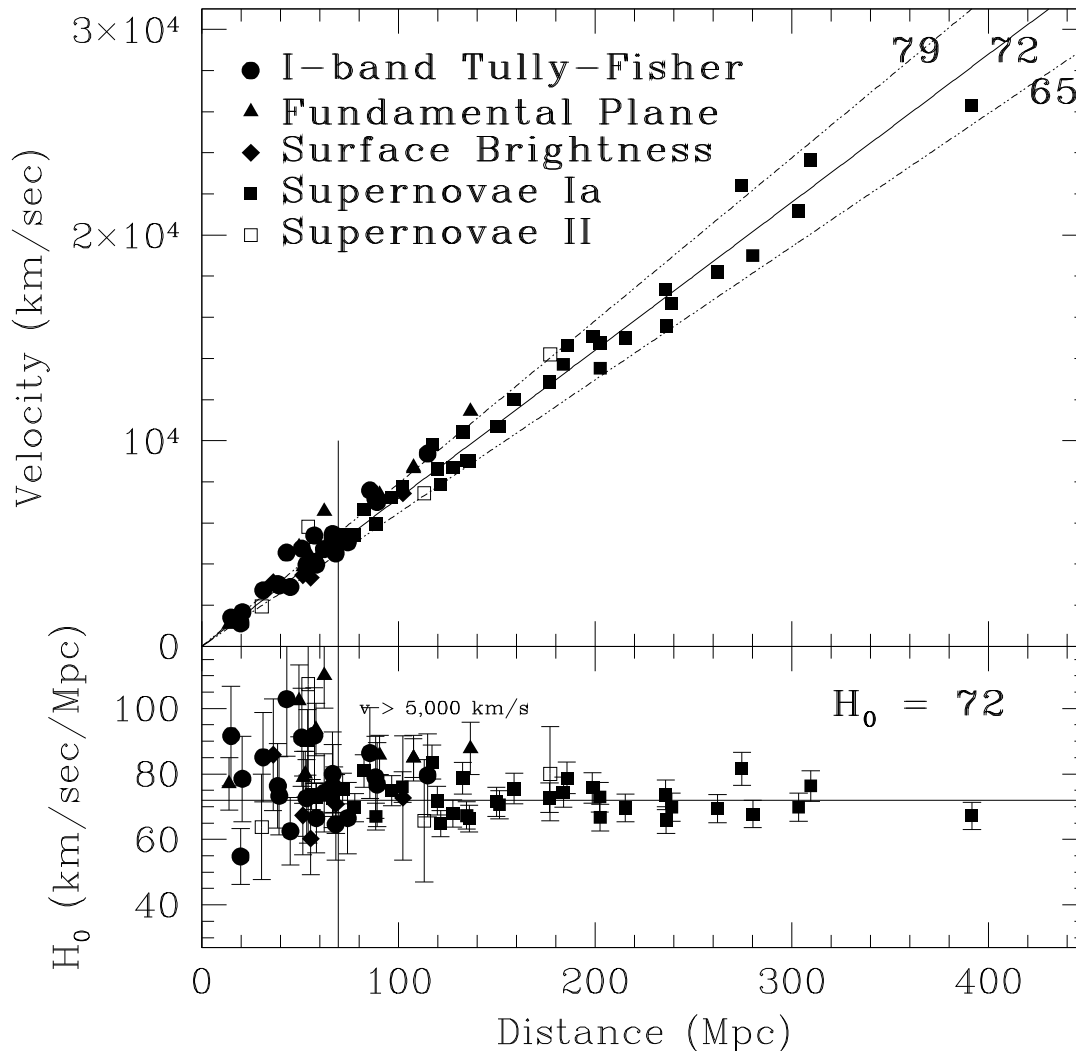
where

$$c = 300000 \text{ km s}^{-1}$$

(speed of light)



# Hubble Relation, I



(Freedman, 2001, Fig.4)

Hubble relation (1929):

The redshift of a galaxy is proportional to its distance:

$$v = cz = H_0 d$$

where  $H_0$ : “Hubble constant”.

Measurement: determine  $v$  from redshift (easy),  $d$  with standard candles (difficult)

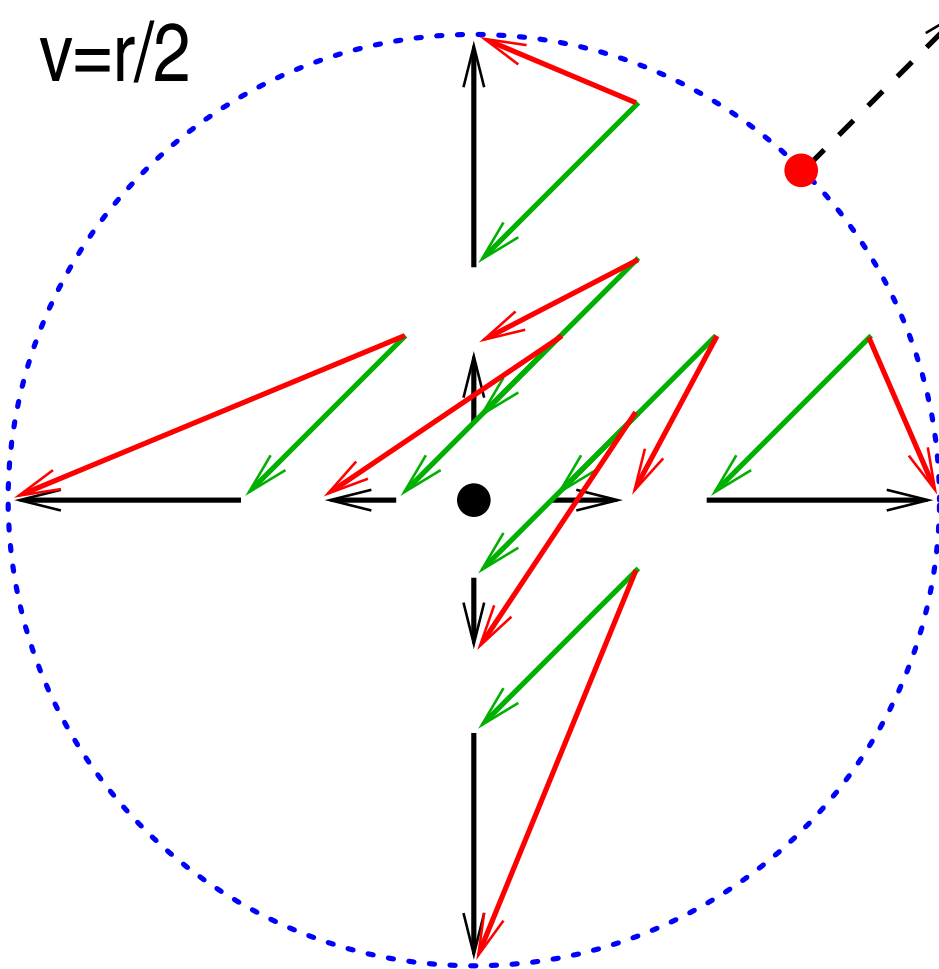
$\implies H_0$  from linear regression.

Hubble Space Telescope finds

$$H_0 = 72 \pm 8 \text{ km s}^{-1} \text{ Mpc}^{-1}$$



## Hubble Relation, II



The expansion law  $v = H_0 r$  is **unchanged** under **rotation** and **translation**: **isomorphism**.

*Proof:*

**Rotation:** Trivial.

**Translation:** Observations from place with position  $r'$  and velocity  $v'$ : Observed distance is  $r_o = r - r'$ , observed velocity is  $v_o = v - v'$ . Because of the Hubble law,

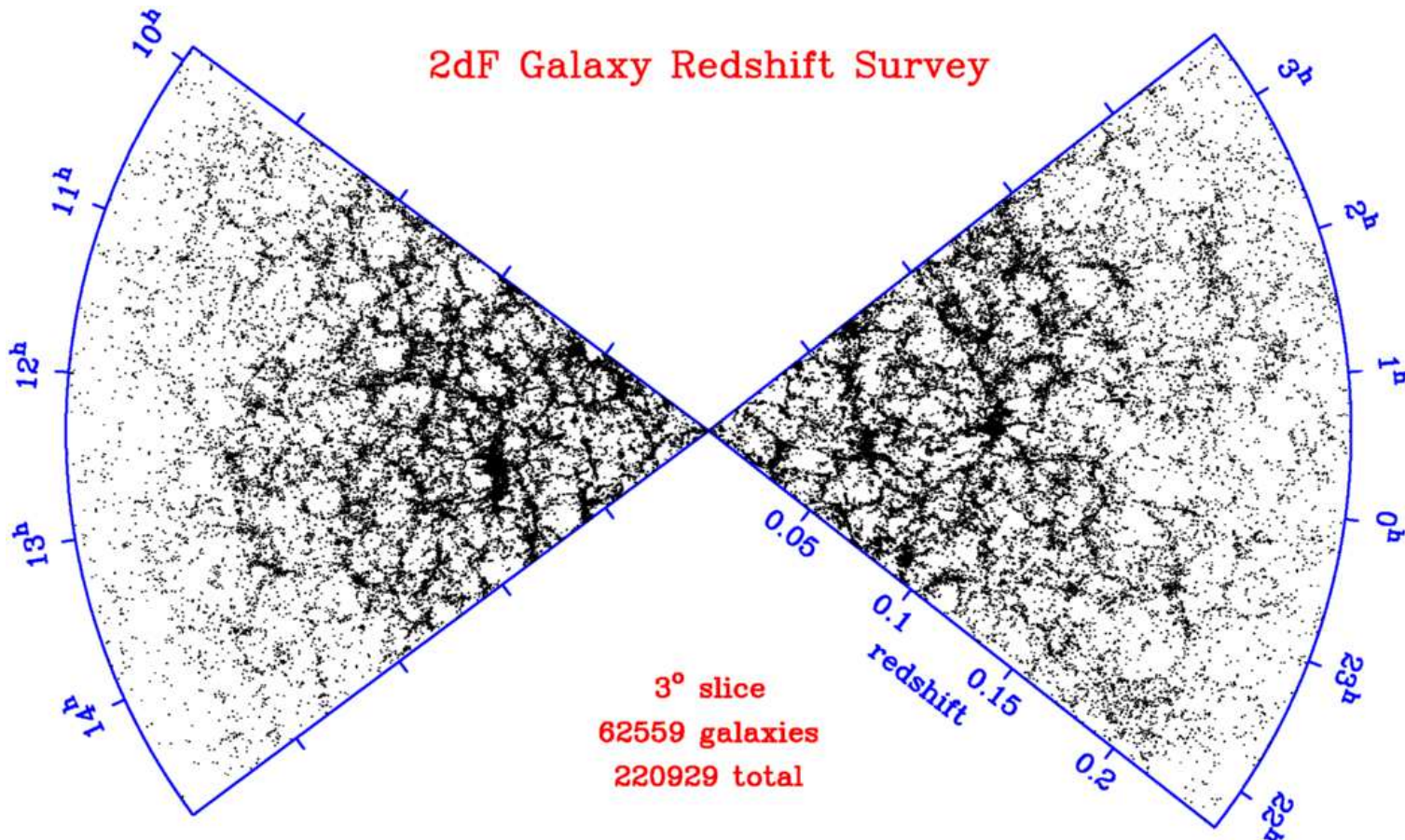
$$v_o = H_0 r - H_0 r' = H_0 (r - r') = H_0 r_o$$

This isomorphism is a direct consequence of the **homogeneity** of the universe.

Despite everything receding from us, we are **not** at the center of the universe  $\implies$  Copernicus principle still holds.



# Homogeneity

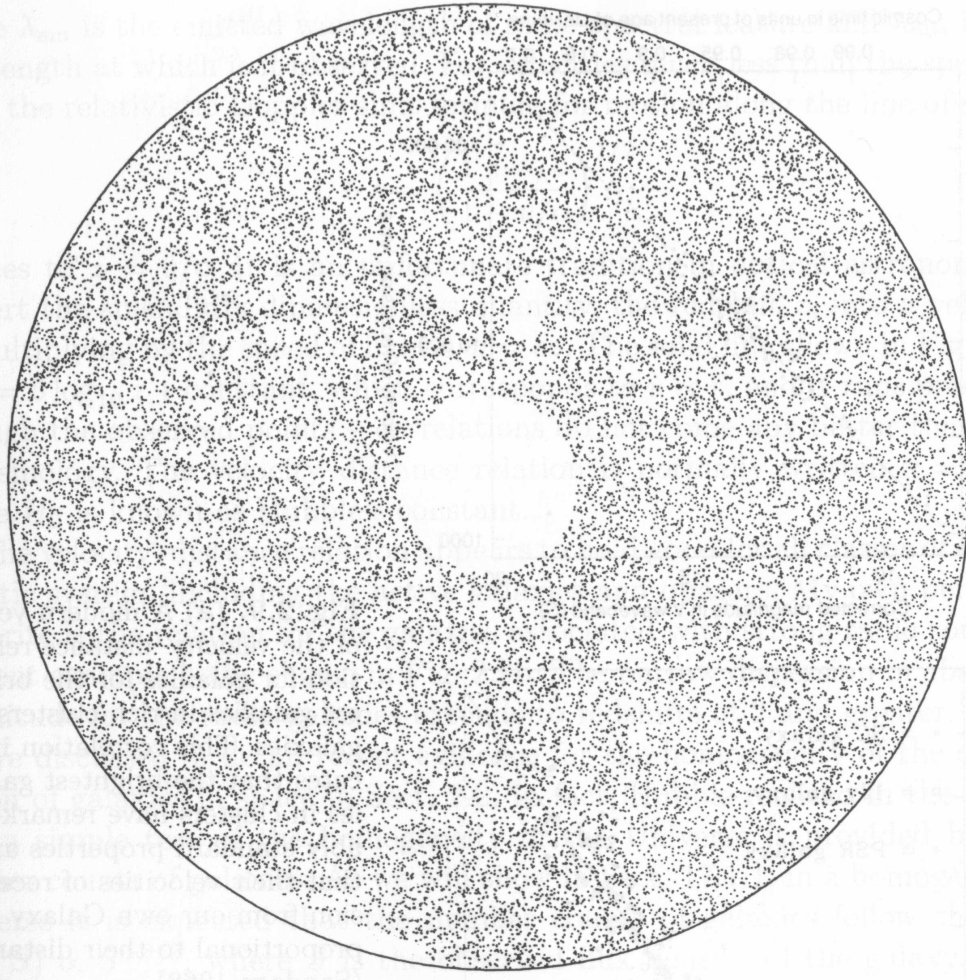


2dF Survey,  $\sim 220000$  galaxies total

**Homogeneity:** “The universe looks the same, regardless from where it is observed” (on scales  $\gg 100$  Mpc).



## Isotropy



Peebles (1993): Distribution of 31000 radio sources on northern sky (wavelength  $\lambda = 6$  cm)

**Isotropy**  $\iff$  The universe looks the same in all directions.

N.B. Homogeneity *does not* imply isotropy, and isotropy around one point does not imply homogeneity!



## World Models, I



A. Einstein (1879–1955)

Albert Einstein: Presence of mass leads to curvature of space (=gravitation)

⇒ General Theory of Relativity (GRT)

GRT is applicable to Universe as a whole!





## World Models, II



A. Einstein (1879–1955)

*Theoretical cosmology:*

Combination of

1. relativity theory



## World Models, III



A. Einstein (1879–1955)

*Theoretical cosmology:*

Combination of

1. relativity theory
2. thermodynamics



## World Models, IV



A. Einstein (1879–1955)

*Theoretical cosmology:*

Combination of

1. relativity theory
2. thermodynamics
3. quantum mechanics



## World Models, V



A. Einstein (1879–1955)

*Theoretical cosmology:*

Combination of

1. relativity theory
2. thermodynamics
3. quantum mechanics

⇒ complicated



## World Models, VI



A. Einstein (1879–1955)

*Theoretical cosmology:*

Combination of

1. relativity theory
2. thermodynamics
3. quantum mechanics

⇒ complicated

Typically calculation performed in three steps:

1. Describe **metric** following the cosmological principle
2. Derive **evolution equation** from GRT
3. Use thermodynamics and quantum mechanics to obtain **equation of state**

... and then do some maths



## World Models, VII

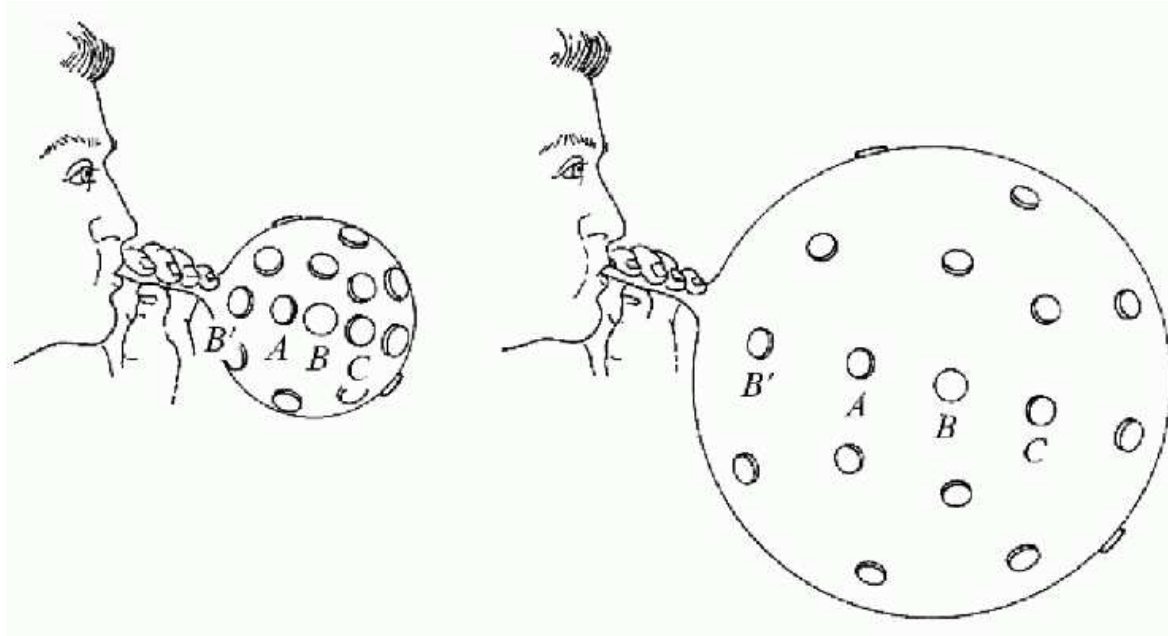


A.A. Friedmann  
(1888–1925)

Friedmann: Mathematical description of the Universe using normal “fixed” coordinates (“**comoving coordinates**”), plus **scale factor**  $R$  which describes **evolution of the Universe**.



## World Models, VIII



$R$  small

$R$  large

Misner, Thorne, Wheeler

Friedmann: Mathematical description of the Universe using normal “fixed” coordinates (“**comoving coordinates**”), plus **scale factor**  $R$  which describes **evolution of the Universe**.



## Friedmann Equations, I

*General relativistic approach:* Insert metric into Einstein equation to obtain differential equation for  $R(t)$ :

Einstein equation:

$$\underbrace{R_{\mu\nu} - \frac{1}{2}\mathcal{R}g_{\mu\nu}}_{G_{\mu\nu}} = \frac{8\pi G}{c^4}T_{\mu\nu} + \Lambda g_{\mu\nu} \quad (9.1)$$

where

$g_{\mu\nu}$ : Metric tensor ( $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$ )

$R_{\mu\nu}$ : Ricci tensor (function of  $g_{\mu\nu}$ )

$\mathcal{R}$ : Ricci scalar (function of  $g_{\mu\nu}$ )

$G_{\mu\nu}$ : Einstein tensor (function of  $g_{\mu\nu}$ )

$T_{\mu\nu}$ : Stress-energy tensor, describing curvature of space due to fields present (matter, radiation, ...)

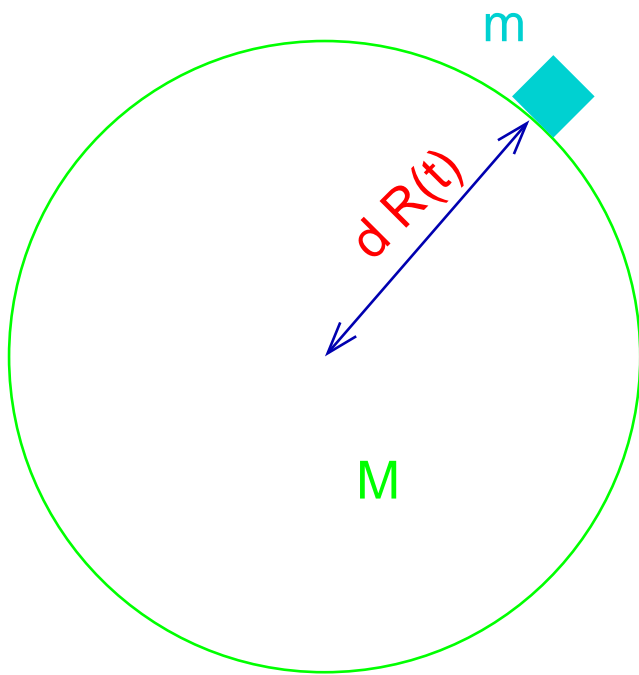
$\Lambda$ : Cosmological constant

$\implies$  Messy, but doable





## Friedmann Equations, II



Here, Newtonian derivation of **Friedmann equations**: Dynamics of a mass element on the surface of sphere of density  $\rho(t)$  and **comoving radius**  $d$ , i.e., **proper radius**  $d \cdot R(t)$  (McCrea, 1937)

Mass of sphere:

$$M = \frac{4\pi}{3}(dR)^3 \rho(t) = \frac{4\pi}{3}d^3 \rho_0 \quad \text{where} \quad \rho(t) = \frac{\rho_0}{R(t)^3} \quad (9.2)$$

Force on mass element:

$$m \frac{d^2}{dt^2}(dR(t)) = -\frac{GMm}{(dR(t))^2} = -\frac{4\pi G}{3} \frac{d\rho_0}{R^2(t)} m \quad (9.3)$$

Canceling  $m \cdot d$  gives **momentum equation**:

$$\ddot{R}(t) = -\frac{4\pi G}{3} \frac{\rho_0}{R(t)^2} = -\frac{4\pi G}{3} \rho(t) R(t) \quad (9.4)$$

Multiplying Eq. (9.4) with  $\dot{R}$  and integrating yields the **energy equation**:

$$\frac{1}{2} \dot{R}(t)^2 = +\frac{4\pi G}{3} \frac{\rho_0}{R(t)} + \text{const.} = +\frac{4\pi G}{3} \rho(t) R^2(t) + \text{const.} \quad (9.5)$$

where the constant can only be obtained from GR.



## Friedmann Equations, III

**Problems** with the Newtonian derivation:

1. Cloud is implicitly assumed to have  $r_{\text{cloud}} < \infty$  (for  $r_{\text{cloud}} \rightarrow \infty$  the force is undefined)

$\implies$  violates cosmological principle.

2. Particles move *through* space

$\implies v > c$  possible

$\implies$  violates SRT.

**Why do we get correct result?**

GRT  $\longrightarrow$  Newton for small scales and mass densities; since universe is isotropic  
 $\implies$  scale invariance on Mpc scales  $\implies$  Newton sufficient (classical limit of GR).

(In fact, point 1 above *does* hold in GR: **Birkhoff's theorem**).



## Friedmann Equations, IV

The exact GR derivation of Friedmanns equation gives:

$$\begin{aligned}\ddot{R} &= -\frac{4\pi G}{3}R \left( \rho + \frac{3p}{c^2} \right) + \left[ \frac{1}{3}\Lambda R \right] \\ \dot{R}^2 &= +\frac{8\pi G\rho}{3}R^2 - kc^2 + \left[ \frac{1}{3}\Lambda c^2 R^2 \right]\end{aligned}\tag{9.6}$$

### Notes:

1. For  $k = 0$ : Eq. (9.6)  $\longrightarrow$  Eq. (9.5).
2.  $k$  determines the **curvature of space**:
  - $k > 0$ : closed universe (finite volume)
  - $k = 0$ : flat universe
  - $k < 0$ : open universe (infinite volume)
3. The **density**,  $\rho$ , includes the contribution of all different kinds of energy (remember mass-energy equivalence!).
4. There is **energy associated with the vacuum**, parameterized by the parameter  $\Lambda$ .



## Hubble's Law

The variation of  $R(t)$  implies **Hubble's Law**:



**Small scales**  $\implies$  Euclidean geometry

Proper distance between two observers with comoving distance  $d$ :

$$D(t) = d \cdot R(t) \quad (9.7)$$

Expansion  $\implies D$  changes:

$$\frac{\Delta D}{\Delta t} = \frac{R(t + \Delta t)d - R(t)d}{\Delta t} \quad \text{and for } \lim_{\Delta t \rightarrow 0} v = \frac{dD}{dt} = \dot{R} d = \frac{\dot{R}}{R} D =: H D \quad (9.8)$$

$\implies$  Identify **local Hubble "constant"** as

$$H = H(t) = \frac{\dot{R}(t)}{R(t)} \quad (9.9)$$

$\implies$  Hubble "constant" is time-dependent!  $\implies$  "Hubble parameter"



## Critical Density

Looking at the energy equation for  $\Lambda = 0$ ,

$$\dot{R}^2 = +\frac{8\pi G\rho}{3}R^2 - kc^2 \quad (9.10)$$

we find that the evolution of the Hubble parameter is:

$$\left(\frac{\dot{R}}{R}\right)^2 = H(t)^2 = \frac{8\pi G\rho(t)}{3} - \frac{kc^2}{R^2} \quad (9.11)$$

and therefore

$$k \cdot \frac{c^2}{R(t)^2 H(t)^2} = \frac{8\pi G}{3H(t)^2} \rho(t) - 1 = \frac{\rho(t)}{\rho_{\text{crit}}} - 1 = \Omega - 1 \quad (9.12)$$

where  $\Omega$  is called the **critical density**:

$$\Omega = \frac{\rho}{\rho_{\text{crit}}} \quad \text{where} \quad \rho_{\text{crit}} = \frac{3H^2}{8\pi G} \quad (9.13)$$

currently:  $\rho_{\text{crit}} \sim 1.67 \times 10^{-24} \text{ g cm}^{-3}$  (3...10 H-Atoms  $\text{m}^{-3}$ ).

$\Omega$  describes the curvature of the universe:

$$\Omega > 1 \implies k > 0 : \text{closed} \quad | \quad \Omega = 1 \implies k = 0 : \text{flat} \quad | \quad \Omega < 1 \implies k < 0 : \text{open}$$



## Critical Density

### World Model: Evolution of $R$ as a function of time

Solution of Friedmann equations depends on boundary conditions:

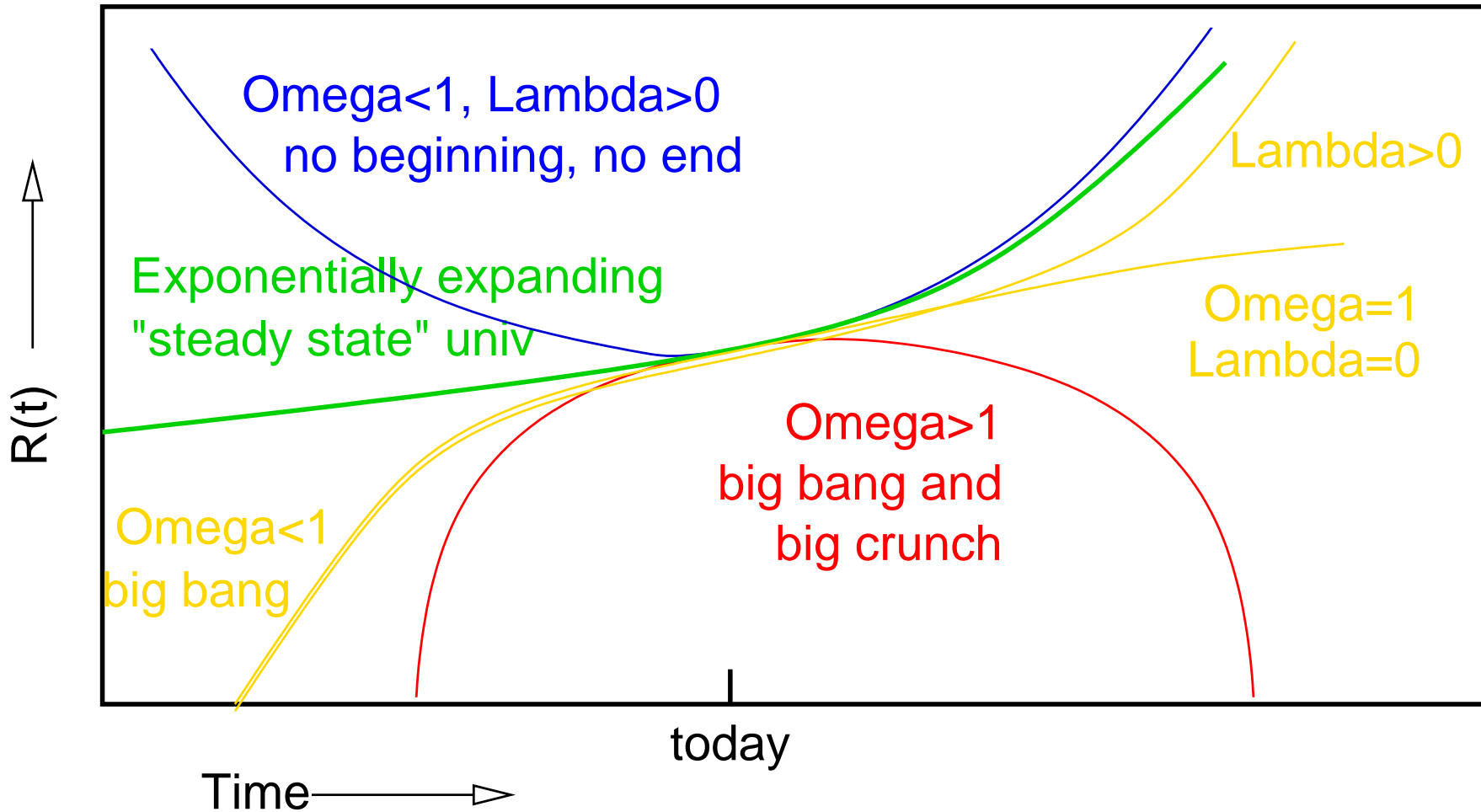
1. Value of  $H$  as measured today ( $H$  is time dependent!)
2. Density Parameter of universe

Note: total  $\Omega$  is sum of:

1.  $\Omega_m$ : Matter, i.e., everything that leads to gravitative effects  
 $\Omega_m$  in baryonic matter is  $\lesssim 3\%$ , but note there might be “nonbaryonic dark matter” as well!
2.  $\Omega_\Lambda = \Lambda c^2 / 3H^2$ : contribution caused by vacuum energy density  $\Lambda$   
( $\Lambda$  is often called “dark energy” for PR reasons)



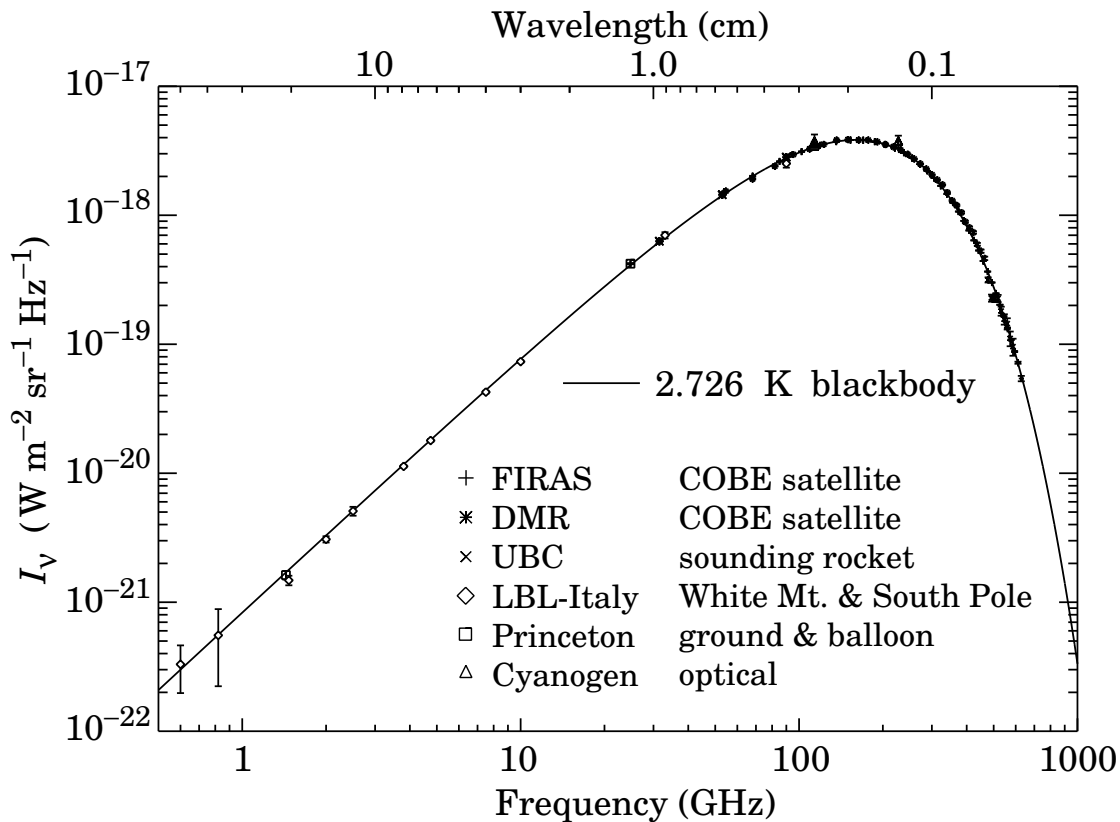
## Critical Density



Many different kinds of world models are possible, behaviour of universe depends on  $\Omega$  and  $\Lambda$ .



## 3K CMB



(Smoot et al., 1997, Fig. 1)

Extrapolating CMB temperature back in time (see homework) shows:

Universe started with a **hot big bang**, has since cooled down.

Penzias & Wilson (1965):  
“Measurement of Excess  
Antenna Temperature at  
4080 Mc/s”

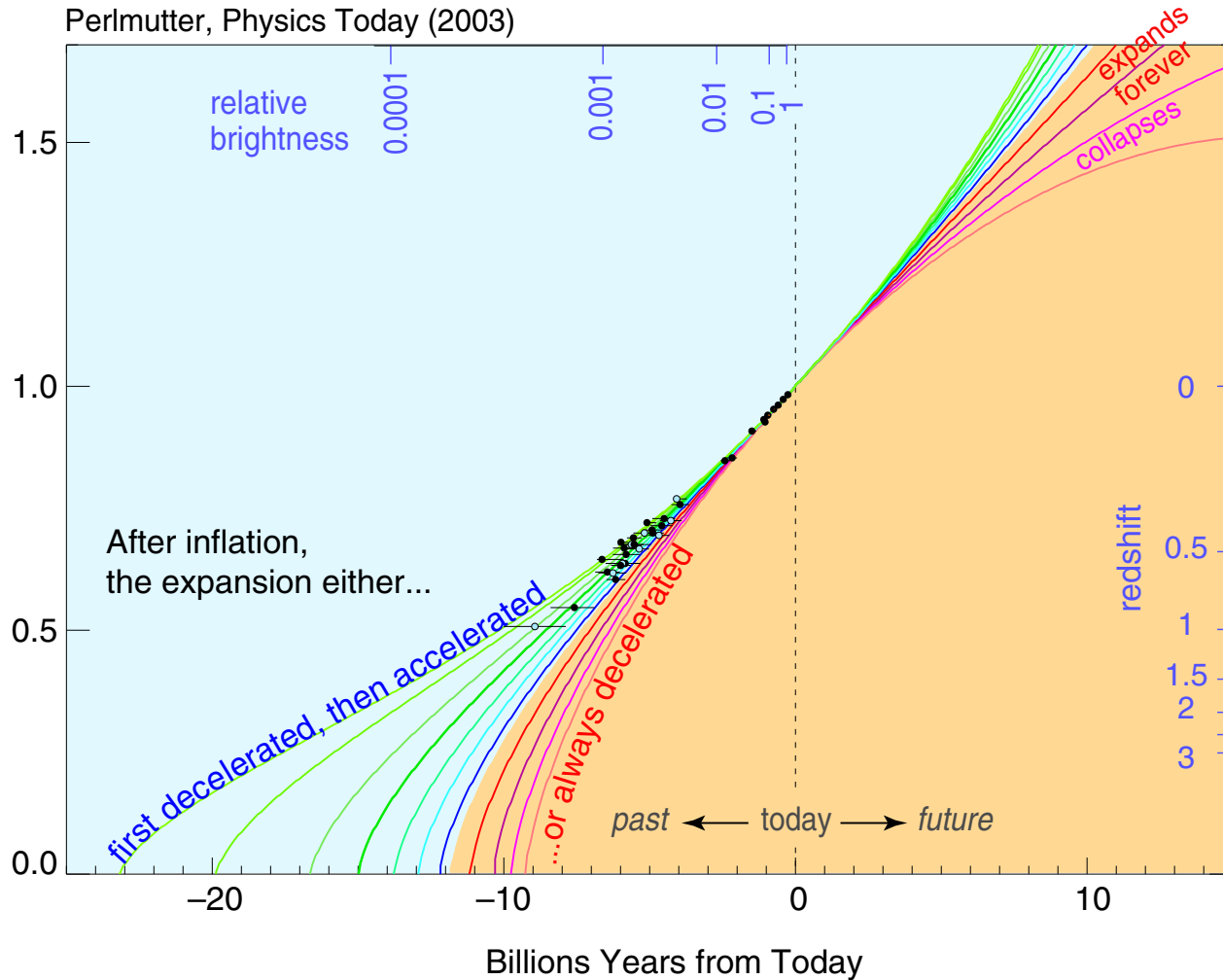
⇒ **Cosmic Microwave  
Background radiation (CMB)**

CMB spectrum is  
blackbody with temperature  
 $T_{\text{CMB}} = 2.728 \pm 0.004 \text{ K}$ .





# World Models



Note: Extrapolation backwards gives **age of universe as roughly  $1/H_0$ !**

for  $H_0 = 72 \text{ km s}^{-1} \text{ Mpc}^{-1} = 2.3 \times 10^{-18} \text{ s}^{-1}$ , giving an age of 13.6 Gyr.



# History of the universe, I

$R(t)$	$t$ since BB	$T$ [K] [K]	$\rho_{\text{matter}}$ [g cm <sup>-3</sup> ]	Major Events
	$10^{-42}$	$10^{30}$		Planck era, "begin of physics"
	$10^{-40} \dots -30$	$10^{25}$		Inflation (IMPLIES $\Omega = 1$ )
$10^{-13}$	$\sim 10^{-5}$ s	$\sim 10^{13}$	$\sim 10^9$	generation of p-p <sup>-</sup> , and baryon anti-baryon pairs from radiation background
$3 \times 10^{-9}$	1 min	$10^{10}$	0.03	generation of e <sup>-</sup> -e <sup>+</sup> pairs out of radiation background
$10^{-9}$	10 min	$3 \times 10^9$	$10^{-3}$	nucleosynthesis
$10^{-4} \dots 10^{-3}$	$10^{6 \dots 7}$ yr	$10^{3 \dots 4}$	$10^{-21 \dots -18}$	End of radiation dominated epoch
$7 \times 10^{-4}$	380000 yr	4000	$10^{-20}$	Hydrogen recombines, decoupling of matter and radiation
	$200 \times 10^6$ yr			first stars formed
1	$13.7 \times 10^9$ yr	3	$10^{-30}$	now



## History of the universe, II

**BB works remarkably well** in explaining the observed universe.

There are, however, quite big problems with the classical BB theories:

**Horizon problem:** CMB looks **too isotropic**  $\implies$  **Why?**

**Flatness problem:** **Density** close to BB was **very close to  $\Omega = 1$**  (deviation  $\sim 10^{-16}$  during nucleosynthesis)  $\implies$  **Why?**

**Hidden relics problem:** There are **no observed magnetic monopoles**, although predicted by GUT, and also no gravitinos and other exotic particles  $\implies$  **Why?**

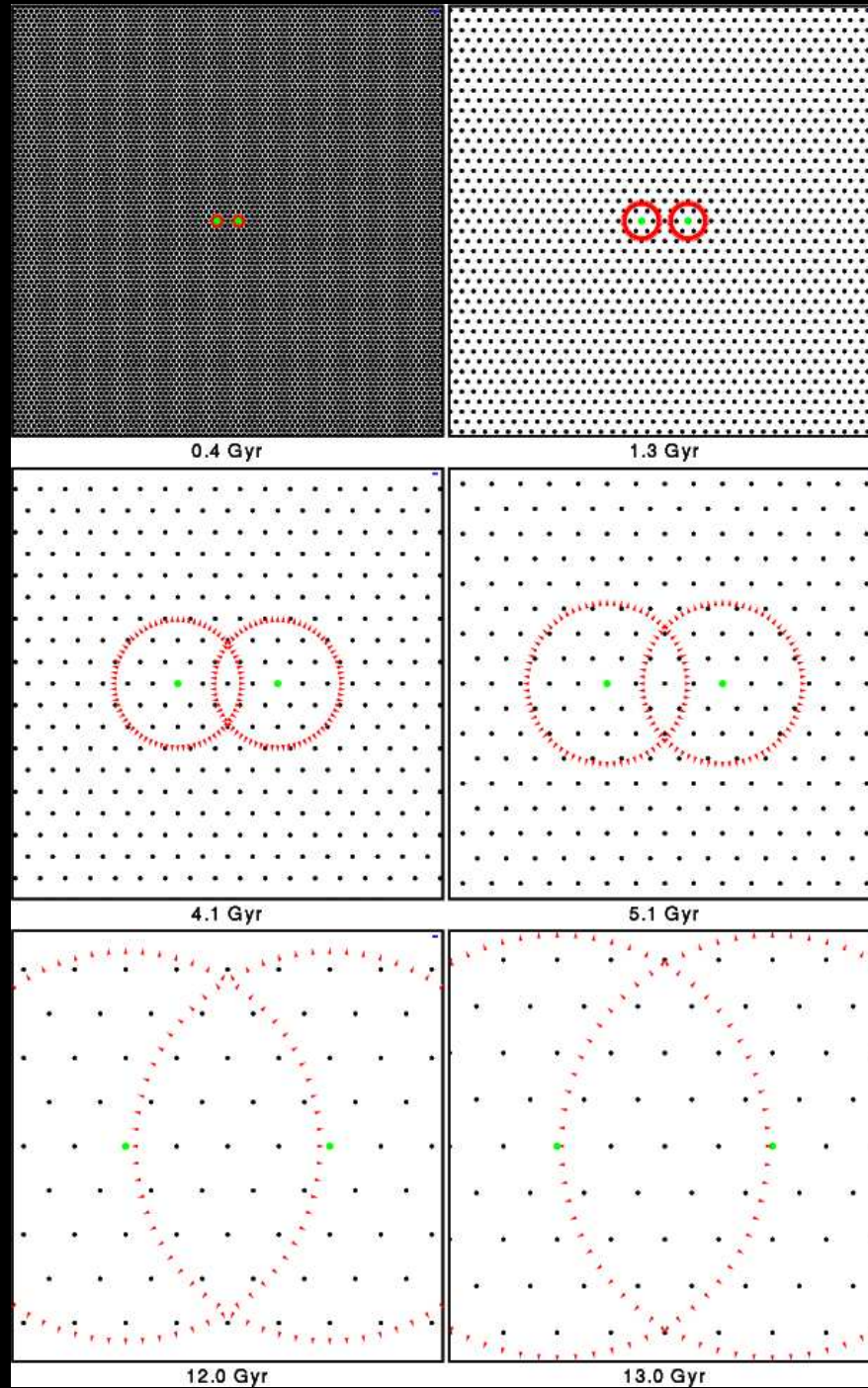
**Vacuum energy problem:** **Energy density of vacuum** is  **$10^{120}$  times smaller** than predicted  $\implies$  **Why?**

**Expansion problem:** **The universe expands**  $\implies$  **Why?**

**Baryogenesis:** There is virtually **no antimatter** in the universe  $\implies$  **Why?**

**Structure formation:** Standard BB theory produces **no explanation for lumpiness of universe.**

**Inflation** attempts to answer all of these questions.



courtesy E. Wright.

Expansion of horizon in an expanding universe.



## History of the universe, IV

Use the **Friedmann equation with a cosmological constant**:

$$H^2(t) = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G\rho}{3} - \frac{k}{a^2} + \frac{\Lambda}{3} \quad (9.14)$$

where  $a = R(t)/R_0$

**Basic assumption** of inflationary cosmology:

During the big bang there was a phase where  $\Lambda$  dominated the Friedmann equation.

$$H(t) = \frac{\dot{a}}{a} = \sqrt{\frac{\Lambda}{3}} = \text{const.} \quad (9.15)$$

since  $\Lambda = \text{const.}$  (probably...).

Solution of Eq. (9.15):

$$a \propto e^{Ht} \quad (9.16)$$



## History of the universe, V

When did inflation happen?

Typical **assumption**: Inflation = **phase transition** of a **scalar field** (“**inflaton**”) associated with **Grand Unifying Theories**.

Therefore the assumptions:

- temperature  $kT_{\text{GUT}} = 10^{15} \text{ GeV}$ , when  $1/H \sim 10^{-34} \text{ sec}$  ( $t_{\text{start}} \sim 10^{-34} \text{ s}$ ).
- inflation lasted for 100 Hubble times, i.e., for  $\Delta T = 10^{-32} \text{ s}$ .

With Eq. (9.16):

Inflation: Expansion by factor  $e^{100} \sim 10^{43}$ .

... corresponding to a **volume expansion by factor**  $\sim 10^{130} \implies$  **solves hidden relics problem!**



## Evolution of the Universe

Extrapolating backwards, universe is asymptotically flat

⇒ physics of early universe  $\sim$  independent of later evolution

What is *very* dependent on  $H$  and  $\Omega$  is later evolution, i.e., formation of structure and evolution of universe to what it is today

Modern Cosmology: Determination of  $H_0$ ,  $\Omega$  and  $\Lambda$  from observations and comparison with theory

$H_0$ : value of Hubble parameter today

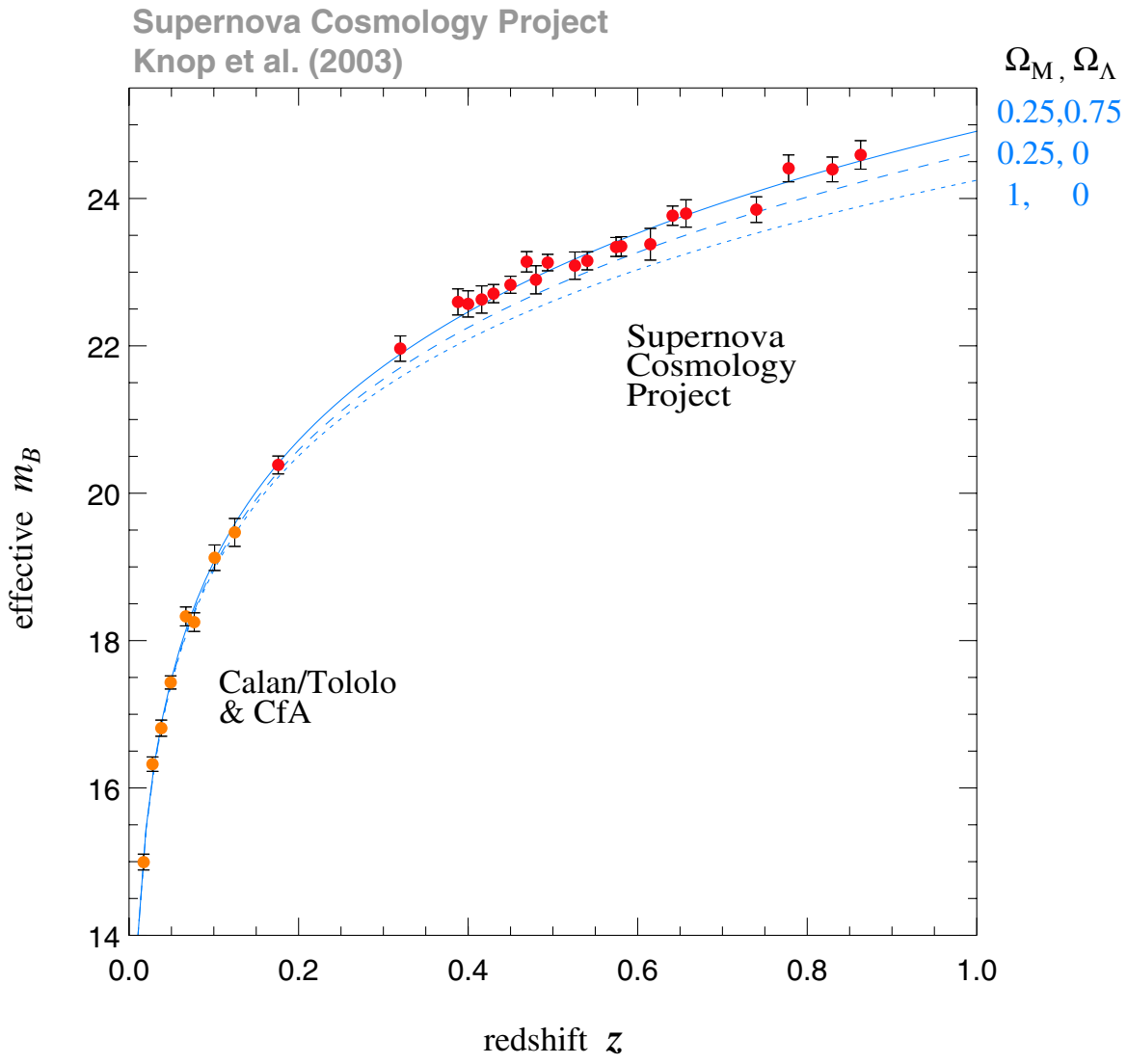
In the following: Examples for new measurements to determine  $\Omega$  and  $\Lambda$ :

- **Supernova observations** and
- **Cosmic Microwave Background (WMAP)**.

General hope: confirmation that  $\Omega_m + \Omega_\Lambda = 1$  as predicted by theory of inflation (this implies a *flat* universe).



# Supernovae

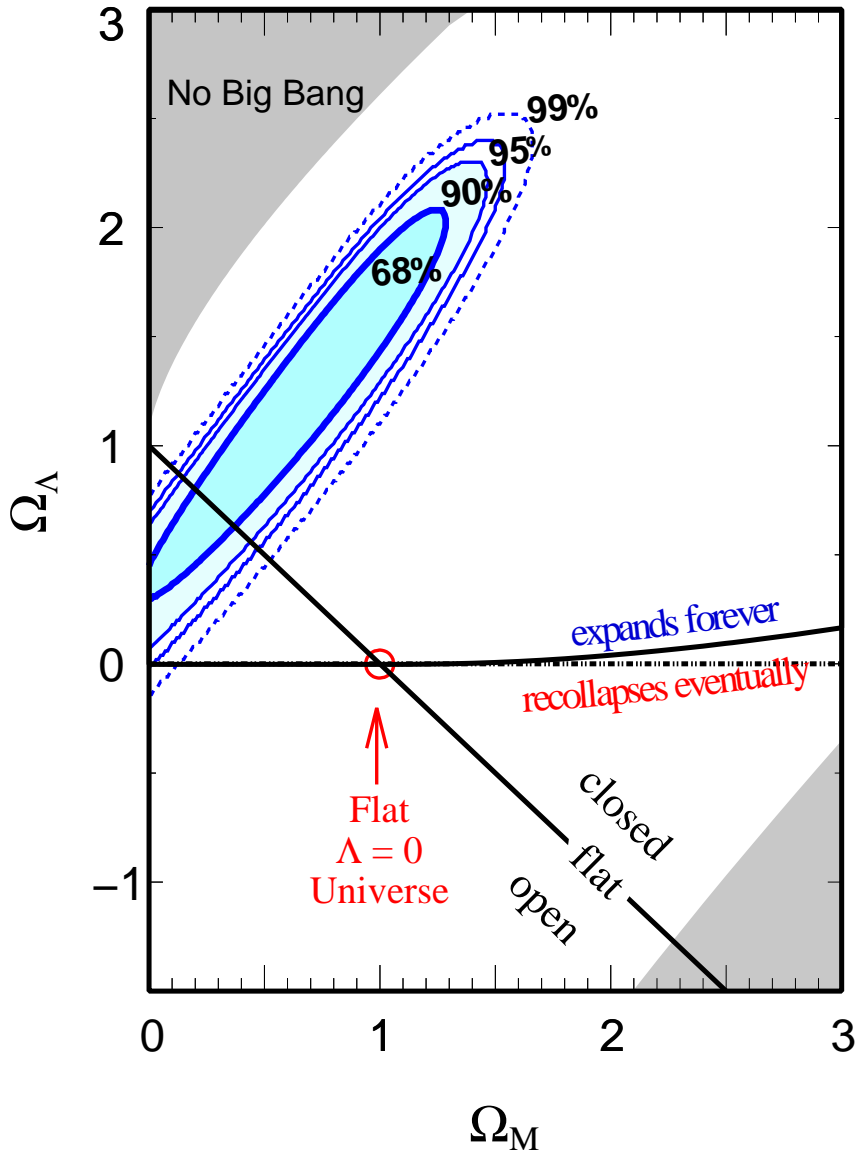


Supernova observations are well explained by models with  $\Omega_m = 0.25$  and  $\Omega_\Lambda = 0.75$ .





# Supernovae

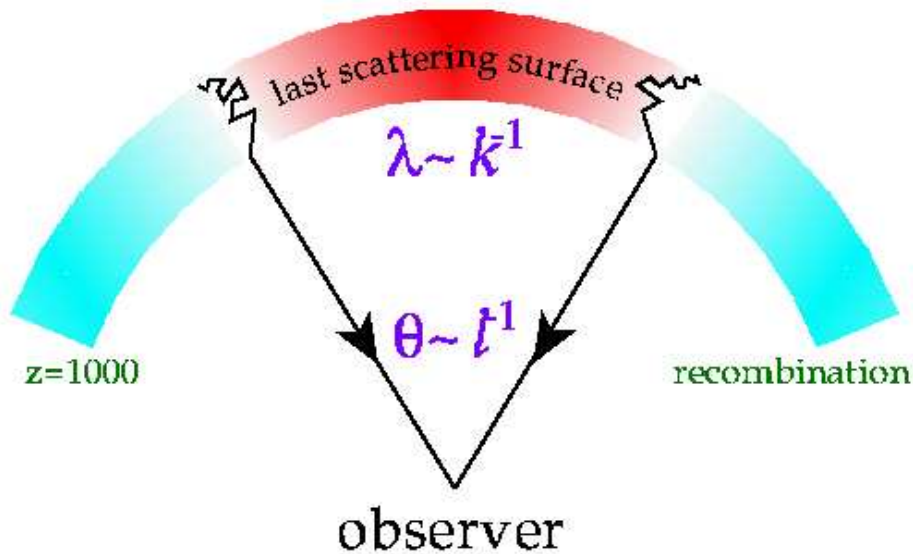


Supernova observations are well explained by models with  $\Omega_m = 0.25$  and  $\Omega_\Lambda = 0.75$ .

$\Omega_\Lambda = 0$  is *excluded* by data!



## CMB, I



courtesy Wayne Hu

After Big Bang: universe dense (“foggy”), photons efficiently scatter off electrons  $\implies$  **coupling of radiation and matter**

Universe cools down: **recombination of protons and electrons into hydrogen**

$\implies$  no free electrons

$\implies$  scattering far less efficient

$\implies$  Photons: “**free streaming**”

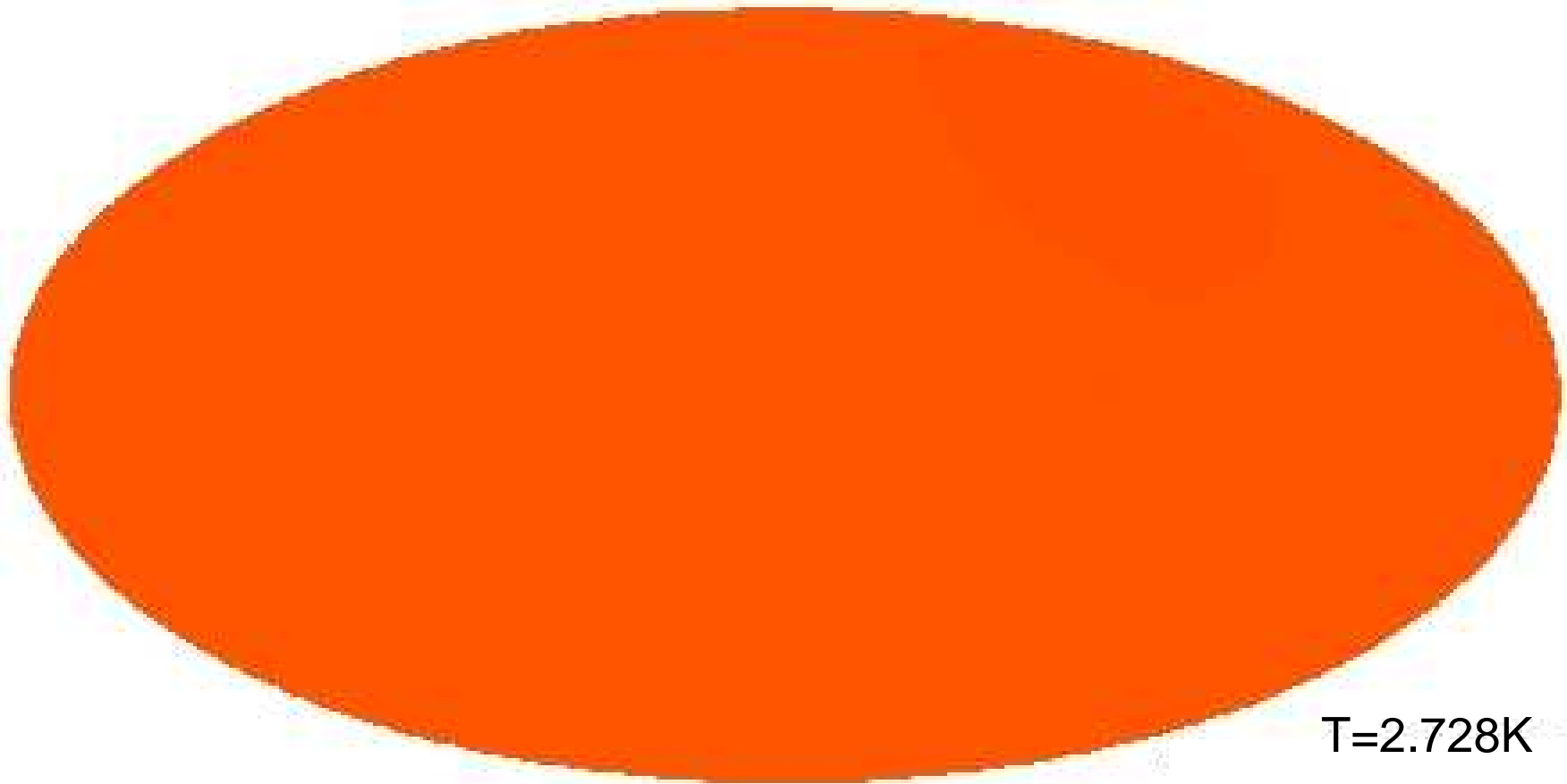
Photons escaping from overdense regions lose energy (**gravitational red shift**)

$\implies$  Observable as temperature fluctuation (**Sachs Wolfe Effect**)

**CMB Fluctuations  $\sim$  Gravitational potential at  $z \sim 1100 \implies$  structures**



## CMB, II

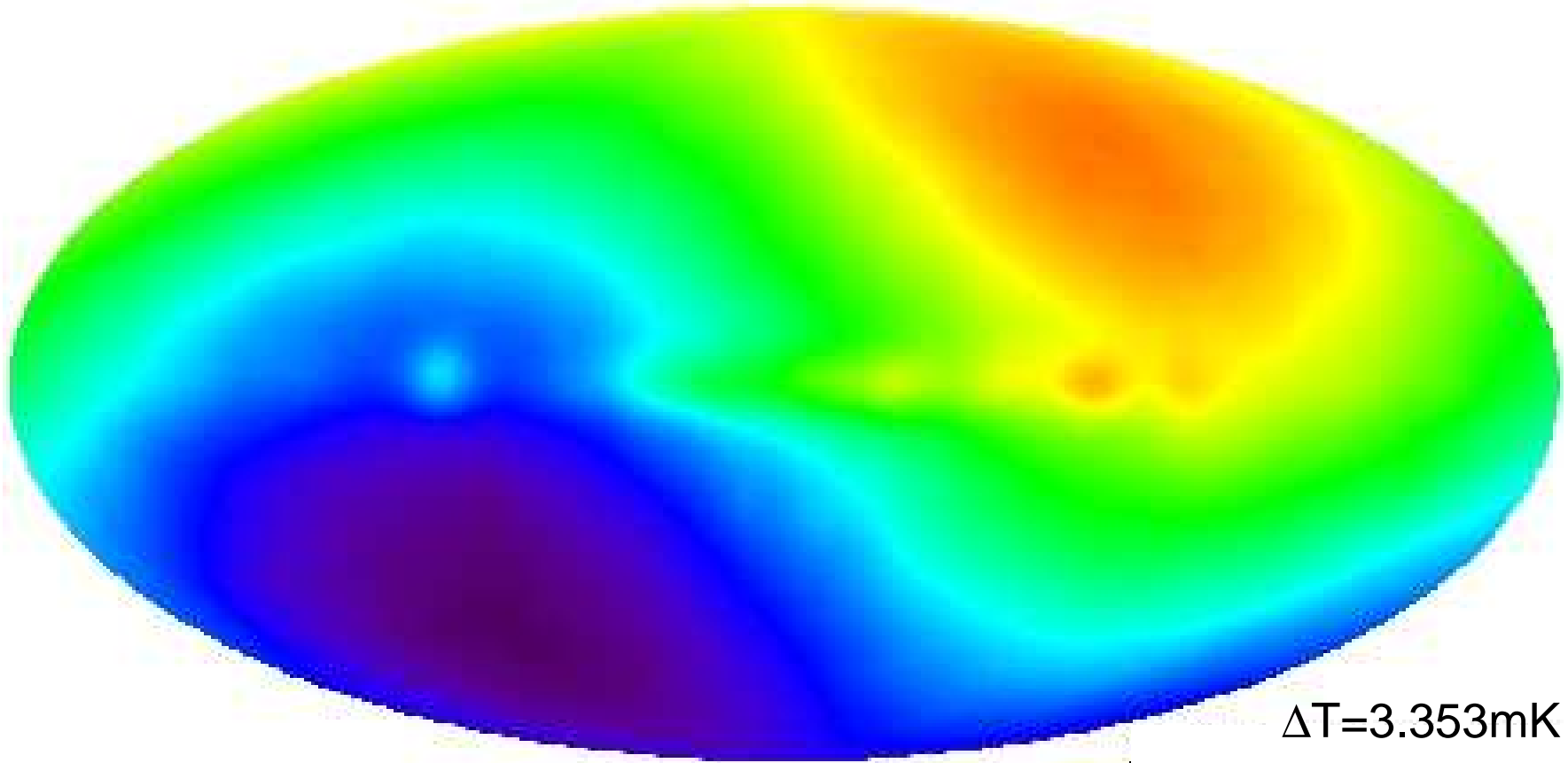


COBE (1992): First map of 3 K CMB

$$T = 2.728 \text{ K}$$



## CMB, III

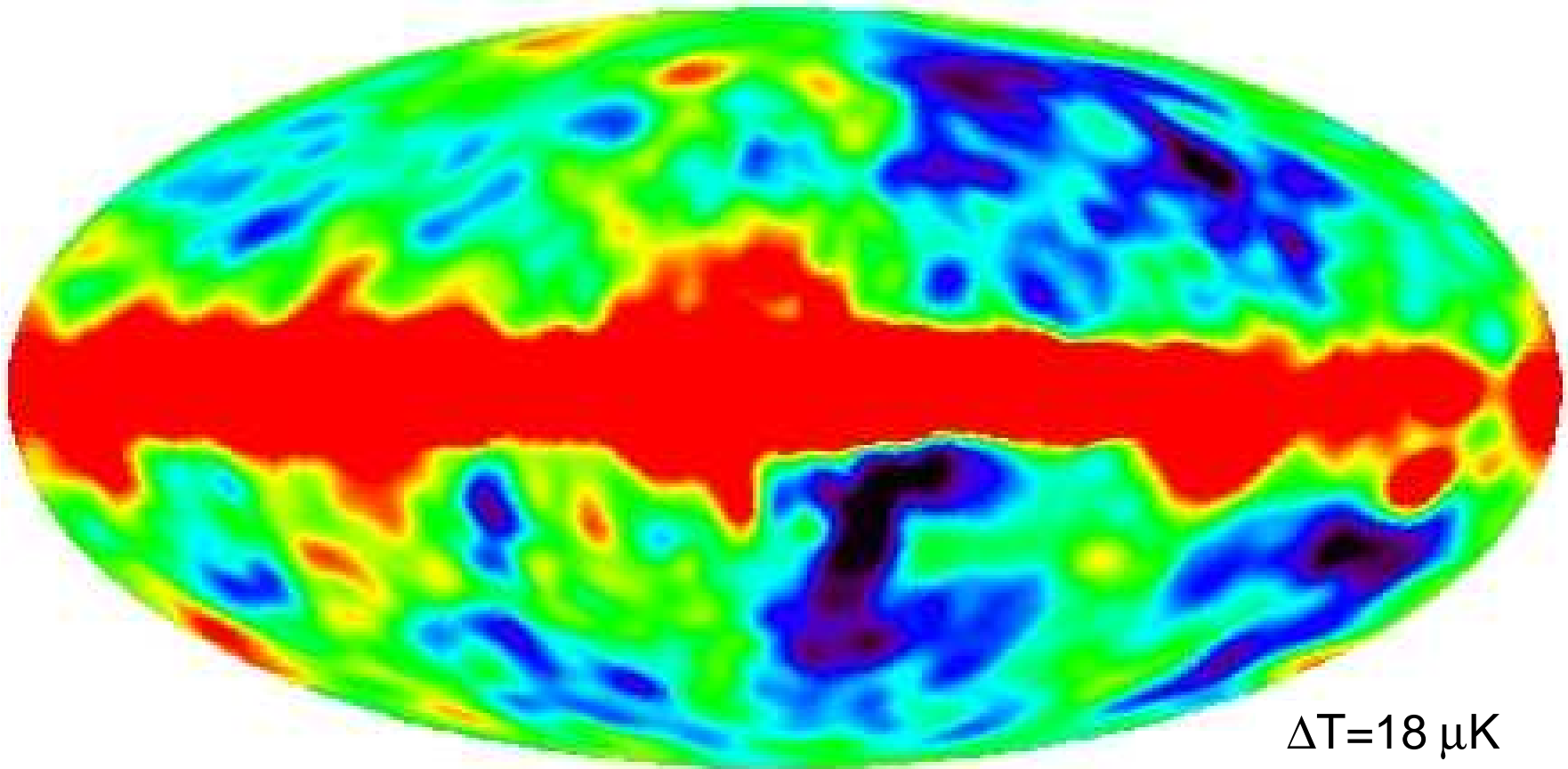


Overlaid: Dipole anisotropy caused by motion of the solar system

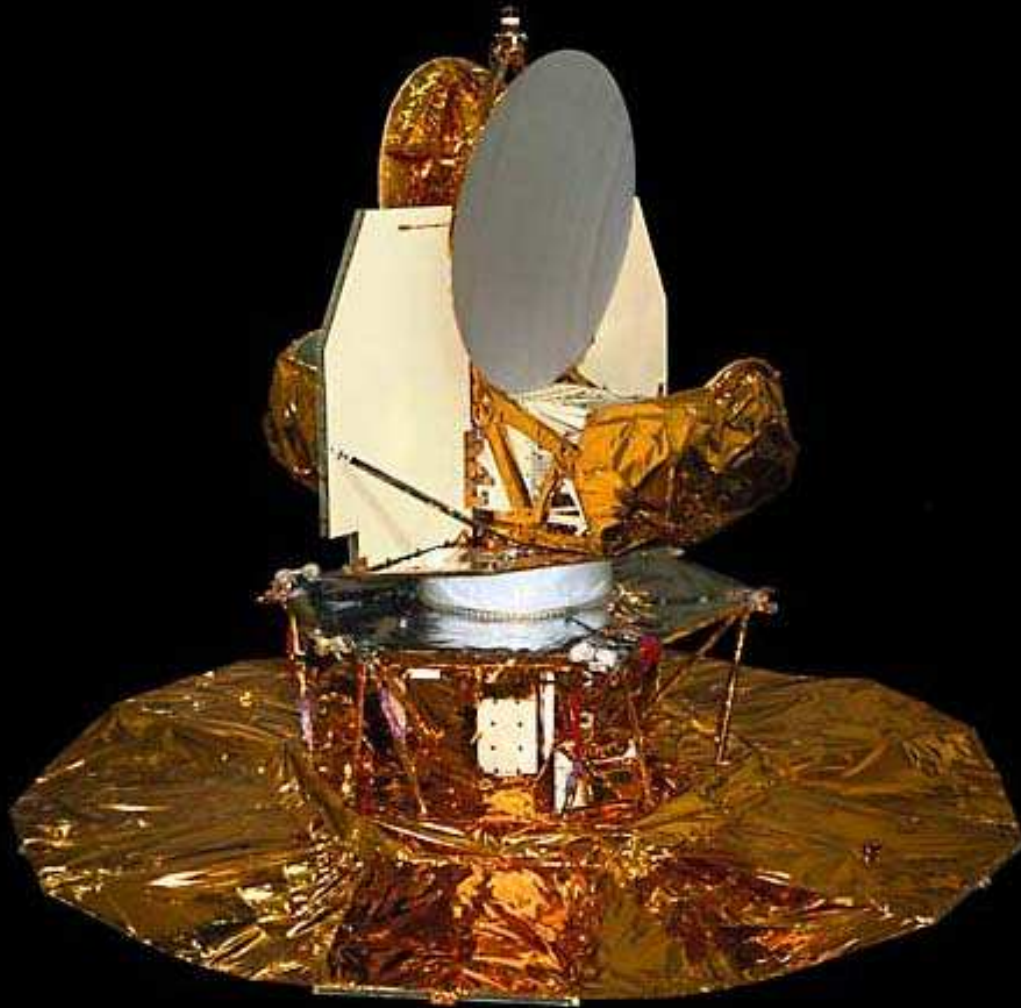
Temperature fluctuation:  $\Delta T/T \sim 10^{-4}$



## CMB, IV

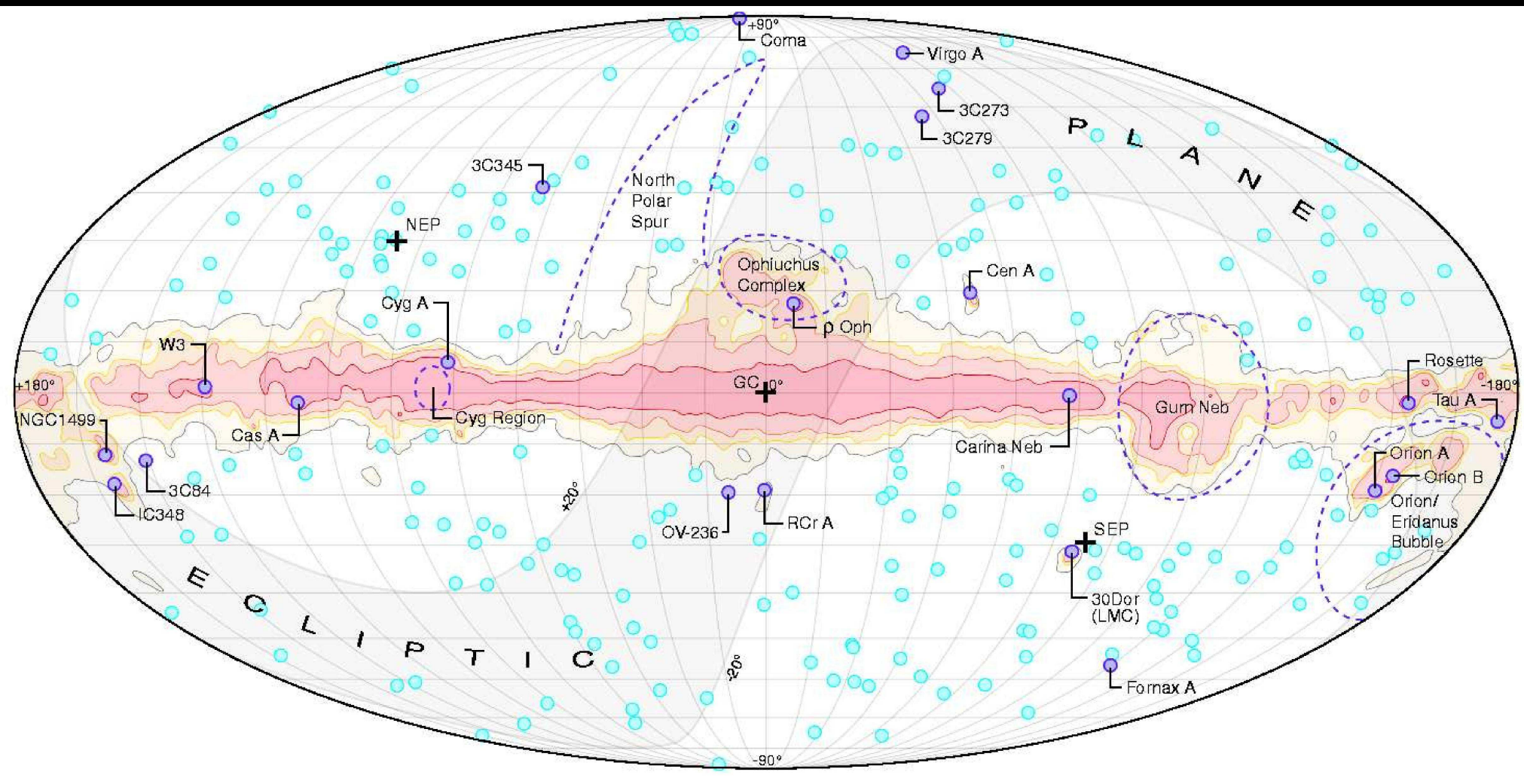


At level of  $\Delta T/T \sim 10^{-5}$ : **Deviations** from isotropy due to **structure formation**

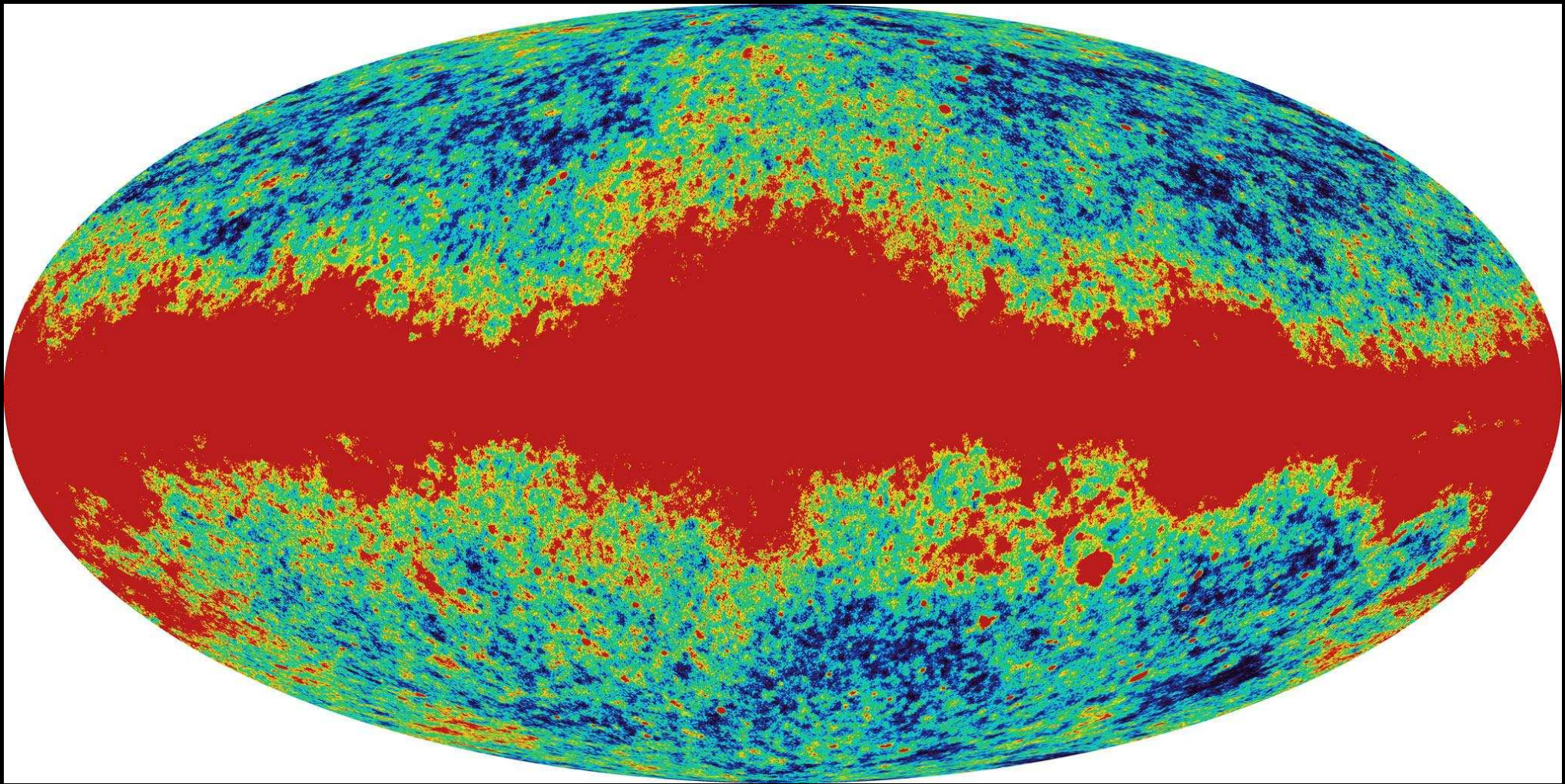


Wilkinson Microwave Anisotropy  
Probe (WMAP):  
Launch 2001 June 30,  
first publications 2003 February

MAP990389

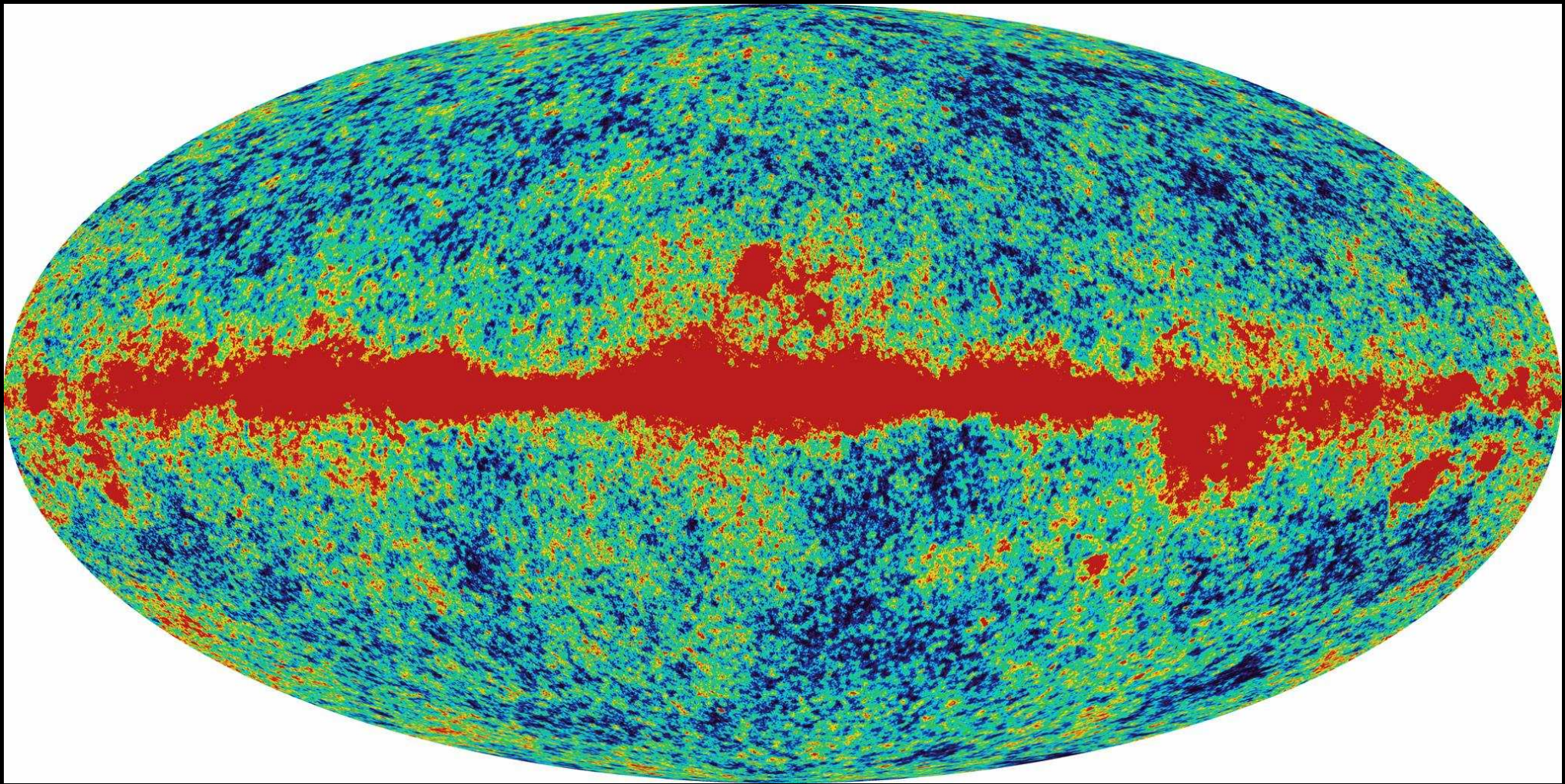


Foreground features

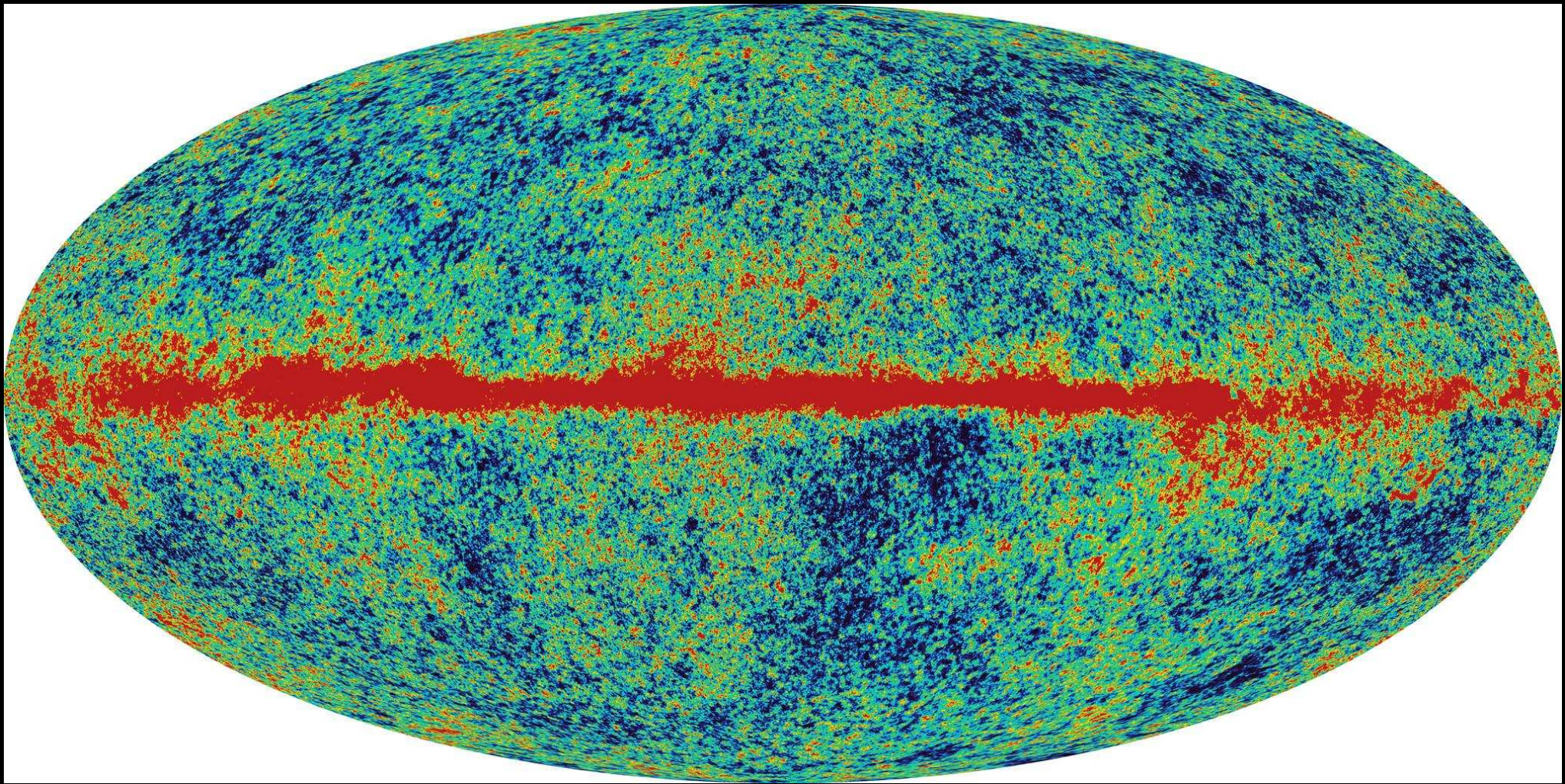


WMAP, K-Band,  $\lambda = 13 \text{ mm}$ ,  $\nu = 22.8 \text{ GHz}$ , resolution  $0.83^\circ$





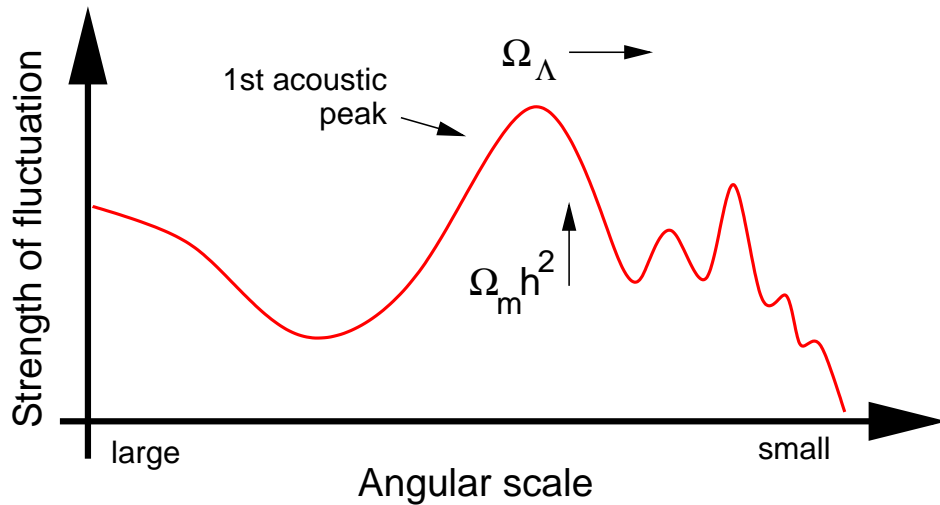
WMAP, Q-Band,  $\lambda = 7.3 \text{ mm}$ ,  $\nu = 40.7 \text{ GHz}$ , resolution  $0.49^\circ$



WMAP, W-Band,  $\lambda = 3.2 \text{ mm}$ ,  $\nu = 93.5 \text{ GHz}$ , resolution  $0.21^\circ$



## Results



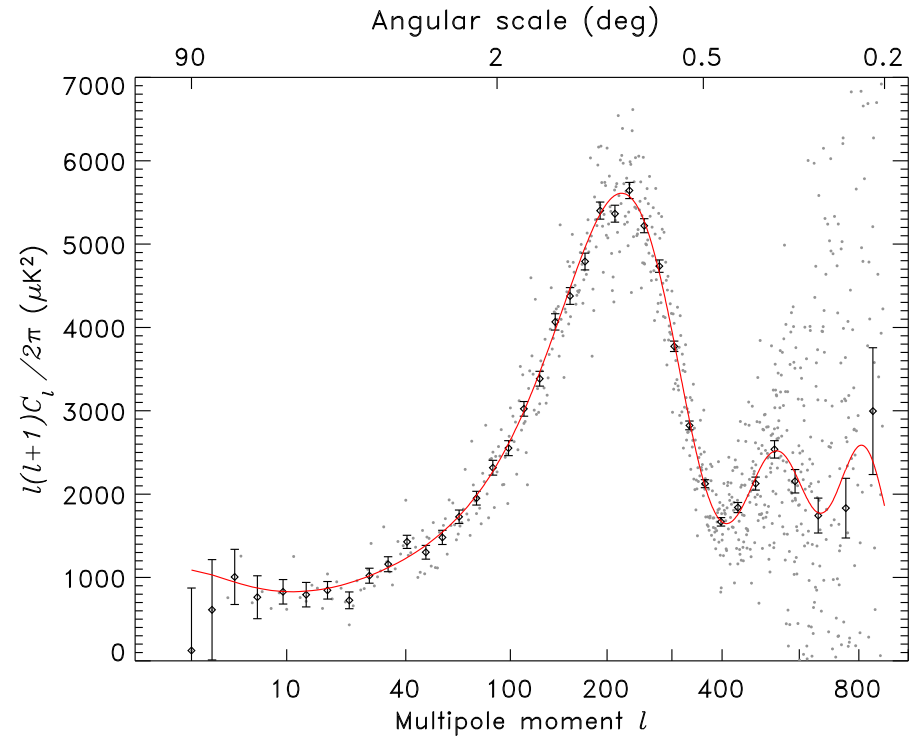
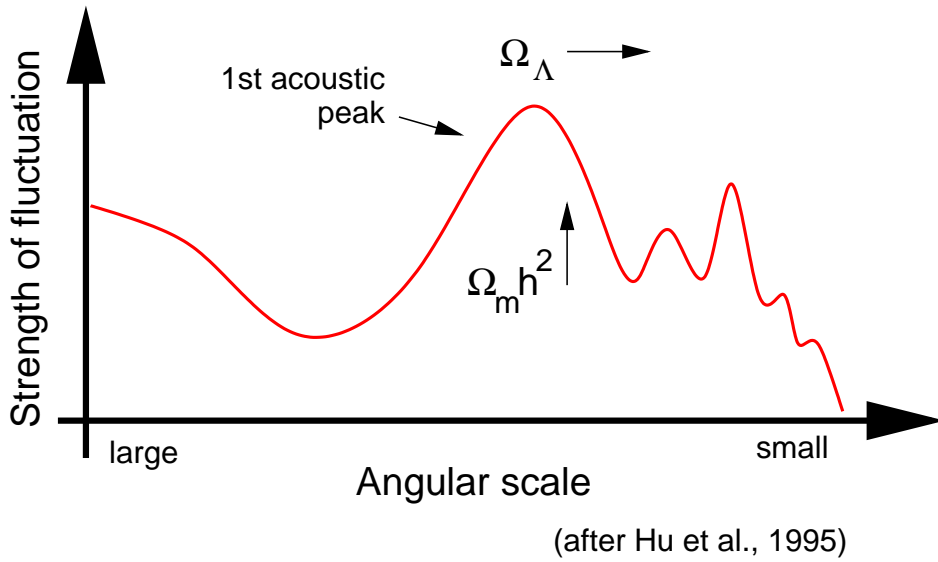
(after Hu et al., 1995)

Power spectrum of CMB depends on

$$\Omega_m \quad H_0 \quad \Omega_\Lambda$$



# Results



Power spectrum of CMB depends on

$$\Omega_m \quad H_0 \quad \Omega_\Lambda$$

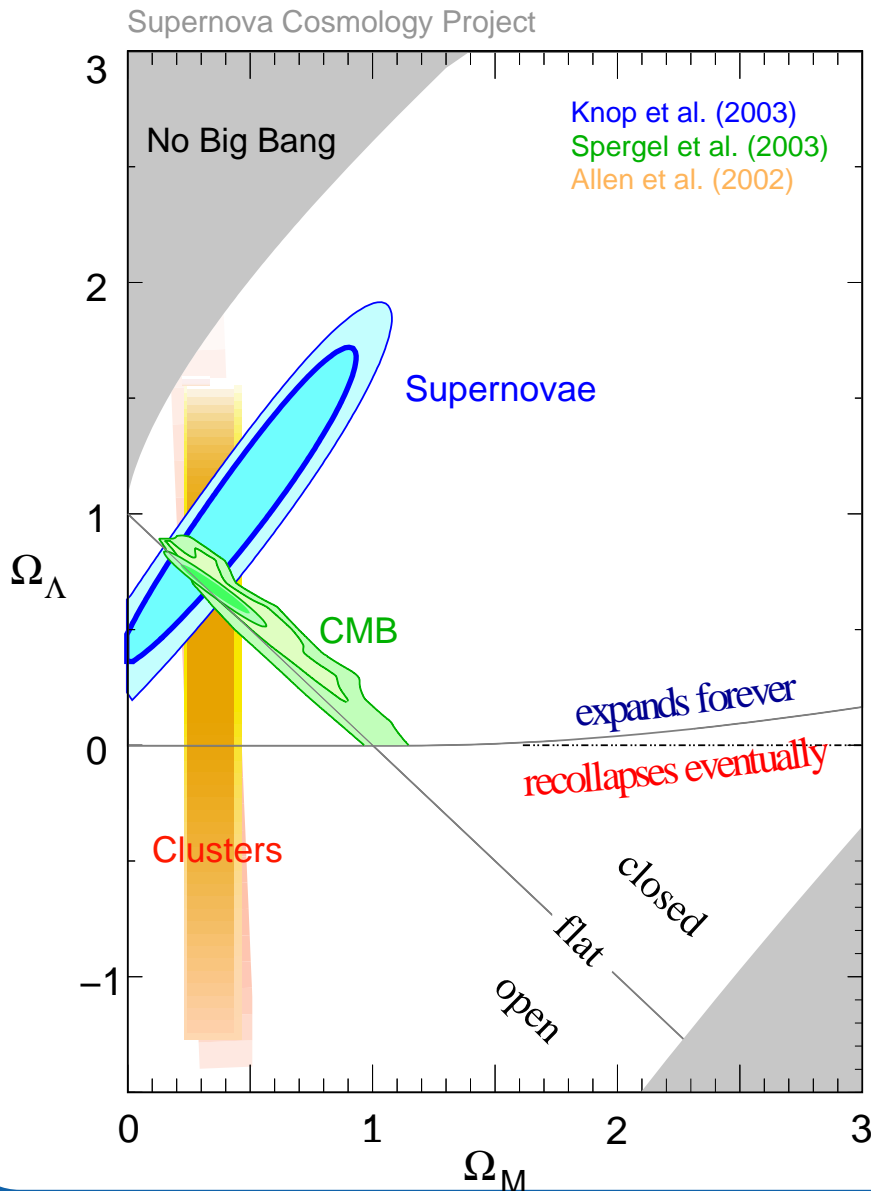
WMAP best fit parameters (assuming  $\Omega = 1$ ,  $H_0 =: h \cdot 100 \text{ km s}^{-1} \text{ Mpc}^{-1}$ ):

$$h = 0.72 \pm 0.05$$

$$\Omega_m h^2 = 0.14 \pm 0.02$$



## Results



### Confidence regions for $\Omega_\Lambda$ and $\Omega_m$ .

dark: 68% confidence, outer region: 90%

$$\Omega = 1.02 \pm 0.02$$

$$\Omega_m = 0.14 \dots 0.3$$

$$H_0 = 72 \pm 5 \text{ km s}^{-1} \text{ Mpc}^{-1}$$

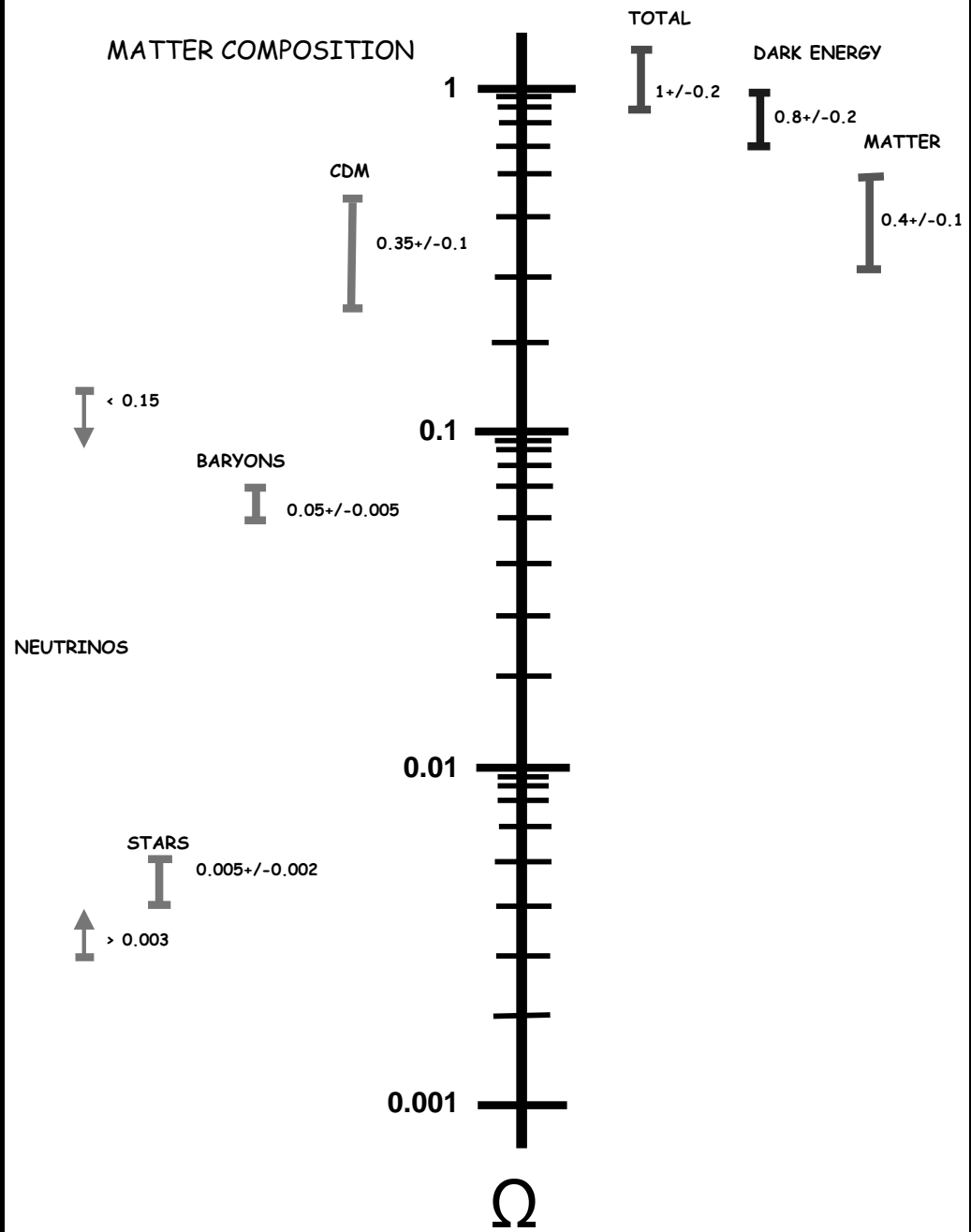
leading to an age of the universe of  
**13.7 billion years.**

This means:

**$\sim 70\%$  of the universe is due to  
“dark energy”**

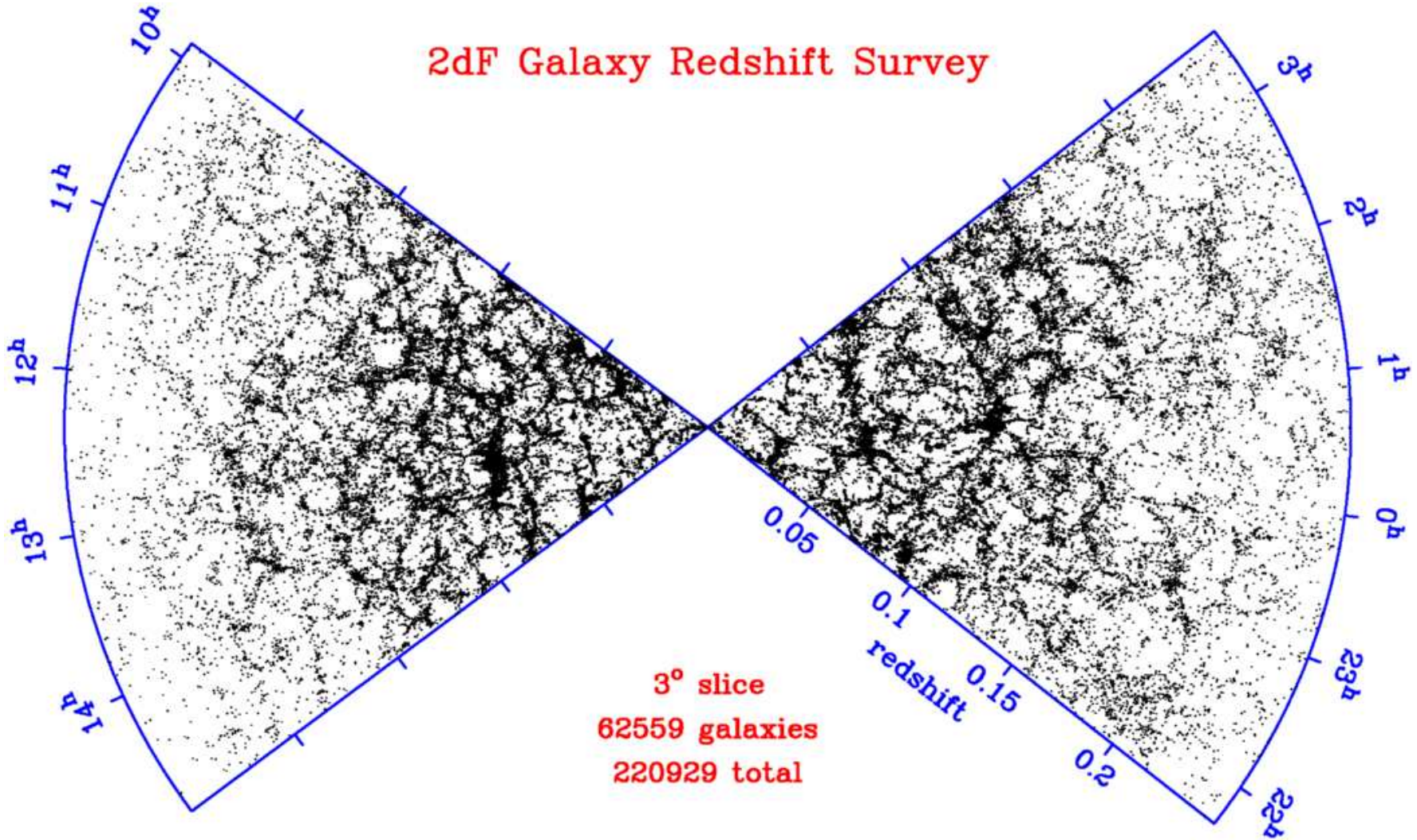
... and what this is: we have no clue

# MATTER / ENERGY in the UNIVERSE





# Large Scale Structures, I

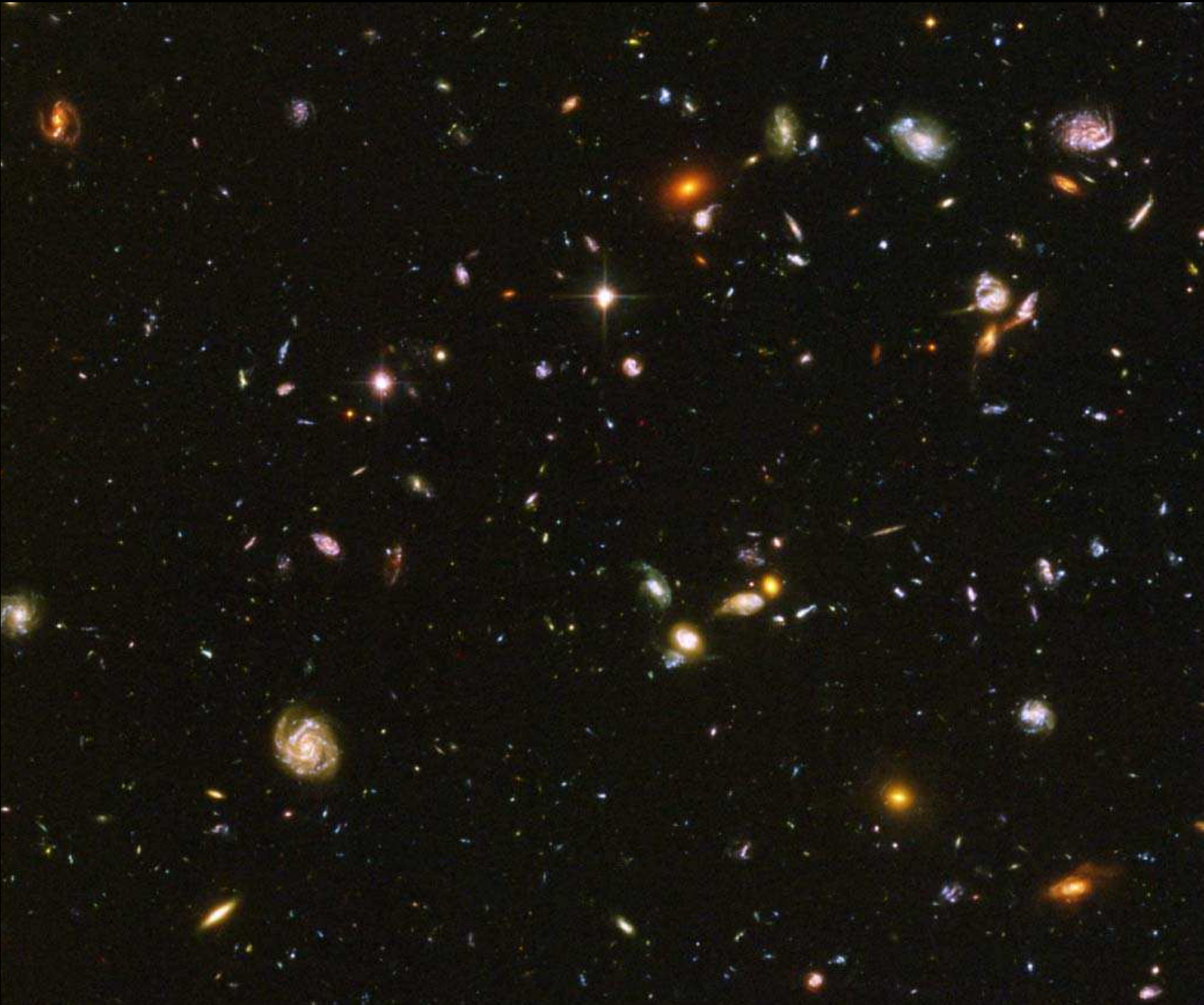


2dF Survey, ~220000 galaxies total  $\implies$  structures



Hubble Ultra Deep Field (11 days exposure!)





Hubble Ultra Deep Field (11 days exposure!)



## Theoretical Structure Formation

“Structure formation”: How to form density perturbations in an initially approximately smooth universe. Perturbations then grow, forming structures (galaxies, galaxy clusters)

To understand formation of structures, need to study **evolution of universe with dark matter**:

**Hot Dark Matter**: relativistic particles (e.g., neutrinos): moving with  $v \sim c$ . Fast particles

⇒ smears out small density perturbations

⇒ “top down structure formation”

**Not what is observed**

(observed: galaxies were there first, clusters are still forming)

**Cold Dark Matter**: slow particles, condense first, forming potential wells while matter still coupled to radiation.

Once radiation decouples from matter (when universe is cold enough), matter falls in gravity wells.

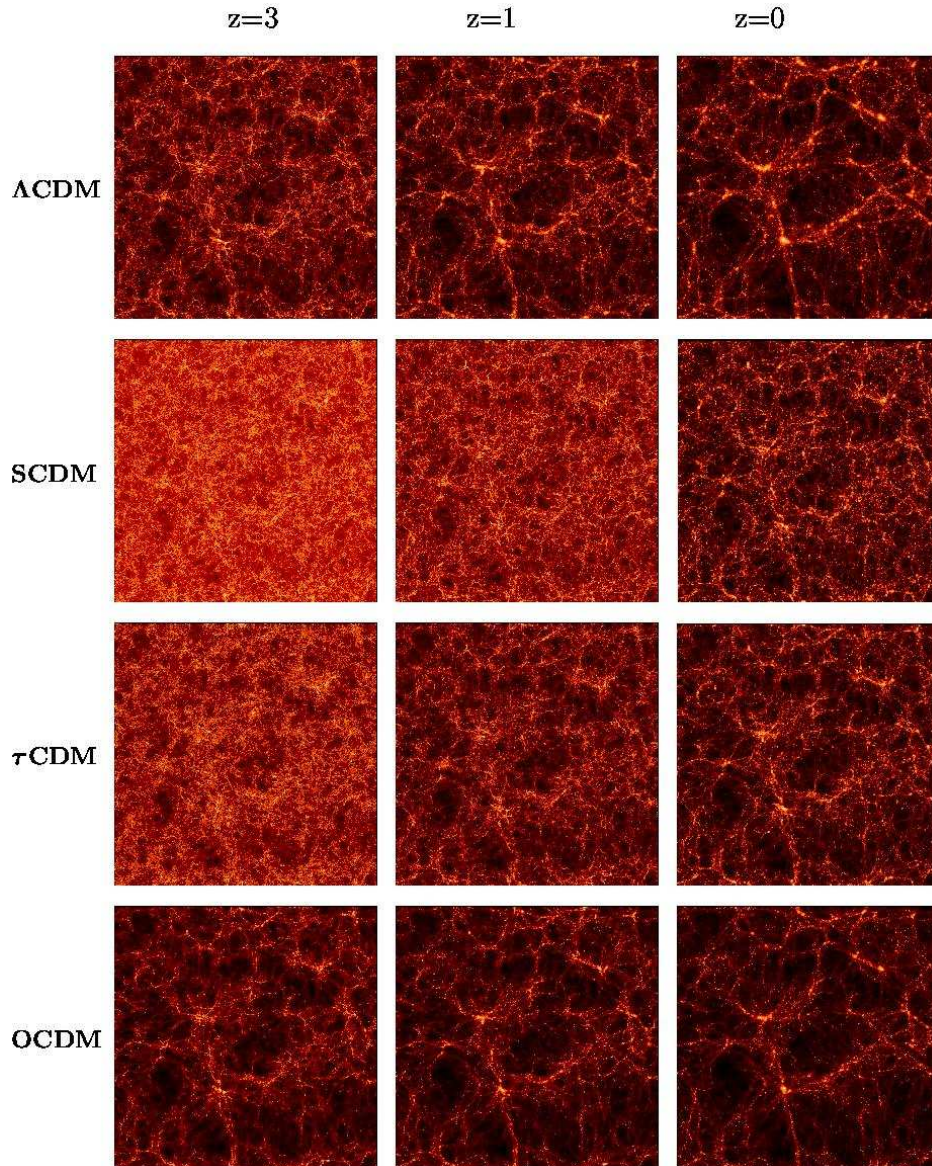
⇒ “bottom up structure formation”

**Closer to what is observed**

Best models: combination of CDM and  $\Lambda$

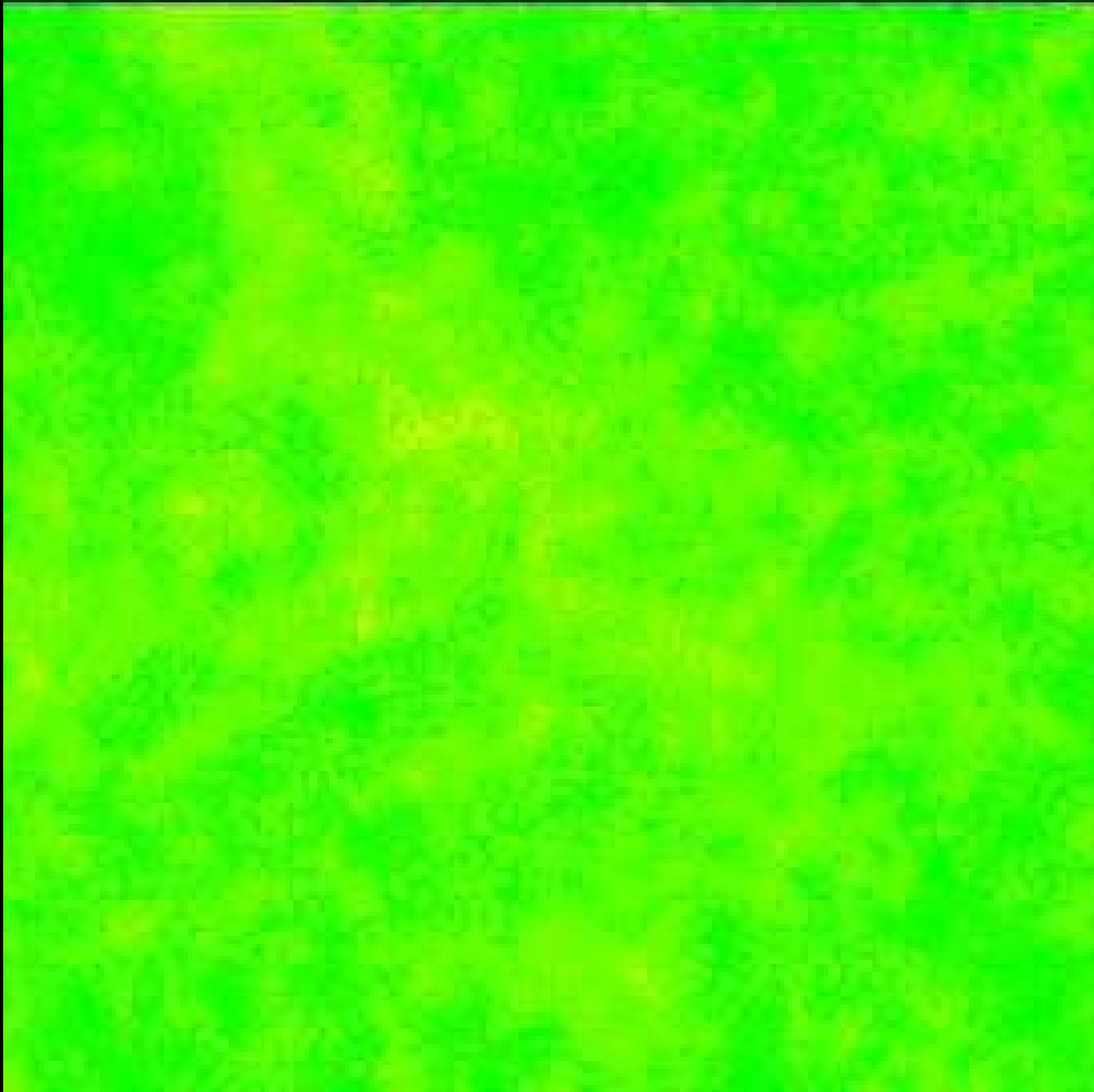


# Theoretical Structure Formation



We can use theories for nature of  $\Lambda$  and measured values of  $H_0$  and  $\Omega$  to predict how galaxies evolve in the universe.

Virgo collaboration



Structure evolution in a CDM universe

$z=49.000$



Structure evolution in a CDM universe (Virgo collaboration)